

**BOARD OF COUNTY COMMISSIONERS  
SARPY COUNTY, NEBRASKA**

**RESOLUTION AUTHORIZING THE CHAIRMAN TO SIGN THE LETTER OF SUPPORT AND GRANT APPLICATION FOR THE CONTINUATION OF THE MENTAL HEALTH CASE MANAGEMENT PROGRAM**

WHEREAS, pursuant to Neb. Rev. Stat. §23-104(6) (Reissue 2012), the County has the power to do all acts in relation to the concerns of the County necessary to the exercise of its corporate powers; and,

WHEREAS, pursuant to Neb. Rev. Stat. §23-103 (Reissue 2012), the powers of the County as a body are exercised by the County Board; and,

WHEREAS, a Justice Assistance Grant (JAG) is available through the Nebraska Crime Commission; and,

WHEREAS, Sarpy County is requesting funding to continue a Mental Health Intensive Case Management Program through Pretrial Release Services; and,

WHEREAS, Sarpy County is committed to and supports an Mental Health Intensive Case Management Program; and;

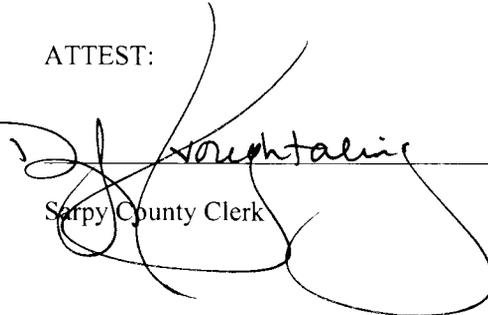
NOW, THEREFORE, BE IT RESOLVED, By the Sarpy County Board of Commissioners that the Sarpy County Chairman is hereby authorized to sign the JAG application and letter of support for a Mental Health Intensive Case Management Program.

The above Resolution was approved by a vote of the Sarpy County Board of Commissioners at a public meeting duly held in accordance with applicable law on the 29<sup>th</sup> day of July, 2014.

  
Sarpy County Board Chairman



ATTEST:

  
Sarpy County Clerk

# Sarpy County Board of Commissioners

1210 GOLDEN GATE DRIVE  
PAPILLION, NE 68046-2895  
593-4155

[www.sarpy.com](http://www.sarpy.com)

ADMINISTRATOR Mark Wayne

DEPUTY ADMINISTRATOR Scott Bovick

FISCAL ADMIN./PURCHASING AGT. Brian Hanson



## COMMISSIONERS

Don Kelly District 1  
Jim Thompson District 2  
Tom Richards District 3  
Brenda Carlisle District 4  
Jim Warren District 5

To: Sarpy County Board

From: Lisa A. Haire

Re: Mental Health Intensive Case Management Grant

On July 29, 2014 the County Board will be asked to authorize the Chairman to sign the grant application and letter of support for the Mental Health Intensive Case Management Program. This grant is a request for continuation funding.

Sarpy County will request \$87,838 for an Intensive Mental Health Case Management Program. Funding requests include; \$50,283 for one FTE Pre-trial Services employee to manage case load, \$19,000 for contracts with service providers for mental health/substance abuse evaluations and services and contracts for GPS and Continuous Alcohol Monitoring (CAM), \$5,055 will be requested for mileage, cab fare, transportation services, and/or bus fare for travel to and from appointments and court dates, and \$5,500 for drug testing supplies, cell phone usage, and copies. Additionally, \$8,000 will be requested for medication vouchers and medical services for clients.

There is no match required, however, in order to strengthen the grant application; the County will contribute a portion of one Pre-Trial employee salary/benefits to the grant application.

Please contact Danielle Richler at (402) 593-4301 or myself with any questions or concerns.

July 25, 2014

Lisa A. Haire

593-1565

[lhaire@sarpy.com](mailto:lhaire@sarpy.com)

cc: Mark Wayne

Brian Hanson

Scott Bovick

Jeff Davis

Danielle Richler

Dan Williamson

Deb Houghtaling

# *Sarpy County Board of Commissioners*

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## COMMISSIONERS

Don Kelly District 1  
Jim Thompson District 2  
Tom Richards District 3  
Brenda Carlisle District 4  
Jim Warren District 5

July 29, 2014

Nebraska Crime Commission  
301 Centennial Mall South  
PO Box 94946  
Lincoln, NE. 68508

The Sarpy County Board of Commissioners fully supports the continuation of the Mental Health Intensive Case Management Program. There are significant challenges resulting from individuals with untreated mental illness who are in custody or pending trial. With funding from the Crime Commission, Sarpy County can continue to serve clients through Pretrial Release which provides supervision while also offering services such as treatment through a contracted provider, medication and housing assistance, assistance with applying for aid, and transportation to and from appointments and court dates. This program utilizes resources to help individuals get the help they need while also promoting public safety.

The Sarpy County Mental Health Intensive Case Management Program is an important step in providing mentally ill persons involved in the criminal justice system services to help establish independent living skills, manage their mental illness and reduce their future contacts with the criminal justice system.

Once again the Sarpy County Board of Commissioners supports this application and requests positive consideration from the Crime Commission.

Sincerely,



\_\_\_\_\_

Jim Thompson

Chairman

Sarpy County Board of Commissioners

Grant # \_\_\_\_\_

**NEBRASKA CRIME COMMISSION  
GRANT APPLICATION**

Byrne/JAG  
FY2014

<b>1. Applicant Name:</b> (Agency/Organization) The applicant <u>must</u> be the agency that will receive and disburse the grant funds.	Name: Sarpy County	Telephone (402 )593-1565 Fax (402 )593-4303
<b>2. Federal Employer ID # of Applicant:</b> The Federal Identification Number must be the nine digit number of the applicant.	47-600-6504	
<b>3. Address:</b>	1210 Golden Gate Drive Papillion, NE 68046-2839 ( Please include last four digits of zip code)	
<b>4. Project Title: Sarpy County Mental Health Intensive Case Management Program</b>		
<b>5. Project Director:</b> (Receives all grant correspondence)	Name: Lisa Haire  Email: lhaire@sarpy.com	Telephone(402)593-1565 Fax (402)593-4303
	Address:1210 Golden Gate Drive Papillion, NE 68046-2839 ( Please include last four digits of zip code)	
<b>6. Project Coordinator:</b> (Contact Person)	Name: Danielle Richler  Email: drichler@sarpy.com	Telephone(402)593-4301 Fax (402)593-2344
	Address: 1210 Golden Gate Drive Papillion, NE 68046-2839 ( Please include last four digits of zip code)	
<b>7. Fiscal Officer:</b> (Cannot be Project Director)	Name: Brian Hanson  Email: bhanson@sarpy.com	Telephone(402)593-2349 Fax (402)593-4303
	Address: 1210 Golden Gate Drive Papillion, NE 68046-2839 ( Please include last four digits of zip code)	
<b>8. Authorized Official:</b> (NOTE: The authorized official would include: county board chair, mayor, city administrator, state agency director, chair or vice-chair of non-profit agency.)	Name: Jim Thompson  Email: jthompson@sarpy.com	Telephone(402)593-4155 Fax (402)593-4300
	Address: 1210 Golden Gate Drive Papillion, NE 68046-2839 ( Please include last four digits of zip code)	

**9. Proposed Project Period:** From: October 1, 2014

To: September 30, 2015

**10. Previous 2-Years Commission Funding for This Project:**

Grant #:13-DA-0306

Amount:\$80,000

Grant #:

Amount:

**11. Area(s) Served by Project:** All of Sarpy County, Nebraska including the cities of Bellevue, LaVista, Papillion, Springfield, Gretna and surrounding rural areas.

All of Sarpy County, Nebraska including the cities of Bellevue, LaVista, Papillion, Springfield, Gretna and surrounding rural areas.

**12. Type of Agency:**

- State Agency
- Unit of Local Government
- Private Non-Profit
- Native American Tribe or Organization
- Technology
- Other

**13. If Awarded, These Funds Will:**

- Create New Service/Activity
- Enhance Existing Program
- Continue Existing Program
- Technology
- Other

**14. Program Area**

- Law Enforcement Programs
- Prosecution and Court Program
- Prevention and Education Programs
- Corrections and Community Corrections Programs
- Drug Treatment Programs
- Planning, Evaluation, and Technology Improvement Programs
- Crime Victim and Witness Programs (Other than compensation)
- Other:

**15. For Task Forces Only**

Total number of law enforcement officers actively conducting task force investigations on a full time basis, regardless of funding source.

How many of these investigators are Nebraska State Patrol officers?

**16. Sustainability Plan**

Please submit a copy of your current **SUSTAINABILITY PLAN**

Sustainability Plan has been established

Timeframe: **Established**

How many of these investigators are local law enforcement officers?

**17. Project Summary: (150 words or less)**

In a concise statement describe major aspects of the proposed project and current use of evidence based practices.

The Sarpy County Mental Health Intensive Case Management Program assists mentally ill persons involved in the criminal justice system by providing services designed to help them establish independent living skills, manage their mental illness, and reduce future contacts with the criminal justice system.

Mental Health Case Management is based on the evidence based problem solving court model. Problem solving courts are an alternative approach to handling criminal justice issues designed to focus on offender treatment, victim restitution, and decreasing recidivism. Problem solving courts developed around issues where the traditional court system was not adequately addressing the core problems. Drug related crime and domestic violence are often rooted in complex social issues requiring a comprehensive and coordinated response. Mental Health Case Management programs offer a therapeutic approach to jurisprudence in which the courts can use legal proceedings to promote an outcome that will improve the well-being of the accused and society.

**NEBRASKA CRIME COMMISSION  
BUDGET SUMMARY**

<b>Category</b>	<b>Requested Amount</b>	<b>Match Share</b>	<b>Total Project Cost</b>
A. Personnel	\$50,283	\$17,924	\$68,207
B. Consultants/Contracts	\$19,000	\$0	\$19,000
C. Travel	\$5,055	\$0	\$5,055
D. Supplies/ Operating Expenses	\$5,500	\$0	\$5,500
E. Equipment	\$0	\$0	\$0
F. Other Costs	\$8,000	\$0	\$8,000
<b>TOTAL AMOUNT</b>	<b>\$87,838</b>	<b>\$17,924</b>	<b>\$105,762</b>
% Contribution	83%	17%	100%

**CERTIFICATION: I hereby certify the information in this application is accurate and, as the authorized official for the project, hereby agree to comply with all provisions of the grant program and all other applicable state and federal laws.**

<b>Name of Authorized Official: Jim Thompson</b>
<b>Title: Chairman, County Board of Commissioners</b>
<b>Address: 1210 Golden Gate Drive</b>
<b>City, State, Zip: Papillion, NE 68046-2844</b>
<b>Telephone: 402-593-4155</b>
<b>Signature:</b> 
<b>Date: July 29, 2014</b>

(\* NOTE: The authorized official would include: county board chair, mayor, city administrator, state agency director, chair or vice-chair of non-profit agency.)

<b>Proposed Project Period (month/day/year):</b>
From October 1, 2014 To September 30, 2015

**Personnel Budget Narrative and Job Descriptions**

Funding is being requested for one Full-time Case Manager to provide comprehensive needs support to participants in the Sarpy County Mental Health Intensive Case Management Program. This person will be responsible for providing intensive case management services for clients including assistance with receiving appropriate mental health/substance abuse treatment, applying for benefits, establishing housing and entering education or job placement programs. Additionally, the Case Manager will help provide participant transportation to and from appointments as needed.

One Case Manager at \$42,966/year + \$7,317 for benefits = \$50,283

Retirement	\$ 2,900
Social Security	\$ 3,287
Health Ins.	\$ 0
Dental Ins.	\$ 0
Life & AD&D	\$ 73
<u>LTD</u>	<u>\$ 1,057</u>
Total Benefits	\$50,283

**Total Personnel Request: \$50,283**

Sarpy County will contribute \$17,924 as matching personnel funds. The Sarpy County Supervision Specialist will contribute at least 25% of his time to working with the Program, providing direct services as needed and general program oversight. This employee is a Licensed Mental Health Practitioner (LMHP), responsibilities include: screening persons for participation in the program, obtaining past health and criminal records, making recommendations as to appropriateness for participation, and data gathering for evaluation purposes.

<b>\$48,214/year x 25% =</b>	<b>\$12,054</b>
<b><u>\$23,480/year for benefits x 25% =</u></b>	<b><u>\$ 5,870</u></b>
<b>TOTAL MATCH</b>	<b>\$17,924</b>

**Total In-Kind Match Contribution: \$17,924**

**Category A - Personnel**

<b>Position</b>	<b>Annual Salary</b>	<b>% Time Devoted</b>	<b>Amount Requested</b>	<b>Match</b>	<b>Subtotal</b>	<b>Requested Fringe</b>	<b>Match Fringe</b>	<b>TOTAL COSTS</b>
Case Manager	\$42,966/year	100%	\$42,966	\$0	\$42,966	\$7,317	\$0	\$50,283
Pre-Trial Supervision Specialist (LMHP)	\$48,214/year	25%	\$0	\$12,054	\$12,054	\$0	\$5,870	\$17,924
	\$	%	\$	\$	\$	\$	\$	\$
	\$	%	\$	\$	\$	\$	\$	\$
	\$	%	\$	\$	\$	\$	\$	\$
	\$	%	\$	\$	\$	\$	\$	\$
	\$	%	\$	\$	\$	\$	\$	\$
	\$	%	\$	\$	\$	\$	\$	\$
	\$	%	\$	\$	\$	\$	\$	\$
Total Personnel Budget			<b>Amount Requested</b>	<b>Match</b>	<b>Subtotal</b>	<b>Fringe Requested</b>	<b>Fringe Match</b>	<b>TOTAL COSTS</b>
			\$42,966	\$12,054	\$55,020	\$7,317	\$5,870	\$68,207

**See Attached Job Descriptions**



# JOB DESCRIPTION



**CLASS TITLE:** Supervision Specialist  
**DEPARTMENT:** Adult Pre-trial and Community Services  
**DIVISION:** Adult Pre-trial Services  
**DATE:** July 20, 2007  
**REVISED DATE:** 09/10; 06/12; 07/12; 12/12

**GRADE:** C/5  
**FLSA:** Non-Exempt  
**Union:** N/A  
**LOCATION:** Annex  
**CLASS:** Classified

## GENERAL PURPOSE:

Under the general supervision of the Pre-Trial/Community Services Director, supervise and monitor Pre-trial defendants in the community. Perform a variety of skilled technical and administrative work requiring moderate independent judgment.

## PRIMARY DUTIES AND RESPONSIBILITIES

Supervise defendants released on bond into the community utilizing guidelines set up by the Court.

Create and maintain records of client contact and activities.

Administer drug and alcohol tests.

Conduct, score, and interpret assessment and evaluation tools.

Coordinate and consult with social service agencies, community groups, private practitioners, clergy, and law enforcement agencies regarding defendant's needs.

Attend bond settings and other Court hearings as required by the Director.

Prepare and provide accurate and timely reports and offender assessments to the Director, District Court and County Court Judges.

Ability to establish and maintain effective working relationships with clients, employees, supervisors, other agencies, and the general public.

Assist the Director in obtaining background and reference information on defendants.

Coordinate with other Law Enforcement Departments to monitor compliance with conditions of bond.

Assist with supervising program participants in the community utilizing guidelines set up by the Court.

## *Peripheral Duties*

Serve as member of Pretrial sub-committee.

Serve as member of various employee committees, as assigned.

Perform other duties as assigned by the Director of Pre-Trial/Community Services.



# JOB DESCRIPTION



## MINIMUM QUALIFICATIONS

### ***Education and Experience***

Bachelor's degree\* in Criminal Justice, Human Services, Law, Social Work, or related field, and Two (2) years' experience in direct supervision of offenders, and Current certification in Preliminary Breath Testing preferred.

*\*Approved experience beyond required may be substituted for up to four (4) years of stated education.*

### ***Special Requirements***

Must have and maintain throughout employment a valid Drivers' License, as well as meet eligibility requirements of "acceptable driver standards" as defined by the County.

Must maintain certification in Preliminary Breath Testing (PBT).

Must be at least 21 years of age at hire.

### ***Necessary Knowledge, Skills, and Abilities:***

Working knowledge of assessment methods and techniques

Working knowledge of referral resources and processes

Working knowledge of principles of human services

Knowledge of social work, criminal justice system and procedures

Working knowledge of, and ability to use, computers and Microsoft Office software

Working knowledge of current office practices and procedures

Knowledge of proper English usage, including spelling, grammar and punctuation

Skill in executing effective customer service practices

Ability to understand and follow instructions

Ability to work under pressure with frequent interruptions

Ability to prioritize work

Ability to carry out assignments to completion within time constraints

Ability to communicate effectively, in English, both verbally and in writing

Ability to maintain confidential information

Ability to operate standard office equipment including, but not limited to, multi-line phone, computer, typewriter, printer, 10-key calculator, scanner, fax, copier, tape recorder, personal electronic devices, monitoring equipment, and drug testing tools

Ability to use and skill with drug testing and electronic monitoring equipment



# JOB DESCRIPTION



## PHYSICAL DEMANDS AND WORK ENVIRONMENT

The physical demands described here are representative of those that must be met by an employee to successfully perform the essential functions of this job. Reasonable accommodations may be made to enable qualified individuals with disabilities to perform the essential functions.

While performing the duties of this job, the employee is frequently required to sit/remain stationary, and operate/manipulate equipment (e.g. use hands to finger, handle, or feel objects, tools, or controls). The employee is occasionally required to walk/move about, stand/remain upright, as well as reach, bend, and stoop.

The employee must occasionally lift and/or maneuver up to 25 pounds.

Required sensory abilities include vision, smell, hearing and touch . Visual abilities, correctable to normal ranges, include close, distance, and color vision as well as the ability to adjust focus. Communication abilities include the ability to talk (verbal exchange) and hear within normal ranges. While performing the duties of this job, the employee occasionally will be exposed to outside weather conditions, and to temperature variations, wet and/or humid conditions.

The noise level in the work environment is usually moderately quiet in the office, and moderate to loud in the field. Due to the nature of the department, the employee must be available days, nights, weekends, and holidays.

## SELECTION GUIDELINES:

Formal application, rating of education and experience; oral interview and reference check; job related tests may be required.

The duties listed above are intended only as illustrations of the various type of work that may be performed. The omission of specific statement of duties does not exclude them from the position if the work is similar, related or logical assignment to the positions.

The job description does not constitute an employment agreement between the employer and employee and is subject to change by the employer as the needs of the employer and requirements of the job change.

Department Head Signature:

Date:

12/18/12

Human Resources Director Signature:

Date:

12/18/12



# JOB DESCRIPTION



**CLASS TITLE:** Case Manager  
**DEPARTMENT:** Adult Pre-trial and Community Services  
**DIVISION:** Mental Health  
**DATE:** July 16, 2013

**GRADE:** C6  
**FLSA:** Non-Exempt  
**Union:** N/A  
**LOCATION:** Annex  
**CLASS:**

## GENERAL PURPOSE

Under the direction of the Pre-Trial/Community Services Director, perform a variety of skilled technical and administrative work requiring moderate independent judgment, and supervise and provide case management services to clients in the Mental Health Division of Pretrial Services.

## ESSENTIAL FUNCTIONS

Establish and maintain effective working relationships with clients, Officials, supervisors, employees, attorneys, judges, law enforcement staff, other health care professionals, other agencies, and the general public.

Consult and collaborate with other mental health professionals in order to develop an individual treatment plan.

Plan, develop, and provide intensive case management services with clients.

Review and discuss individualized treatment plan.

Engage client in areas such as symptom identification and education, relapse prevention, coping skills, activities of daily living, and health management.

Coordinate and consult with social service agencies, community groups, private practitioners, clergy, and law enforcement agencies regarding client's needs.

Work with families and the community to assist clients in planning for future needs and goals.

Create and maintain accurate records and reports of client contact and activities.

Administer drug and alcohol tests.

Report to work with regular, predictable, and consistent attendance.

### ***Peripheral Duties***

Provide transportation for clients as needed.

Serve as member of various committees, as assigned.

Perform other duties as assigned.

## MINIMUM QUALIFICATIONS

### ***Education and Experience***

Bachelor's degree\* in Social Work, Human Services, Psychology, or Sociology.

Two (2) years' experience in performing case management in the Mental Health field.

Current experience with electronic monitoring preferred.



# JOB DESCRIPTION



*\*Approved education beyond the minimum required may be substituted for up to two (2) years of stated experience.*

## **Special Requirements**

Must have and maintain throughout employment a valid Drivers' License, as well as meet eligibility requirements of "acceptable driver standards" as defined by the County.

Must obtain and maintain certification in Preliminary Breath Testing (PBT).

## **Necessary Knowledge, Skills, and Abilities:**

Working knowledge of mental illness and psychiatric treatment

Working knowledge of social work/counseling methods, techniques, and applications

Working knowledge of the criminal justice system, including law enforcement and corrections, with an emphasis on court proceedings

Working knowledge of behavior associated with psychiatric disorders, psychiatric terminology, and the effects and adverse reactions of psychotropic medications

Working knowledge of the environmental and cultural factors inherent in social work, treatment, and counseling

Working knowledge of, and ability to use, computers, and Microsoft Office software

Working knowledge of current office practices and procedures

Skill in the use of professional interviewing techniques to obtain basic information

Ability to deal with individuals of diverse cultural and socio-economic backgrounds

Ability to navigate effectively in stressful and/or emotionally-charged situations

Ability to accurately prepare and maintain various records, reports, correspondence, and other departmental documents

Ability to organize and prioritize tasks

Ability to exercise sound, objective judgment while working independently with strict adherence to established departmental policies and procedures

Ability to carry out assignments to completion within time constraints

Ability to communicate effectively, in English, both verbally and in writing

Ability to manage confidential and sensitive information

Ability to use drug testing and electronic monitoring equipment

Ability to operate standard office equipment including, but not limited to, multiline phone, computer, printer, 10-key calculator, scanner, fax, copier, tape recorder, personal electronic devices, monitoring equipment, and drug testing tools



# JOB DESCRIPTION



## PHYSICAL DEMANDS AND WORK ENVIRONMENT

The physical demands and work environment described here are representative of those that must be met by an employee to successfully perform the essential functions of this job. Reasonable accommodations may be made to enable qualified individuals with disabilities to perform the essential functions.

While performing the duties of this job, the employee is frequently required to sit/remain stationary, and operate/manipulate equipment (e.g. use hands to finger, handle, or feel objects, tools, or controls). The employee is occasionally required to walk/move about, stand/remain upright, as well as reach, bend, and stoop. The employee must be able to frequently lift and/or maneuver up to 10 pounds, and occasionally lift and/or maneuver up to 50 pounds. The employee must have the physical ability to protect oneself and control combative or disruptive persons.

Required sensory abilities include vision, smell, hearing and touch. Visual abilities, correctable to normal ranges, include close, distance, and color vision as well as the ability to adjust focus. Communication abilities include the ability to talk (verbal exchange) and hear within normal ranges.

While performing the duties of this job, the employee occasionally will be exposed to outside weather conditions, temperature variations, and wet and/or humid conditions. The noise level in the work environment is usually moderately quiet in the office, and moderate to loud in the field. Due to the nature of the department, the employee must be available days, nights, weekends, and holidays.

## SELECTION GUIDELINES

Formal application, rating of education and experience, interview, reference checks, successful completion of a national criminal background check, successful completion of a pre-employment drug screen, and other job related tests or checks as may be required.

## DISCLAIMER

The job description is current as of the date signed. Any omission of specific statements does not exclude them from the position if they are similar, related, or a logical assignment to the position.

The job description does not constitute an employment agreement between the employer and employee and is subject to change by the employer as the needs of the employer and requirements of the job change.

Department Head:  Date: 7/25/13

Human Resources Director:  Date: 7/25/13

## **Consultants and Contracts Budget Narrative**

Sarpy County will work with local Service Providers to conduct mental health and substance abuse evaluations, and provide psychiatry and counseling services as needed for participants in the program.

The population served by the program is largely indigent and unable to pay for services to address mental illness. Providers will conduct mental health and substance abuse evaluations and provide limited counseling/therapeutic services. The program will also depend on the provider to assist in making referrals for community based programs and services.

Costs for these services range by provider. In looking at several providers in the area, substance abuse evaluations cost approximately \$190. Mental health evaluations generally cost about \$250, and are conducted by a clinical psychologist or psychiatrist. Providers offer counseling services for roughly \$100 per session. These prices apply to clients with private insurance or Medicaid. Agencies offer a sliding fee scale based on income for clients without any type of insurance. Monies allocated to work with providers will help offset the costs associated with co-pays, sliding fee rates and other out of pocket expenses. Strict criteria are used to determine who is eligible for funding with these dollars.

Courts frequently order evaluations as a condition of bond, as part of a Pre-sentence Investigation, or prior to sentencing. Typically, following the recommendations in evaluations is part of Court orders as well. In dealing with a predominately indigent population, it is difficult to get evaluations completed; finances, lack of understanding of Court orders, and issues with reliable transportation make it difficult for this population to obtain services. Working closely with providers is an important piece of this program, as it allows the process to be streamlined and helps to assure Court orders are followed and the information required is available in a timely manner.

**Total Request for Service Provider Costs: \$15,000**

**CATEGORY B – CONSULTANTS AND CONTRACTS**

<b>1. PURPOSE: Contract with service providers for mental health evaluations and services</b>					
<b>2. TYPE OF CONSULTANT:</b>			<input type="checkbox"/> Individual	<input checked="" type="checkbox"/> Organization	

<b>3. CONSULTANT FEES:</b>					
	Rate	# Hours	Amount Requested	Applicant's Match	Total Cost
Preparation Fees			\$15,000	\$0	\$15,000
Presentation Fees			\$	\$	\$
Travel Time Fees			\$	\$	\$
<b>Total</b>			\$15,000	\$0	\$15,000

<b>4. TRAVEL EXPENSES:</b>					
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a. Mileage					
Total Miles		X .565	\$	\$	\$
b. Air Fare					
From		to	\$	\$	\$
From		to	\$	\$	\$
c. Meals					
# of days		X\$	\$	\$	\$
# of days		X\$	\$	\$	\$
d. Lodging					
# of nights		X\$	\$	\$	\$
# of nights		X\$	\$	\$	\$
e. Other Costs ( Must Also Be Explained in Budget Narrative)					
			\$	\$	\$
			\$	\$	\$
			\$	\$	\$

<b>5. TOTAL COST:</b>			\$15,000	\$0	\$15,000
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### **Consultants and Contracts Budget Narrative**

Currently, the Sarpy County Pretrial Release Program has contracts with vendors to provide electronic monitoring for defendants on supervised release. The contracts are with Vigilnet for the Continuous Alcohol Monitor (CAM), Soberlink device, and with Corrisoft for GPS monitoring. The availability of these devices plays a critical role in the Judges' decisions to release defendants from jail. The use of these devices are crucial the Mental Health Case Management Program. Electronic monitoring devices promote community safety, offer victims a level of comfort, and help ensure compliance with Court orders. These devices are an essential tool in reporting compliance to the Court.

The Continuous Alcohol Monitor (CAM) costs \$9.95/day, Soberlink costs \$8.50/day, and GPS monitor costs \$5.50/day. Approximately 35% of defendants placed on Pretrial Release are subject to electronic monitoring. An estimated 32% of defendants currently supervised by Pretrial Services have some form of mental illness. Currently 22% of clients participating in Mental Health Case Management (n=23) have an electronic monitoring device. Recognizing that the average length of participation in Pretrial Services is 99 days, approximately \$12,000-\$15,000 will most likely be spent on electronic monitoring; the requested \$4,000 will contribute to funding this critical aspect of the program.

**Total Request for GPS and Continuous Alcohol Monitoring (CAM) services: \$4,000**

**CATEGORY B – CONSULTANTS AND CONTRACTS**

<b>1. PURPOSE: Contract for GPS monitoring and Continuous Alcohol Monitoring (CAM) providers</b>		
<b>2. TYPE OF CONSULTANT:</b>	<input type="checkbox"/> Individual	<input checked="" type="checkbox"/> Organization

<b>3. CONSULTANT FEES:</b>					
	Rate	# Hours	Amount Requested	Applicant's Match	Total Cost
Preparation Fees			\$4,000	\$0	\$4,000
Presentation Fees			\$	\$	\$
Travel Time Fees			\$	\$	\$
<b>Total</b>			\$4,000	\$0	\$4,000

<b>4. TRAVEL EXPENSES:</b>					
<b>a. Mileage</b>					
Total Miles		X .565	\$	\$	\$
<b>b. Air Fare</b>					
From		to	\$	\$	\$
From		to	\$	\$	\$
<b>c. Meals</b>					
# of days		X\$	\$	\$	\$
# of days		X\$	\$	\$	\$
<b>d. Lodging</b>					
# of nights		X\$	\$	\$	\$
# of nights		X\$	\$	\$	\$
<b>e. Other Costs ( Must Also Be Explained in Budget Narrative)</b>					
			\$	\$	\$
			\$	\$	\$
			\$	\$	\$
<b>5. TOTAL COST:</b>			\$4,000	\$0	\$4,000

### **Travel Expenses Budget Narrative**

Funding is being requested for local travel costs in order to assist clients in getting to and from counseling/psychiatry appointments, court appearances, and other local travel as needed by clients participating in the program. Travel has been shown to be a barrier for residents of Sarpy County as there is minimal public transportation offered in the area.

Clients in the Mental Health Case Management Program are encouraged to use social supports and community resources to assist with transportation needs. However, transportation continues to be a critical need among clients, and use of public transportation is often necessary. The Sarpy County Community Service van is available to transport clients on a limited basis in case of emergency.

Costs are calculated at: Mileage: Approx. 50 miles per month x 12 months x .565 per mile = \$339  
Cab fare is estimated at: \$25.00 per one-way ride x 170 rides = \$4,250  
Bus fare is estimated at: \$466 (1.25 or 1.50 per one way trip)

**Total Request for Travel Expenses: \$5,055 for participant travel to and from appointments, court dates, home, and other necessary in town travel.**

**CATEGORY C – TRAVEL EXPENSES**

**Note:** If needed, please copy this form and complete for each travel purpose.

<b>1. Travel Purpose: Transporting clients to and from appointments, meetings, court dates</b>						
<b>2. Type of Travel</b> <input checked="" type="checkbox"/> Local <input type="checkbox"/> In-State <input type="checkbox"/> Out-Of-State						
<b>3. Position (s) which will be traveling for this purpose:</b>						
Case Manager transporting clients and/or bus and cab fare to and from appointments and court dates						
<b>4. Cost Breakdown:</b>						
				Amount Requested	Applicant's Match	Total Cost
<b>a. Mileage</b>						
	Total Miles	50x12 months	X .565	\$339	\$	\$339
<b>b. Air Fare</b>						
	From		to	\$	\$	\$
	From		to	\$	\$	\$
<b>c. Meals</b>						
# of days		X \$		\$	\$	\$
# of days		X \$		\$	\$	\$
<b>d. Lodging</b>						
# of nights		X \$		\$	\$	\$
# of nights		X \$		\$	\$	\$
<b>e. Other Costs (Must Also be Explained in Budget Narrative)</b>						
<b>Vouchers for cab fare</b>			\$4,250	\$4,250	\$0	\$4,250
<b>Vouchers for bus fare</b>			\$466	\$466	\$0	\$466
			\$	\$	\$	\$
<b>5. TOTAL COST FOR THIS PURPOSE:</b>				\$5,055	\$0	\$5,055

### **Supplies and Operation Expenses Budget Narrative**

The Case Manager will spend about 50% of the time out of the office. This will require access to a cell phone in order to be contacted as needed by the clients, county personnel, counselors and therapists. Clients will be required to check in periodically and call if they are ill or cannot make it to appointments for any reason. Other county personnel will need to have a way to contact the Case Manager if situations should arise while the Case Manager is out of the office. The Case Manager will need a way to contact the rest of the staff to discuss progress or to report on problems. Additionally, for safety reasons, the Case Manager must have access to a phone at all times should a situation arise where additional help is needed.

$\$75 \text{ per month} \times 12 \text{ months} = \$900$

The Sarpy County Mental Health Intensive Case Management Program will provide drug testing to participants involved in the program. Funds requested in this proposal will be used to purchase 1,000 drug tests at approximately \$4.00 per test for a total cost of \$4,000. The 1,000 drug tests will allow the staff to perform drug testing for a one year period. Drug testing will be done on a random and regular basis for the duration of involvement in program.

Drug Testing Supplies = \$4,000

Many service providers charge printing fees to make copies of evaluations, medical records, etc. Obtaining these records typically costs approximately \$40-\$50.

Photo copying/Printing Costs:  $\$50/\text{month} \times 12 \text{ months} = \$600$

**Total Request for Supplies/Operating Costs: \$5,500**

**CATEGORY D – SUPPLIES AND OPERATING EXPENSES**

**1. SUPPLIES:**

Item	Quantity	Unit Price	Amount Requested	Applicant's Match	Total Cost
Drug Testing Supplies	1,000	\$4.00	\$4,000	\$0	\$4,000
				\$0	\$
		\$	\$	\$	\$
		\$	\$	\$	\$
		\$	\$	\$	\$
<b>SUPPLIES SUBTOTAL</b>			\$4,000	\$0	\$4,000

**2. OPERATING EXPENSES – (Note Special Instructions):**

	Rate(per month)	Amount Requested	Applicant's Match	Total Cost
Rent – Equipment		\$	\$	\$
Rent – Facilities		\$	\$	\$
Telephone	Cell phone \$75/month	\$900	\$0	\$900
Utilities		\$	\$	\$
Auto Lease		\$	\$	\$
Photo Copying	\$50	\$600	\$	\$600
Printing				
Non-consultant Contract Help				
Other:		\$	\$	\$
		\$	\$	\$
<b>OPERATING EXPENSES SUBTOTAL</b>		\$1,500	\$0	\$1,500

<b>TOTAL SUPPLY COST</b>		\$5,500	\$0	\$5,500
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**Other Costs Budget Narrative**

Funding is being requested to provide vouchers for medical services and medication for those clients that do not have the ability to pay for needed medical services and/or medication. In addition, the Sarpy County Mental Health Intensive Case Management Program may, on limited occasions, provide food and utilities assistance. Whenever possible, Sarpy County Human Services will assist clients in applying for housing, food, medical, and utilities assistance. In the event that clients are unable to receive assistance or it is delayed for a short time, the Intensive Case Manager will provide financial help in the form of vouchers in order for clients to receive needed services and/or medication.

**Total Request for Medical Services, Medication assistance, food and/or utilities assistance: \$8,000**

**CATEGORY G – OTHER COSTS**

<b>Description</b>			
Item	Amount Requested	Applicant's Match	Total Cost
Reimbursement for medical services, medication vouchers, clothing, food, and utilities assistance	\$8,000	\$0	\$8,000
	\$	\$	\$
	\$	\$	\$
	\$	\$	\$
	\$	\$	\$
	\$	\$	\$
	\$	\$	\$
	\$	\$	\$
	\$	\$	\$
	\$	\$	\$
<b>Total</b>	\$8,000	\$0	\$8,000

## Sustainability:

### **Describe:**

#### **1. Organizational Structure and operations that lend to project's sustainability.**

Sarpy County is the fastest growing county in Nebraska with a population of 158,840, Sarpy County is located just south of the City of Omaha and is home of Bellevue (pop. 50,137), Gretna (pop. 4,441), La Vista (pop. 15,758), Papillion (pop. 18,894), and Springfield (pop. 1,529). Sarpy County is also home of Offutt Air Force Base. Sarpy County has the third largest population in the state of Nebraska behind Omaha and Lincoln.

The Powers of the County as a political body are carried out by the County Board of Commissioners. The Sarpy County Board of Commissioners is an elected panel of five Officials representing the five districts of the County. The County Board oversees all County funds and County business, manages all County roads and right-of-ways and maintains a comprehensive One and Six Year Road Program, examines all accounts, receipts, and expenditures, created the Planning Commission to make, adopt, amend, extend, and implement the Comprehensive Development Plan and adopted the zoning rules and regulations, levies and collects taxes, provide suitable courthouse, jail, and other necessary County buildings, and has the power to sell, convey, exchange, or lease any real or personal estate owned by the County and is involved in all County real estate transactions.

In addition, the County Attorney, Public Defender, County Assessor, County Clerk, County Treasurer, Register of Deeds, Clerk of District Court, Clerk of County Court, District and County Court Judges, Jury Commissioner/Election Commissioner, County Engineer, and the Sarpy County Sheriff are all publicly elected officials. The Sarpy County Vision Statement is to improve the quality of life in Sarpy County by providing responsive, efficient, and high quality public services that promote the self-sufficiency, well-being, and prosperity of individuals, families, businesses, and communities.

The philosophy of teamwork and collaboration is anchored in the shared values of: Accountability, Professionalism, Responsiveness, Family Values, Integrity, Compassion, Commitment, and Respect for Diversity. The County Board meets every Tuesday to hear, vote on, and approve resolutions on expenditures and County related business such as: contracts, agreements, grant requests, and planning. The County Board has oversight for all County business and the Chairman of the Board is the Authorized Official for the County and has signing authority upon Board approval for all County documents.

Sarpy County employs a total of 617 active employees. The Sarpy County Campus includes the Courthouse Building, Administration Building, two separate Annex Buildings, the Sarpy County Law Enforcement Center, the Sarpy County Sheriff's Office, Sarpy County Juvenile Justice Center, Sarpy County Jury and Election Offices, and the Public Works Offices.

The Criminal Justice side of Sarpy County's services include: County and District Court, County Attorney and Child Support Enforcement, Public Defender, Sarpy County Sheriff's Office, Sarpy County Jail Administration, Sarpy County Adult and Juvenile Diversion, Sarpy County Adult Pre-Trial Release Services (Community Corrections), Sarpy County Juvenile Justice Center and Reporting Center, Sarpy County Victim Witness Unit, Sarpy County CASA Program, Juvenile and Adult Community Services, Sarpy County Adult and Juvenile Drug Treatment Courts, and Sarpy

County Human Services. In addition, Sarpy County is the seat for District #2 State Juvenile and Adult Probation.

The Criminal Justice Coordinating Committee (CJCC) is a large group of Sarpy County Justice Representatives who meet quarterly to discuss Sarpy County's criminal justice problems, current trends, future justice needs, juvenile issues, adult issues, impacts of new legislation, and funding issues/opportunities. At every meeting, sub-committee updates are given and several agenda items are discussed. The Committee includes: Sarpy County Sheriff's Office, Public Defender, Sarpy County Attorney's Office, Sarpy County Juvenile Court Judges, Sarpy County District and County Court Judges, Sarpy County CASA, District and County Court Clerks, Pretrial Release, Community Services, District #2 Probation, Sarpy County Fiscal Administration, Sarpy County Administration, Sarpy County Commissioner, Sarpy County Information Services, Region 6, Sarpy Human Services, Sarpy County Jury Commissioner, Sarpy County Diversion Services, Sarpy County Juvenile Justice Center, Bellevue Police Department, Papillion Police Department, and LaVista Police Department.

## **2. Long-term sustainability plan.**

Sarpy County became very concerned with the increase of people coming into contact with the criminal justice system who were exhibiting mental health problems. The Criminal Justice Coordinating Committee (CJCC) began to discuss how to appropriately and successfully assist these individuals and began to look for opportunities to adequately serve people suffering with mental health issues and/or co-occurring substance abuse problems. A Mental Health Sub-Committee was formed and began to meet to look for funding opportunities in order to begin a Mental Health Program.

In the last year, Sarpy County has accomplished several important milestones in order to begin the process of serving those individuals exhibiting mental health problems. In addition to applying for and subsequently being awarded funding through the 2013 JAG grant, Sarpy County Mental Health Intensive Case Management Program has also accomplished the following:

- Regular presentations (approximately bi-monthly) to the Criminal Justice Coordinating Committee (CJCC).
- Hired a FT Case Manager to coordinate the Program and work with clients.
- Began accepting and working with several clients (current case load is 23) in February 2014.
- Approached Region 6 to obtain additional funding for the Program.
- Presentation given to the Public Defender's and County Attorney's Offices to increase awareness of the Program.
- Held meetings with Mental Health Diversion to develop a continuum of care.
- Had three (3) staff meetings with Mental Health Diversion regarding Case Management of clients that may be eligible for Diversion. These meetings will continue into the next year on a monthly basis.
- Conducted two Mental Health Training/Networking events to which local providers were invited to speak about services they offer and how to access those services.

**Discuss the contingency plan should the project not receive funds.**

Project evaluation will include a study of the cost savings aspect of a Mental Health Intensive Case Management program. This will help create documentation that continuing the program will result in a cost savings in terms of incarceration, law enforcement, and court costs that will support the redirection of resources into providing more appropriate service delivery for this population. The sustainability plan is to demonstrate this cost savings aspect in order to establish on-going support from county and local government sources. Grant funding will be crucial in allowing the time necessary to definitively establish both the program's positive outcomes and cost savings to create a persuasive case for on-going and additional government funding of the program.

In the event that funding is not received, Sarpy County will request funding from Region 6, as well as request that the Budget Committee approve the use of County funds to help sustain the Program. Sarpy County has a successful record of sustaining programs which were initially awarded with start-up funding from the Nebraska Crime Commission. Some of these programs include: Sarpy County Juvenile Reporting Center, The CARE Program, and the Juvenile Justice Assessment Center. In addition, Sarpy County has taken the first step toward beginning a Mental Health Case Management program by collecting data, hiring a LMHP for Pretrial Release Services in order to begin evaluations, forming a sub-committee to discuss options and address solutions, and an agreement by the Sheriff's Office to implement evidence based CITs into the department.

Sarpy County underwent a comprehensive Justice System study which was conducted by Karen Chinn in order to help officials plan for future needs. One of the recommendations from the previous study, done in 2004, as well as the new study, done in 2013, was to expand Pretrial Release and Supervision Services in order to assist the jail with some over-crowding situations due to incarcerating non-violent offenders and those that could be released under supervision if there were intensive programs available. Sarpy County's jail population has increased significantly from the last study and county resources will need to be redirected toward more community based corrections programs such as Mental Health Case Management and other Diversion Services.

## Problem Statement (5 Pages limit)

### **1. Problem Statement:**

The problem to be addressed by this grant application is: The Sarpy County criminal justice system has seen a significant increase in challenges resulting from individuals with mental illness. Incarcerated individuals in crisis as a result of untreated mental illness pose a substantial risk not only to themselves, but to other inmates and County Jail personnel. Defendants with untreated mental illness that are released from custody pending trial pose a risk to the community and face challenges appearing in court and participating in the judicial process.

### **2. Description of the Problem:**

Sarpy County is requesting funding in order to continue the Mental Health Intensive Case Management Program. This Program assists some mentally ill persons involved in the criminal justice system by providing intensive case management services designed to help them establish independent living skills, manage their mental illness and reduce their future contacts with the criminal justice system. According to the Bureau of Justice Assistance,

People with mental illness are significantly represented in the segment of the population in contact with the criminal justice system. Approximately 5% of the U.S. population has a serious mental illness...while about 16% of the prison or jail population has a serious mental illness. Of the 10 million people booked into U.S. jails in 1999, at least 700,000 had a serious mental illness and approximately three-quarters of those individuals had a co-occurring substance abuse disorder ([www.bja.gov/programdetails](http://www.bja.gov/programdetails)).

In 2008, approximately 1,100 adults with mental illnesses were incarcerated in jails and prisons in Nebraska. Additionally, an estimated 21% of female and 14% of male jail inmates nationally live with serious mental illness ([www.nami.org](http://www.nami.org)).

County jails throughout the country have become de facto mental institutions. This is apparent in Sarpy County as the County continues to experience an increase of people with mental illness coming into contact with the justice system. In 2013, there were a total of 5,081 people booked into County Jail and 576 of those (11%) were treated in the Jail for some sort of mental illness. As of July 1, 2014, there have already been 16 inmates put on Level 1 Behavioral Watch and 21 inmates on Level 2 Behavioral Watch for 2014. Level 1 indicates immediate danger to themselves and Level 2 indicates mental health concerns and potential self-harm issues exist.

The Sarpy County Law Enforcement Center has initiated an intake survey of all individuals booked in the Jail. On the survey, inmates are asked the following questions: 1) Have you ever tried to kill yourself or done serious harm to yourself 2) Do you have any serious medical or mental problems. 3) Are you suicidal now or have you attempted suicide in the past 4) Do you intend to harm yourself or others while in this facility 5) Do you have any serious medical conditions.

In 2010, 808 inmates answered yes to one of the questions. When asked what their serious medical condition was, 141 individuals (17%) indicated that they had some sort of mental health issue. Some of the mental health conditions given were: PTSD, Depression, Bi-Polar, Anxiety, Schizophrenia, Social Anxiety, ADHD, mood disorders, Panic attacks, and alcohol and drug addictions. Of all individuals responding, 264 (33%) reported current suicidal ideations or past suicide attempts and 83 inmates (11%) had multiple bookings into the jail.

In 2011, 1,283 inmates answered yes to one of the questions. 196 (15%) reported mental health issues and 396 (31%) reported current suicidal ideation or previous suicide attempts, 179 (16%) had multiple bookings during 2011.

In 2012, 1,259 inmates booked into the jail answered yes to one of the questions. Of these inmates, 271 (22%) reported mental illness and 353 (28%) reported current suicidal ideation or past suicide attempts. Of those responding, 166 inmates (15%) had multiple bookings during 2012.

In 2013, 1,131 inmates answered yes to one of the questions. Of these, 395 (35%) reported current suicidal ideation or past suicide attempts. 259 inmates (23%) reported having a mental health diagnosis. Of those responding, 96 (8%) had multiple bookings. Many of these were charged with non-violent or property offenses, such as, trespassing, disorderly conduct, criminal mischief, littering, or lewd conduct, which are likely to not to have been committed except for the mentally ill status of the offender.

In January 2014, at the request of the Mental Health Case Management Program, the jail implemented the "Brief Jail Mental Health Screening Tool (BJMHS)". This instrument is administered to all inmates booked into the county jail. Inmates answering yes to certain questions, or a combination of questions are referred to Pretrial Release for further assessment by the LMHP and possible referral into the Mental Health Case Management Program. As of June 30, 2014, 649 BJMHS's were administered, 163 were referred for further assessment. The LMHP has conducted 43 further assessments (assessments were not conducted on sentenced inmates, as they are not eligible for Mental Health Case Management).

Incarcerated individuals in the Sarpy County Jail are given the opportunity to see a Counselor and a Psychiatrist if needed. In 2013, there were 553 inmates seen by a Counselor while incarcerated in the Sarpy County Jail. During that same time period, there were 23 inmates seen by a Psychiatrist while incarcerated in the Sarpy County Jail. During 2012, 807 inmates were seen by a Counselor, and 18 were seen by the Psychiatrist and in 2011, 917 inmates saw the Counselor and in 2010, 631 inmates were seen by a Counselor. The decrease in the number of inmates seen by a counselor or a psychiatrist may be due to the fact that the jail transitioned to a private medical provider in 2013.

Stress of incarceration can exacerbate symptoms and lead to mental health crises, requiring intervention measures. The extra time and attention required by persons with mental illness in the justice system can be very costly and can interfere with a person's ability to meet obligations to the courts or to corrections programs which result in re-arrest and violations of pretrial release, probation, parole, or other court orders. According to the President's New Freedom Commission on Mental Health, 2003,

Many individuals with mental illness report that their mental illness was first identified in jail or prison or that uncontrolled symptoms contributed to criminal behavior. The Commission recognized that the criminal justice system often becomes the primary source of mental health care and suggests trying to divert these individuals to more appropriate and typically less expensive supervised community care. These programs can involve individuals in treatment and rehabilitation, helping them to become successful, contributing members of their community (*President's New Freedom Commission on Mental Health, 2003*).

Pretrial Release supervises defendants with mental illness but prior to being awarded JAG funding last year (2013), there were no services or resources in place to give these clients the significant amount of attention they require. The Pretrial Mental Health Case Management Program accepted its first clients in February 2014. Currently, the Mental Health Case Manager supervises 23 defendants with mental illness. The clients who participate in the program benefit from assistance with addressing mental health and substance abuse issues while navigating the court system. Each case has presented triumphs and obstacles but there is one client who clearly would not have made the progress she has if the Mental Health Case Management Program had not been implemented. This client's progress is evidence that the Case Management Program has helped her address the root of her involvement with the criminal justice system, which is her mental illness.

Client was booked into the Sarpy County Jail on February 19, 2014 for Possession of a Controlled Substance. This client was initially referred by the jail medical staff for a Further Mental Health Evaluation after completing the Brief Jail Mental Health Screening on February 20, 2014. When Pretrial staff met with the client, she was exhibiting symptoms of mental health instability and signs of being under the influence of an illegal substance. At that time, client declined to be screened for Pretrial eligibility and participation in Pretrial Mental Health Case Management (PT MHCM).

Client posted bond on February 21, 2014. Client was rebooked into the Sarpy County Jail on April 3, 2014 after she failed to appear for her preliminary hearing and a warrant was issued for her arrest. Client was again referred for a Further Mental Health Evaluation following the administration of the Brief Jail Mental Health Screening on April 4, 2014. This time, the client agreed to the Pretrial Release Screening and the Further Mental Health Screening. Despite the fact that this client did not have a lengthy criminal history, she reported being previously diagnosed with Bipolar Disorder and possibly Schizoaffective Disorder. She also reported numerous past psychiatric hospitalizations, with the most recent one occurring in March 2014, while she was out on bond. Client reported that her hospitalizations are due to her slipping into a manic state aggravated by methamphetamine usage. It was apparent during the evaluation process that jail was not an appropriate place for this client and her mental health needs could be better addressed through the Mental Health Case Management program. Client was placed on the Mental Health Case Management program on April 14, 2014.

During participation in the program, client has been diligent about addressing her mental health and substance abuse issues. She meets with the Mental Health Case Manager (MHCM) on a weekly basis to assess her needs and strengths and review progress on her case plan. The Pretrial Mental Health Needs and Strengths Assessment identified employment, medication compliance, transportation, depression, anxiety, and substance abuse as target areas needing to be addressed. Goals and strategies were outlined in her case plan. Client has set up therapy, psychiatric care, and intensive outpatient treatment through Lutheran Family Services in order to address her mental health and substance abuse needs. For additional support, the client attends weekly Alcoholics Anonymous (AA) meetings and has provided proof to the Case Manager of her attendance.

In addressing her transportation needs, the client has been able to utilize PT MHCM grant funds for transportation needs to and from the drug testing lab on days she must test and also for weekly meetings with the Case Manager. Once the client was able to acquire psychiatric care, she requested assistance with obtaining her medications. The Case Manager contacted One World Health Center's pharmacy, which worked with the client on receiving her medications at a sliding fee/lower cost co-pay.

The client was able to receive her prescribed medications of Lithium and Zyprexa for a one time lower co-pay of \$5. This lower co-pay is available to the client to use one more time during the year. When the client needed a refill, the case manager worked with One World Health Center's pharmacy to provide a medication voucher (utilizing grant funds) to pay for the refill. Client was then able to secure medication assistance through Lutheran Family Services and the manufacture of Zyprexa. The client will be able to receive the prescribed Zyprexa for up to a year free of charge. The client also sought out local pharmacies that offer Lithium at a very minimal cost. Client believes she will be able to cover the cost of future refill needs for the prescribed Lithium.

At this time, the client is not currently employed but believes she will be eligible for unemployment benefits in July 2014. Client had previously received Social Security funds due to her mental illness, but at this time, she is not planning on applying for these funds, as she is hopeful that her mental health will continue to be stable and she can enter the work force again.

This client has been very successful at addressing her mental health and substance abuse needs. With the assistance and encouragement of the Case Manager, the client has been proactive in contacting community resources and has been good about seeking help from her Case Manager when needed. The client reports that her mood and anxiety levels have been stable for several weeks. The client has also been active in participating in her court case and has not failed to appear for any further hearings. As the court proceedings are drawing to a close, the client continues to ensure she is maintaining sobriety and stability of her mental health diagnoses.

When the client's case is closed, she will be offered aftercare planning and services for up to a year through the PT MHCM program. Had this client not been given the opportunity to participate in the PT MCHM program to help address her mental health and substance abuse concerns while going through the court system, she more than likely would have failed to appear again and been back in the County Jail a third time where her mental health would have continued to decline, or she would have been released without proper knowledge and support, possibly leading to a continuous cycle of recidivism and failures to appear. It is the intention of the Pretrial Mental Health Case Management program to continue to provide assistance and support for clients in similar situations, thus promoting public safety and client well-being.

This client has proven that with adequate assistance, people with mental health issues can stop the cycle of recidivism and work toward a healthy future. Sarpy County criminal justice officials have recognized the need to provide an alternative form of services to individuals experiencing mental health issues. By providing intensive mental health services, Sarpy County can help to break the cycle of recidivism and provide a more appropriate system of caring for the mentally ill that will achieve a more efficient use of resources, provide treatment and services to the mentally ill, and better serve public safety.

3. **Statistical Documentation of the Problem:**

**As of the writing of this application, the Mental Health Program has only been operational for 6 months and data below is not yet reflective of the Program's true success.**

	2010	2011	2012	2013	% Change
Number of persons that were seen by the Counselor while incarcerated	631	917	807	553	-12.4%
Number of persons seen by a psychiatrist while incarcerated	22	24	18	23	+4.5%
Number of individuals placed on Level 1 behavioral watch in the County Jail	18	34	27	32	+77.8%
Number of individuals placed on Level 2 behavioral watch in the County Jail	51	55	71	48	-5.8%
Number of inmates reporting current mental health issues on intake survey	141	196	271	259	+83.7%
Number of inmates reporting mental health issues with multiple bookings	83	179	166	96	+15.7%
Percentage of persons on pretrial release charged with substance abuse related crimes	36%	51%	53%	45%	+25%
Total number of persons placed on pretrial release program	134	255	333	374	+179%

**Source: Sarpy County Jail Management System, Sarpy County Pretrial Release Services.**

- The number of people seen by the Counselor while incarcerated increased 27.9% from 2010 – 2012 and has decreased 31% in the last year. Counselor was unavailable for a portion of the year.
- Level 1 behavioral watch numbers increased 77.8% between 2010 and 2013. Level 1 behavioral watch indicates an inmate is in immediate danger to themselves. They are given only a safety blanket with no other property.
- Level 2 behavioral watch numbers have decreased 5.8% from 2010 – 2013 which can be attributed in part to the Mental Health Program due to the type of clients that are served in the Program. Level 2 behavioral watch indicates some mental health concerns and potential self-harm issues may be present (good candidates for the Mental Health Program).
- Pretrial Release Services has experienced a 179% increase from 2010 – 2013 as a way to establish Alternatives to Incarceration (ATI) programs and community correctional services that pair sanctions with therapeutic services, helping to alleviate jail over-crowding issues.
- The percentage of people placed on Pretrial Release experiencing substance abuse issues has increased 25% between 2010 and 2013.
- The number of inmates reporting current mental health issues on intake survey increased 83.7%.
- The number of inmates reporting mental health issues with multiple bookings in the County Jail increased 100% from 2010-2012 but has decreased 42% from 2012-2013.

## **Current Efforts**

Nebraska Legislative Bill (L.B. 1083), Nebraska Behavioral Health Services Act, passed in 2004, has ushered in a period of mental health reform across the state. L.B. 1083 addresses the lack of community based mental health treatment infrastructure in Nebraska. As a result, stakeholders in Sarpy County have been working to establish a mental health treatment system that addresses the needs of the community's mentally ill.

Beginning in late 2011, the Sarpy County Criminal Justice Committee (CJCC) began discussions on the mental health issues in the County Jail and how to alleviate problems associated with mentally ill persons who are incarcerated. Several stakeholders attended a local meeting to discuss options for appropriately handling a program which would screen, monitor, and supervise mentally ill persons and their subsequent treatment upon release and/or prior to a court date.

In March 2013, the CJCC voted to form a sub-committee in order to specifically address the ongoing problems associated with mentally ill individuals coming into contact with the justice system. This sub-committee meets monthly, working toward the goal of establishing alternatives to incarceration (ATI) programs and community correctional services that pair sanctions with therapeutic services.

Discussions in the sub-committee meetings centered on a common theme of getting people with mental illness the help they need to avoid future contacts with the justice system and to try to alleviate over-crowding in the County Jail with non-violent and repeat offenders. Since the creation of the mental health sub-committee, several efforts have been made to address the ongoing mental health crisis. In addition to the Mental Health Case Management Program through Pretrial Release, the County Attorney has implemented a Mental Health Diversion program. Pretrial Release and Mental Health Diversion will conduct monthly meetings to determine if Case Management clients may be eligible for Diversion at some point. The sub-committee has offered two training/networking events to county employees at which providers from the community presented on the services and how to access these services. Another sub-committee was formed to address transportation needs within the County, as this continues to be a burden to clients' success.

The Sarpy County Sheriff's Office has agreed to implement a plan to provide Crisis Intervention training to several deputies. Once the Crisis Intervention Team (CIT) training is complete, there will be several deputies trained to respond to crises involving the mentally ill. CIT training emphasizes recognition of mental illness, assisting to diffuse situations before they result in arrest and can offer alternatives to arrest when dealing with a mentally ill person. The crisis response training along with utilizing the Brief Jail Mental Health Screening (BJMHS) at the time of booking, and community based programs, such as the Mental Health Case Management program will help to create a continuum of interventions designed to reduce the number of mentally ill who enter the criminal justice system and improve outcomes for those who do enter the system. CITs have been recognized as an evidence-based practice under Byrne/JAG funding in several states and jurisdictions around the country ([www.ncjp.org/policy\\_practice/practice/law\\_enforcement](http://www.ncjp.org/policy_practice/practice/law_enforcement)). The training is offered free of charge through Douglas County and Alegent-Creighton Health Systems.

The criminal justice system plays an important role in mental health reform. This project provides an opportunity to partner with community providers at a time when they are developing their capacity to provide more care options. The continuation of the Mental Health Intensive Case Management program needs to take place alongside this broader process of system change that is currently taking place to maximize its standing as an integrated piece of the system.

## Project Operation

Sarpy County is requesting grant funds to continue the Mental Health Intensive Case Management Program to assist some mentally ill persons who are arrested and in the criminal justice system by providing intensive case management services designed to help them establish independent living skills, manage their mental illness and reduce their future contacts with the criminal justice system.

The goals of the Sarpy County Intensive Mental Health Case Management project are to:

- Promote public safety by providing resources and treatment to persons with mental illness and monitor compliance with treatment plans and Court orders
- Provide courts, counsel and providers with compliance reports and progress updates regarding clients' treatment plans and Court ordered conditions of release
- Promote communication and collaboration between community stakeholders, treatment providers, law enforcement, corrections personnel and the Courts. Improve communication between professionals and individuals with mental illness and families of those with mental illness.
- Reduce the number of incarcerations for people with mental illness
- Reduce recidivism among individuals with mental illness.

Sarpy County will continue a post-booking Mental Health Intensive Case Management program through Pretrial Release Supervision. A post-booking program is one that identifies and works with mentally ill persons after they have been arrested and booked into jail. Each person who is booked into jail will be screened for mental illness. Any person who is identified through the initial screening process as mentally ill will be referred to a screener who will then assess them for further treatment options and acceptance into the program as a condition of their bond. This assessment includes an interview of the candidate and a review of the candidate's mental and physical health records from their current provider, if one exists. Based on the analysis, the screener makes a recommendation to the Judge and counsel for admission to the program.

Appropriate candidates are those who would most likely not be in contact with the criminal justice system were it not for their mental illness and those who exhibit an ability and willingness to seek care and receive assistance from the program. In making a decision as to participation in the program, the Court will consider the assessment provided by the screener as well as the candidate's criminal history and where the interest of the community is best served.

The participant is assigned to the Case Manager. The Case Manager works with each participant to ensure that they receive all the necessary support services. The Case Manager assists the participants with securing appropriate mental and physical health services, substance abuse treatment, housing, applying for assistance programs, clothing, food, education/training, and employment options. The Case Manager has daily contact with participants at the beginning of the program to ensure they stick with treatment programs, maintain medication as recommended, trouble shoot problems and work toward long-term goals. The goal of the program is to help each participant establish basic resources including mental health treatment that can be maintained independently following their completion of the program.

The Screener assesses candidates at the county jail facilities. The Case Manager is housed in the Pretrial Release Services department. Sarpy County works with service providers to assist with ongoing treatment plans. Clients exit the program at the conclusion of his/her court case. Generally, this will be at the time of sentencing. However, a client may be released from the program if a violation occurs, the case is dismissed, or at any time at the Court's discretion. Length

of program will vary from person to person depending on Court orders and willingness of the client to fully participate in programming options. Although the program is a collaborative one, with many participating partners, Sarpy County Pretrial Release Services will serve as the leader. Program partners include representatives from the major criminal justice departments in the county including: County Attorney's Office, District Court, County Court, Public Defender's Office, Health and Human Services Office, Community Services, Office of Veteran's Affairs, the Sarpy County Sheriff's Office/Law Enforcement Center, and various service providers in the area.

The concept of intensive mental health case management is based on the Problem-Solving Court model. Problem-Solving Courts are an alternative approach to handling criminal justice issues that are designed to focus on offender treatment, victim restitution, and decreasing recidivism. Problem solving courts have often developed around issues where the traditional court system has not been able to adequately address the issue. Specialty problem areas such as drug related crime and domestic violence are often rooted in complex social issues that require comprehensive and coordinated responses. Many jurisdictions have begun applying this practice to the problem of the mentally ill. Mental health case management programs offer a therapeutic driven approach to jurisprudence, focusing on the extent to which the courts can use the opportunity of legal proceedings to promote an outcome that will improve the well being of the accused and of society.

According to the National Center for Justice Planning, Byrne JAG funds are being used in Tennessee to provide mental health services to inmates and those on a post-booking program. Case managers assist offenders with issues including accessing benefits and continuing treatment upon release. Funds help facilitate and coordinate services for offenders with mental illness or co-occurring disorders, with a primary emphasis on diverting offenders into community services, support and care. The grant has provided funding for support services for offenders returning to the community. The goal is to reduce the number of individuals with mental illness and substance abuse disorders involved in the criminal justice system ([www.ncjp.org/policy\\_practice](http://www.ncjp.org/policy_practice)).

In Iowa, Byrne/JAG funds support a mental health court which provides oversight, correctional supervision, and coordinated treatment services for mentally ill offenders that may face more frequent/lengthy periods of incarceration without significant help. In Colorado, Byrne funds support a Pretrial project that helps defendants spend less time in jail and more time in the community under professional pretrial supervision. The project demonstrated that new practices for bail/bond administration and pretrial services are more effective and sustainable ([www.ncjp.org/policy\\_practice](http://www.ncjp.org/policy_practice)).

The First Judicial District in Waterloo, Iowa received JAG funding to implement a dual-diagnosis program which is a comprehensive approach to providing mental health and co-occurring substance abuse treatment for offenders. The program was established to identify, educate, and treat offenders. It brought together the three professions – mental health, substance abuse, and corrections. The program expects offenders to comply with supervision requirements, medication management, participation in services, and other programming. This program was a JAG Showcase Program for FY2007 ([www.ncjp.org/policy\\_practice/practice/jag\\_showcase](http://www.ncjp.org/policy_practice/practice/jag_showcase)).

Additionally, Douglas County, Nebraska implemented a similar post-booking mental health diversion program that has been very successful in the seven years it has been running. Case Managers work with participants to ensure they receive all the necessary support to achieve independent living. In 2012 alone, Douglas County was able to assist 178 individuals with 78% of the participants successfully completing the program.

**Activity/Timeline:**

**1. Reoccurring Activities:**

Position	Activity Responsible For
Supervision Specialist/Case Manager/Sarpy County Deputies	Perform initial screening at the time of booking to refer potential clients to Pretrial Release Mental Health Case Management Program.
Supervision Specialist	Conduct evaluation and assessment on those individuals that meet initial eligibility criteria at initial booking.
Supervision Specialist	Make recommendations to the Court for placement into the program after evaluation and assessments are complete. Recommend conditions of bond to the Court; ex: electronic monitoring, evaluation(s), programming, etc...
Case Manager	Manage and monitor individuals in the Program; includes frequent contact, assistance with transportation to and from Court, community based services and appointments on a regular basis.
Case Manager	Conduct drug and alcohol testing and report compliance with electronic monitoring, communicate with service providers and Supervision Specialist.
Case Manager	Evaluate individual progress on a regular basis and report to Director.
Case Manager	Participate in team meetings with providers and other stakeholders to discuss progress and compliance of clients.
Case Manager	Accurately report compliance and progress to the Court upon request.
Sheriff's Office Designated Deputies/ Case Manager/Director/Supervision Specialist	Attend Crisis Intervention Team (CIT) training in Omaha.
Case Manager/Director/Grant Coordinator	Complete Quarterly Performance Reports.
Case Manager/Director/Supervision Specialist/Law Enforcement Center	Gather statistics and performance measures for continued funding options. Evaluate Program for success and cost savings. Report progress to the Crime Commission and the Bureau of Justice Assistance.
Case Manager/Director/Supervision Specialist/Grant Coordinator	Work with County officials on future funding options/write grant for 2 <sup>nd</sup> year funding and possible expansion of the Program based on gathered statistics.

## Performance Measures

Goal, Objectives & Performance Indicators		
Goal: Reduce recidivism among individuals with mental illness.		
Objective #1 Assist clients in achieving stabilization through obtaining services, aid, housing, medication, etc...		
Performance Indicators:	Baseline Statistics	Projected Results (Outcomes)
Number of mental health and/or substance abuse evaluations conducted.	8	30
Number of referrals for treatment, counseling, and relevant services.	30	65
Number of participants that obtain medication.	7	25
Number of assessments conducted at the County Jail	649	1400
Number of further assessments conducted by the LMHP	43	90
Objective # 2 Through frequent contact with clients, reduce the number of revocations of Pretrial Release due to law violations and technical violations.		
Performance Indicators:	Baseline Statistics	Projected Results (Outcomes)
Number of defendants revoked for law violations.	.05%	1%
Number of defendants revoked for technical violations.	.05%	10%
Number of defendants with multiple jail bookings (the high percentage can be attributed to the fairly low number of clients who have participated in the program since accepting the first client in February 2014).	25%	10%

Goal: Reduce the number of failures to appear for participants.		
Objective #1 Through frequent contact with clients and reminder notices (verbal and written) reduce the number of failures to appear for court.		
Performance Indicators:	Baseline Statistics	Projected Results (Outcomes)
Number of failure to appear warrants issued (the high percentage can be attributed to the fairly low number of clients who have participated in the program since accepting the first client in February 2014).	12%	5%
Objective #2 Offer transportation for defendants to and from court proceedings.		
Performance Indicators:	Baseline Statistics	Projected Results (Outcomes)
Number of rides provided for court appearances, appointments, and drug testing. (The transportation need is clearly there, finding a source of transportation has been a barrier and is currently being addressed).	12	120

**Applicant Disclosure of Pending Applications:**

Applicants are to disclose whether they have pending applications for federally and or state funded grants that include requests for funding to support the same project being proposed under this solicitation and will cover the identical cost items outlined in the budget in the application under this solicitation. **Please mark none if you have no pending applications.**

Federal or State Funding Agency	Solicitation Name/Project Name	Name/Phone/E-mail for Point of Contact at Funding Agency
NONE	NONE	NONE

## **Information for Continued Grant**

- 1) Describe the most recent (past year) funded grant project's accomplishments and milestones.

As of the writing of the grant application, the Sarpy County Mental Health Intensive Case Management Program has only been operational for six (6) full months. However, in this short time period, the Program accomplished many important milestones. Listed below are a few of the accomplishments during the first year of funding:

Hired one FTE Case Manager.

Began accepting and serving clients.

Implemented the Brief Jail Mental Health Screening Instrument in the Sarpy County Jail.

Created Risk/Needs Assessment, Case plan, and After Care Plan.

Created the Case Manager Handbook.

Expanded the network of providers and community resources available to Sarpy County.

- 2) List the results of the project's measurable outcomes achieved.

- Conducted 649 "Brief Jail Mental Health Screenings" on inmates booked into the Sarpy County Jail.
- Performed 43 further assessments on potential Mental Health Case Management clients.
- Accepted 26 clients into the program from February-July 2014 (first 6 months).
- Conducted 146 urinalysis tests on case management clients from Jan-July 2014 (there were 25 positive tests, excluding positives related to prescribed medications and baseline samples).
- Four clients have completed the program to date, one is currently active in after care.
- Eight substance abuse/mental health evaluations have been completed.
- Nine clients are actively participating in treatment.

- 3) Explain any problems, barriers or challenges during the previously funded grant project. Discuss how these were addressed and the end results.

The Program did have a few challenges during the first few months. One of these challenges was the lack of transportation in the area. Most of the clients that are served in the Program are indigent and have no form of transportation to or from appointments and court dates. The Case Manager reached out to a local business that offers transportation services and the Program is now working with this business to provide for the transportation needs of clients.

The timeliness of referrals from the jail has also been a barrier. Referrals were being received after bond was set. This has been addressed with the Jail Captain and has been taken care of by the Jail Medical Staff. Homelessness/lack of residential stability has been a barrier for the Program and Staff has started working with community based agencies and client support networks to help resolve some of these issues.

Lack of knowledge/understanding of the program has also been a barrier. Meetings were held with the County and District Court Judges, and with the Public Defender and County Attorney's Offices to explain the program and referral process. However, the legal parties have often forgotten the Program's existence, private attorneys are often not aware that it is an option. As the Program grows and demonstrates its value, it will become more commonplace to utilize it as a condition of bond.

Additionally, clients with a dual diagnosis were continuing to self-medicate. The Program is holding clients accountable through random drug testing and bond reviews if they are not compliant in abstaining. The Case Manager found it a little challenging at first to navigate her role with the client between defense counsel and the prosecution. The meetings with the Public Defender and County Attorneys should increase the level of understanding of the Case Manager's role.

- 4) Clearly state how continuation funding is vital to the ongoing success of the program.

Clients in this Program are primarily indigent and unable to pay for services. Funding to assist with evaluations, medication, treatment, and transportation has been critical to client success in the Program. In addition, funding is essential in order to sustain the Full-time Case Manager position. Without a Case Manager, this program could not be operational. The Case Manager handles the day to day duties and works one on one with clients helping to serve them on a case by case basis. Each client has individual needs and the Case Manager works diligently to meet those needs in order for them to successfully exit the Program and comply with their Court Orders.

**MULTI- JURISDICTIONAL TASK FORCES MUST SUBMIT THE FOLLOWING DOCUMENTATION:**

N/A

1. A listing of current Governing/Advisory Board
2. Copies of current MOUs between all active task force participants
3. A copy of the task forces formal auditing process for funds used for buys and confidential informants
4. Listing of task force commander/supervisor and dedicated personnel of local and/or state agency. Listing of any coordination with federal agencies.
5. Documentation of current By-Laws and/or Policies and Procedures that govern the task force and have been agreed upon by the active participating task force members.

# **REQUIRED FORMS- All Applicants**

**The following forms are to be completed and signed by the appropriate individual as part of the grant application.**

**(NOTE: The authorized official would include: county board chair, mayor, city administrator, chair or vice-chair of non-profit agency.)**

## CERTIFIED ASSURANCES

1. The applicant assures that federal block grant funds made available under the Byrne Memorial Drug Control and System Improvement Formula Grant Program will not be used to supplant existing funds, but will be used to enhance or expand drug and violent crime control activities as stated in this application.
2. The applicant assures that fund accounting, auditing, monitoring, and such evaluation procedures as may be necessary to keep such records as the Nebraska Commission on Law Enforcement and Criminal Justice shall prescribe will be provided to assure fiscal control, proper management, and efficient disbursement of funds received under the Act.
3. The applicant assures that it shall maintain such data and information and submit such reports, in such form, at such times, and containing such information as the Nebraska Commission on Law Enforcement and Criminal Justice may require.
4. The applicant certifies that the proposed project fulfills all program requirements; that all the information is correct; that there has been and will be throughout the life of the grant, appropriate coordination with affected agencies; and, that the applicant will comply with all provisions of the Drug Control and System Improvement Formula Grant Program as well as all other applicable federal laws.
5. The Subgrantee will comply, and all its contractors will comply, with the nondiscrimination requirements of the Omnibus Crime Control and Safe Streets Act of 1968, as amended, 42 USC3789(d), or Victims of Crime Act (as appropriate); TitleVI of the Civil Rights Act of 1964, as amended; Section 504 of the Rehabilitation Act of 1973, as amended; Subtitle A, Title II of the Americans With Disabilities Act (ADA) (1990); Title IX of the Education Amendments of 1972; the Age Discrimination Act of 1975; Department of Justice Non-Discrimination Regulations, 28 CFR Part 42, Subparts C, D, E, and G; and Department of Justice regulations on disability discrimination.
6. The applicant assures that in the event a federal or state court, or federal or state administrative agency makes a finding of discrimination after a due process hearing on the grounds of race, color, religion, national origin or sex against a recipient of funds, the recipient will forward a copy of the finding to the Office of Civil Rights Compliance (OCRC) of the Office of Justice Programs.
7. The applicant assures that, if required, it will formulate an equal employment opportunity program (EEOP) in accordance with 28 CFR 42.301 et. seq., and submit a certification to the state that it has a current EEOP on file which meets the requirements therein.
8. The subgrantee assures that it and its contractors will comply with the provisions of the Office of Justice Programs "Financial and Administrative Guide for Grants," M 7100.01.
9. Pursuant to Office of Management and Budget Circular A-133, "Audits of Institutions of Higher Education and other Nonprofit Organizations", each applicant has the responsibility to provide for an independent audit of their activities on an annual basis. This audit is to be performed on an organization wide basis as opposed to a grant-by-grant basis. The audit must include (1) the auditor's report on financial statements, and (2) the auditor's report on compliance. A copy of the audit report is to be submitted to the Crime Commission. The subgrantee agrees to submit their corrective plan with the audit report to the Crime Commission when there are findings/recommendations disclosed in the audit report.

**CERTIFIED ASSURANCES - Continued**

10. Confidentiality: No recipient of monies or any personnel involved in the program under the Drug Control and System Improvement Formula Grant Program shall use or reveal any information received from the program for any purpose other than the purpose for which such information was obtained.
11. The applicant agrees to submit required reports to the Crime Commission in a timely manner.
12. The applicant agrees to establish and maintain a Drug Free Workplace Policy.
13. The applicant agrees to attend training as required by the Nebraska Crime Commission.
14. The applicant will comply, and all its contractors will comply with the Equal Treatment for Faith Based Organizations Title 28 C.F.R. part 38.

**CERTIFICATION**

I hereby certify that I have read and reviewed the above assurances; that the applicant will comply with all provisions of the Anti Drug Abuse Act and all other applicable federal and state laws; and, the applicant will implement the project as written if approved by the Crime Commission.

\_\_\_\_\_  
(SIGNATURE OF AUTHORIZED OFFICIAL) 7-29-14  
\_\_\_\_\_  
(DATE)

1210 Golden Gate Drive, Papillion, NE 68046  
\_\_\_\_\_  
(ADDRESS)

Jim Thompson Chairman, Board of Commissioners  
\_\_\_\_\_  
(TYPED NAME) (TITLE)

402-593-4155  
\_\_\_\_\_  
(TELEPHONE NUMBER)

## **CERTIFICATIONS REGARDING LOBBYING; DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTER; AND DRUG-FREE WORPLACE REQUIREMENTS**

Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. Signature of this form provides for compliance with certification requirements under 28 CFR Part 69, "New Restrictions on Lobbying" and 28 CFR Part 67, "Government-wide Debarment and Suspension (Nonprocurement) and Government-wide Requirements for Drug-Free Workplace (Grants)." The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Justice determines to award the covered transaction, grant, or cooperative agreement.

### **1. LOBBYING**

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 28 CFR Part 69, for persons entering into a grant or cooperative agreement over \$100,000, as defined at 28 CFR Part 69, the applicant certifies that:

- (a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement;
- (b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form – LLL, "Disclosure of Lobbying Activities," in accordance with its instructions;
- (c) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all subrecipients shall certify and disclose accordingly.

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### **2. DEPARTMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS (DIRECT RECIPIENT)**

As required by Executive Order 12549, Debarment and Suspension, and implemented at 28 CFR Part 67, for prospective participants in primary covered transaction, as defined at 28 CFR Part 67, Section 67.510-

A. The applicant certifies that it and its principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of Federal benefits by a State of Federal court, or voluntarily excluded from covered transactions by any Federal department of agency;
- (b) Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
- (d) Have not within a three-year period preceding this application had one or more public transactions (Federal, State or local) terminated for cause or default; and

B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.

---

### **3. DRUG-FREE WORKPLACE (GRANTEES OTHER THAN INDIVIDUALS)**

The applicant certifies that it will or will continue to provide a drug-free workplace by:

- A. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition:

B. Establishing an on-going drug-free awareness program to inform employees about—

- (a) The dangers of drug abuse in the workplace;
- (b) The grantee's policy of maintaining a drug-free workplace;
- (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (d) The penalties that may be imposed upon employees for drug abuse violation occurring in the workplace;

C. Notifying the employee in the statement that the employee will:

- (a) Abide by the terms of the statement; and
- (b) Notify the employer in writing of his or her conviction of a criminal drug statute occurring in the workplace no later than five calendar days after such convictions;

The subgrantee shall notify the Crime Commission in writing of any conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction.

The subgrantee certifies that it will take one or more of the following actions within 30 calendar days of receiving notice of the conviction:

- A. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
- B. Requiring such employee to participate satisfactorily in a drug abuse assistance of rehabilitation program approved for such purpose by a Federal, State or local health, law enforcement, or other appropriate agency;

The subgrantee certifies that it will make a good faith effort to continue to maintain a drug-free workplace.

Organization Name and Address:

Jim Thompson, Chairman, Board of Commissioners

Typed Name and Title of Authorized Representative

Jim Thompson                      7-29-14  
Signature                                      Date

**Sarpy County Human Service Office**  
**1261 Golden Gate Dr. Suite 5E**  
**Papillion, NE 68046-2884**  
**402-593-4400**

July 16, 2014

Nebraska Crime Commission  
301 Centennial Mall South  
Lincoln, NE 68508

Re: Mental Health Case Management Grant

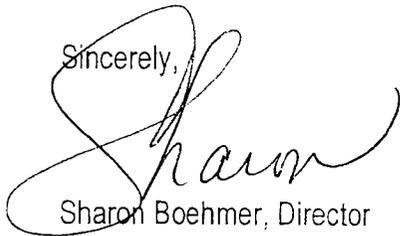
To Whom It May Concern:

Please accept this letter of continued support and Sarpy County Human Services intention to continue their collaboration in providing integrated services in conjunction with Sarpy County Pretrial. Sarpy County Human Service will continue to provide General Assistance to the residents of Sarpy County who meet county guidelines and will utilize other community resources.

The network of services coordinated by Sarpy County Pretrial and the Mental Health Case Management assist clients on Pretrial Release, remain stable with an after care plan while guiding them through the court process.

It is our hope that the Nebraska Crime Commission will continue to fund the Sarpy County Pretrial as a result of their response to the grant application for the Mental Health Case Management. Sarpy County Human Service will continue as a collaborative partner in the provision of services in Sarpy County.

Sincerely,

A handwritten signature in cursive script, appearing to read 'Sharon', written in black ink. The signature is fluid and somewhat stylized, with a large loop at the beginning and end.

Sharon Boehmer, Director  
Sarpy County Human Services

# District Court of Nebraska

SECOND JUDICIAL DISTRICT

Judge William B. Zastera

Sarpy County Courthouse  
1210 Golden Gate Drive, Suites 3131  
Papillion, Nebraska 68046-2887

Bailiff  
TERESA LIESEMEYER  
402-593-5951

Court Reporter  
BEVERLY HUERTER  
402-593-5952

July 15, 2014

Nebraska Crime Commission  
301 Centennial Mall South  
Lincoln, NE 68509

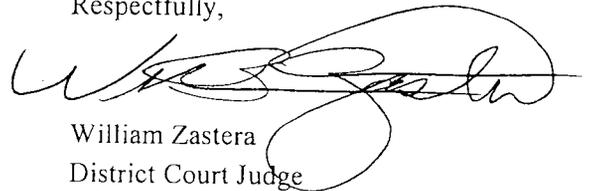
Commission members,

Please accept this letter of support for the continuation of the Mental Health Case Management program within the Sarpy County Pretrial Release Department. Defendants battling mental illness often remain incarcerated while trial is pending, and those who are released often abscond or fail to appear for Court due to untreated mental illness. This program aims to provide structure and services to defendants with mental illness; and has been extremely helpful to the participants as they move through the court system.

Sarpy County's Mental Health Case Management Program promotes public safety, since the clients are subject to intense supervision within the community. The program offers the Court another community based alternative to incarceration for defendants who may otherwise remain incarcerated during the trial phase. Assisting defendants in obtaining services to address mental health issues in the short and long term, will benefit not only the participant, but the judicial process as a whole.

I am supportive of this program and am hopeful that Sarpy County is again awarded funding to continue the Mental Health Case Management Program.

Respectfully,



William Zastera  
District Court Judge



**OFFICE OF THE SHERIFF**  
**SARPY COUNTY, NEBRASKA**  
**SHERIFF JEFFREY L. DAVIS**

1208 Golden Gate Drive, Papillion, Nebraska 68046-2800  
Phone 402-593-2288 Fax 402-593-4323



To Who it May Concern  
Nebraska Crime Commission  
301 Centennial Mall South  
Lincoln, Nebraska 68509

Date: 7-17-13

Commission Members

The Sarpy County Jail is writing this letter in support of a grant for a mental health case management program. The individuals that are arrested and proceeded into jail with mental health issues are problematic and present a high risk for self harm. Our jail provides some medical services for evaluation and medication maintenance, but that is not enough to manage the problem. These people need to have a program that they can be released to that will ensure treatment and medication compliance.

The grant that is being submitted is to create a mental health case management program within Pretrial Services. The courts would order a defendant to be on pretrial release "intensive case management" program as a condition of bond. The person would be assessed in jail by an Licensed Mental Health Practioner and supervised by a case manager that only handles mental health cases. Pretrial would make referrals for treatment, assist in obtaining medication, transportation, other resources, aid, and follow through of any case plan created by the providers or ordered by the court.

It is our hope that we will be able to take some higher risk clients out of the jail. In doing so it would help clients on Pretrial Program get stable and remain stable with an after care plan, while assisting them through the court process.

The Sarpy County Sheriff's Office supports this program concept and would ask for you to approve the grant.

Respectfully

Capt Dan Williamson

# SARPY COUNTY PUBLIC DEFENDER

1208 Golden Gate Drive, Box #1700

Papillion, Nebraska 68046

(402) 593-5933

FAX (402) 593-5939

Thomas P. Strigenz, Public Defender  
Patrick J. Boylan, Chief Deputy  
Dennis P. Marks  
Christopher J. Lathrop  
John P. Hascall

Tim Krajicek  
April L. O'Loughlin  
Mandy M. Gruhlkey  
Gary D. Olson  
Todd A. West

July 21, 2014

Nebraska Crime Commission

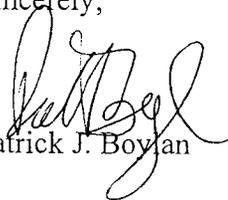
Re: Proposed Mental Health Case Management Program

Dear Commissioners:

I have represented indigent defendants as a defense attorney for 29 years. From the beginning I have seen individuals charged with criminal offenses who have significant mental health challenges. For anyone charged with a crime the court system can be a daunting experience. For defendants with a mental illness the experience can become harrowing. The longer one works in this field the more it dawns on everyone can become harrowing. The longer one works in this field the more it dawns on everyone involved (law enforcement, prosecution, judiciary, probation and corrections) that mental illness cannot be ignored. The advent of supervised release entities such as our Sarpy County Pretrial Services Office has gone a long way towards easing overcrowding. This has also significantly reduced costs.

Since its inception several years ago our Pretrial Service Office has established itself as a competent, dedicated professional arm of the court. I strongly support your consideration of establishing a mental health case management program under the direction of that office. I am confident they would deliver the same expert supervision of defendants with mental as well as legal challenges. The fact is, they are already doing this. With your support they would be able to do it in a more systematic way. I understand that they propose to handle clients from assessment by an LMHP through supervision to include treatment referrals, assistance with medications and treatment. This would provide a dual benefit; efficient court administration and long lasting help for The individual.

Sincerely,

  
Patrick J. Boylan

PJB/sb