

## CERTIFICATE

The undersigned hereby certify that they are the Chairperson and Clerk of Sanitary and Improvement District Number 311 of Sarpy County, Nebraska, (the "District") and hereby further certify as follows:

1. Annexed to this certificate is a true and correct transcript of the proceedings of the Board of Trustees of the District relating to a meeting of said District held on the date and at the time reflected in the meeting minutes contained in said foregoing transcript (the "Meeting"). All of the proceedings of the District and of the Board of Trustees thereof which are set out in the annexed and foregoing transcript have been fully recorded in the journal of proceedings of the District and the undersigned District Clerk has carefully compared the annexed and foregoing transcript with said journal and with the records and files of the District which are in such Clerk's official custody and said transcript is a full, true and complete copy of said journal, records and files which are set out therein.

2. Advance notice for the Meeting was given by publication as set forth in the affidavit of publication contained in the foregoing transcript and was mailed to the Clerk of the municipality or county within whose zoning jurisdiction the District is located at least seven days prior to the date of the Meeting. Advance notice for the Meeting, including notice of agenda subjects, was given to all members of the Board of Trustees. All news media requesting notification of meetings of said body were provided with advance notice of the times and places of such meetings and the subjects to be discussed.

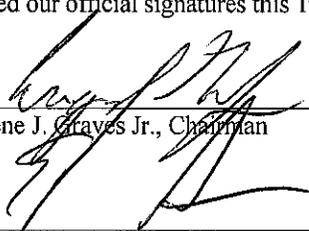
3. All of the subjects addressed at the Meeting were contained in the agenda for the Meeting, which agenda was kept continually current and readily available for public inspection at the address listed in the meeting notice for the Meeting and a copy of which is attached to this Certificate; such subjects were contained in said agenda for at least 24 hours prior to the Meeting and each agenda item was sufficiently descriptive to give the public reasonable notice of the matters to be considered at the Meeting.

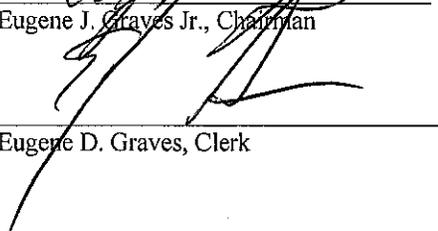
4. A current copy of the Nebraska Open Meetings Act was available and accessible to members of the public, posted during the Meeting in the room in which such Meeting was held and all in attendance at the Meeting were informed that such copy of the Nebraska Open Meetings Act was available for review and were informed of the location of such copy in the room in which such Meeting was being held. At least one copy of all resolutions and other reproducible written materials, for which actions are shown in said proceedings, was made available for examination and copying by members of the public at the Meeting.

5. The minutes of the Meeting were in written form and available for public inspection within ten (10) working days after the Meeting or prior to the next convened meeting, whichever occurred earlier, at the office of the District; within thirty (30) days after the date of the Meeting, a copy of the minutes of the Meeting was sent to the Clerk of the municipality or county within whose zoning jurisdiction the District is located.

6. No litigation is now pending or threatened to restrain or enjoin the District from the issuance and delivery of any warrants or other obligations issued by the District or the levy and collection of tax or other revenues or relating to any of the improvements for which any such warrants or other obligations were or are issued nor in any manner questioning the proceedings and authority under which any such warrants or other obligations were or are issued or affecting the validity thereof; neither the corporate existence or boundaries of the District nor the title of its present officers to their respective offices is being contested; no authority or proceedings for the issuance of any warrants or other obligations by the District have been repealed, revoked or rescinded as of the date hereof. All actions taken by the Board of Trustees referred to in said transcript were taken at a public meeting while open to the attendance of the public.

IN WITNESS WHEREOF, we have hereunto affixed our official signatures this 1<sup>st</sup> day of September, 2016.

  
\_\_\_\_\_  
Eugene J. Graves Jr., Chairman

  
\_\_\_\_\_  
Eugene D. Graves, Clerk

**MINUTES OF THE MEETING OF THE BOARD OF TRUSTEES  
OF SANITARY AND IMPROVEMENT DISTRICT NO. 311 OF  
SARPY COUNTY, NEBRASKA HELD AT 11:00 A.M. ON  
SEPTEMBER 1, 2016 AT 11627 VIRGINIA PLAZA, STE. 103, LA  
VISTA, NEBRASKA**

The meeting of the Board of Trustees of Sanitary and Improvement District No. 311 of Sarpy County, Nebraska was convened in open and public session at 11:00 a.m. on September 1, 2016, at 11627 Virginia Plaza, Ste. 103, La Vista, Nebraska, 68128.

Present at the meeting were Trustees Eugene J. Graves Jr., Eugene D. Graves, Mary E. Graves, Sue Sawyer Malnack and Sherri Hinkle. Also present were Larry A. Jobeun of Fullenkamp, Doyle & Jobeun, attorneys on behalf of the District; Eric Galley and Chad LaMontagne of Olsson Associates, engineers on behalf of the District; Rob Wood of Kuehl Capital Corporation, the municipal advisors on behalf of the District; and Jeff Paesl of Lutz & Company, accountants on behalf of the District. No Trustee was absent.

The Chairman then stated that a copy of the Nebraska Open Meetings Act was available for inspection in the room where the meeting was held in accordance with State Law.

Notice of the meeting was given in advance thereof by publication in The Bellevue Leader on August 24, 2016, a copy of the Proofs of Publication being attached to these minutes. Notice of the meeting was simultaneously given to all members of the Board of Trustees and a copy of their Acknowledgement of Receipt of Notice is attached to these minutes. Availability of the agenda was communicated in the published notice and in the notice of the Trustees of this meeting. All proceedings of the Board were taken while the convened meeting was open to the attendance of the public.

The Clerk then certified that notice of this meeting had been given to the City Clerk of Papillion, Nebraska and the Sarpy County Clerk at least seven (7) days prior to the time set by the Board of Trustees for this meeting and filed his Certificate to that effect, said Certificate being attached to these minutes and made part hereof by this reference.

The Chairman then presented the proposed budget of the District and advised that the Budget Summary had been published in The Bellevue Leader, a legal newspaper of Sarpy County, Nebraska, on August 24, 2016, a copy of the Proof of Publication being attached to these minutes.

The Chairman then advised that no property owners in the District appeared at this hearing on the proposed budget and Budget Summary to offer support, opposition, criticism, suggestions or observations concerning the proposed budget. The Chairman noted that the budget complies with the current provisions of the Nebraska Lid Law, and that the property taxes for the Bond Fund and General Fund are as follows:

Bond	\$ -0-	=	-
General	\$2,367.13	=	0.900000
Total	\$2,367.13	=	0.900000

Following discussion, the Chairman then declared the public hearing on the budget to be closed, whereupon, a motion was duly made, seconded and the following resolutions passed:

BE IT RESOLVED by the Board of Trustees of Sanitary and Improvement District No. 311 of Sarpy County, Nebraska that the budget be and hereby is adopted as proposed; and

BE IT FURTHER RESOLVED that the 2016/17 Property Tax Request for the Bond Fund and General Fund be set as follows:

Bond Fund	\$ -0-	0.0
General Fund	\$2,367.13	0.900000
Total	\$2,367.13	0.900000

BE IT FURTHER RESOLVED that the Clerk be and hereby is authorized and directed on behalf of the District to file or cause to be filed a copy of the Budget and Property Tax Request as adopted by the Board of Trustees with the County Clerk and Auditor of Public Accounts on or before September 20, 2016.

The Chairman then advised that it would be necessary for the District to request an Audit Waiver and further instructed the Clerk to sign and have notarized the attached Certificate of Audit Waiver. Then, upon a motion duly made, seconded and upon a roll call vote of "aye" by Trustees Eugene J. Graves Jr., Eugene D. Graves, Mary E. Graves, Sue Sawyer Malnack and Sherri Hinkle, the following Resolution was adopted:

BE IT RESOLVED by the Board of Trustees of Sanitary and Improvement District No. 311 of Sarpy County, Nebraska that the District's accountant be and hereby is authorized and directed to seek from the State Auditor a waiver of the audit requirement for the District for the Year ended June 30, 2016.

Then, upon, a motion duly made, seconded and unanimously adopted, the Chairman declared the above resolutions duly carried and adopted.

The Chair then presented the following statements for payment from the General Fund Account of the District and the Clerk was directed to attach a copy of said statements to these minutes:

a.	Chastain-Otis for issuance of new Chairman and Clerk bonds of the District (No. 27126).	\$ 120.00
b.	Fullenkamp, Doyle & Jobeun for legal services rendered in connection with the budget and expenses of the District.	\$3,941.99
c.	Kuehl Capital Corporation for municipal advisory services on General Fund Warrants issued at this meeting (2.5%).	\$ 101.55
d.	First National Capital Markets for underwriting services on General Fund Warrants issued at this meeting (2.0%).	\$ 83.27
	<b>Total:</b>	<b>\$4,246.81</b>

The Chair then presented the following statements for payment from the Construction Fund Account of the District and the Clerk was directed to attach a copy of said statements to these minutes:

e.	Olsson Associates for engineering and design services on Various construction projects of the District (No. 259802).	\$6,703.35
f.	Metropolitan Utilities District for the gas main abandonment fee and pursuant to the Gas Main Extension Agreement.	\$6,000.00
g.	Fullenkamp, Doyle & Jobeun for legal services rendered in connection with Item b. hereinabove (5%).	\$ 300.00
h.	Kutak Rock LLP for disclosure counsel services and review of structure of warrant issuance (No. 2205958).	\$3,000.00

i. Kuehl Capital Corporation for municipal advisory services on Construction Fund Warrants issued at this meeting (2.5%).	\$ 400.08
j. First National Capital Markets for underwriting services on Construction Fund Warrants issued at this meeting (2.0%).	\$ 328.07
<b>Total:</b>	<b>\$16,731.50</b>

Then, upon a motion duly made, seconded and upon a roll call vote of "aye" by the Trustees Eugene J. Graves, Jr., Susan Sawyer Malnack, Eugene D. Graves, Sherri Hinkel and Mary E. Graves, the following Resolutions were unanimously adopted:

RESOLVED by the Board of Trustees of Sanitary and Improvement District No. 311 of Sarpy County, Nebraska that the Chairman and Clerk be and they hereby are authorized and directed to execute and deliver Warrants No. 43 through 52, of the District, dated the date of this meeting, to the following payees, for the following services and in the following amounts, said warrants to draw interest at the rate of 7% per annum, Warrants No. 1 through 5 to be payable from the General Fund Account of the District and to be redeemed no later than three (3) years of the date hereof, being September 1, 2019 (the "**General Fund Warrants**"), and Warrants No. 6 through 31 to be payable from the Construction Fund Account of the District (interest payable annually) and to be redeemed no later than five (5) years of the date of hereof, being September 1, 2021 (the "**Construction Fund Warrants**"), subject to extension of said maturity date by order of the District Court of Sarpy County, Nebraska after notice is given as required by law, to-wit:

- a) Warrants No. 43 for \$120.00 made payable to Chastain-Otis for issuance of Chair and Clerk surety bonds.
- b) Warrant No. 44 for \$3,941.99 made payable to Fullenkamp, Doyle & Jobeun for legal fees and expenses relating to the budget.
- c) Warrant No. 45 for \$101.55 made payable to Kuehl Capital Corporation for municipal advisor services relating to General Fund Warrants issued at this meeting (2.5%).
- d) Warrants No. 46 for \$83.27 made payable to First National Capital Markets for underwriting fee on General Fund Warrants issued at this meeting (2.0%).
- e) Warrants No. 47 for \$6,703.35 made payable to Olsson Associates for engineering and design services relating to construction projects of the District. CF

- f) Warrants No. 48 for \$6,000.00 made payable to Metropolitan Utilities District for the gas main deficiency and in accordance with the GMEA. **CF**
- g) Warrant No. 49 for \$300.00 made payable to Fullenkamp, Doyle & Jobeun for legal services rendered in connection with item f) hereinabove. **CF**
- h) Warrant No. 50 for \$3,000.00 made payable to Kutak Rock, LLP for disclosure counsel services and preparation of the Warrant Offering Circular. **CF**
- i) Warrant No. 51 for \$400.08 made payable to Kuehl Capital Corporation for municipal advisor services relating to Construction Fund Warrants issued at this meeting (2.5%). **CF**
- j) Warrants No. 52 for \$328.07 made payable to First National Capital Markets for underwriting fee on Construction Fund Warrants issued at this meeting (2.0%). **CF**

BE IT FURTHER RESOLVED by the Board of Trustees of Sanitary and Improvement District No. 311 of Sarpy County, Nebraska, that the District hereby finds and determines and covenants, warrants and agrees as follows in connection with the issuance of the Construction Fund Warrants: (i) the improvements being financed by the Construction Fund Warrants are for essential governmental functions of the District and are designed to serve members of the general public on an equal basis; (ii) all said improvements have from the time of their first acquisition and construction been owned, are owned and are to be owned by the District or another political subdivision; (iii) to the extent special assessments have been or are to be levied for any of said improvements, such special assessments have been or are to be levied under Nebraska law as a matter of general application to all property specially benefited by said improvements in the District; (iv) the development of the land in the District is for residential or commercial use and the development of the land in the District for sale and occupation by the general public has proceeded and is proceeding with reasonable speed; (v) other than any incidental use of said improvements by a developer during the initial period of development of said improvements, there have been, are and will be no persons with rights to use such improvements other than as members of the general public; (vi) none of the proceeds of said Construction Fund Warrants have been or will be loaned to any private person or entity; and (vii) and the District does not reasonably expect to sell or otherwise dispose of said improvements, in whole or in part, prior to the last maturity of the Construction Fund Warrants.

BE IT FURTHER RESOLVED by the Board of Trustees of Sanitary and Improvement District No. 311 of Sarpy County, Nebraska that the District hereby covenants and agrees as follows in connection with the issuance of the

Construction Fund Warrants: (i) it will comply with all applicable provisions of the Code, including Sections 103 and 141 through 150, necessary to maintain the exclusion from gross income for federal income tax purposes of the interest on the Construction Fund Warrants and (ii) it will not use or permit the use of any proceeds of the Construction Fund Warrants or any other funds of the District nor take or permit any other action, or fail to take any action, if any such action or failure to take action would adversely affect the exclusion from gross income of the interest on the Construction Fund Warrants. In addition, the District will adopt such other resolutions and take such other actions as may be necessary to comply with the Code and with all other applicable future laws, regulations, published rulings and judicial decisions, in order to ensure that the interest on the Construction Fund Warrants will remain excluded from federal gross income, to the extent any such actions can be taken by the District.

The District covenants and agrees that (i) it will comply with all requirements of Section 148 of the Code to the extent applicable to the Construction Fund Warrants, (ii) it will use the proceeds of the Construction Fund Warrants as soon as practicable and with all reasonable dispatch for the purposes for which the Construction Fund Warrants are issued, and (iii) it will not invest or directly or indirectly use or permit the use of any proceeds of the Construction Fund Warrants or any other funds of the District in any manner, or take or omit to take any action, that would cause the Construction Fund Warrants to be "arbitrage bonds" within the meaning of Section 148(a) of the Code.

The District covenants and agrees that it will pay or provide for the payment from time to time of all amounts required to be rebated to the United States pursuant to Section 148(f) of the Code and any Regulations applicable to the Construction Fund Warrants from time to time. This covenant shall survive payment in full of the Construction Fund Warrants. The District specifically covenants to pay or cause to be paid to the United States, the required amounts of rebatable arbitrage at the times and in the amounts as determined by reference to the Code and the Regulations. Pursuant to the "small issuer exception" set forth below, the District does not believe the Construction Fund Warrants will be subject to rebate.

The District covenants and agrees that (to the extent within its power or direction) it will not use any portion of the proceeds of the Construction Fund Warrants, including any investment income earned on such proceeds, directly or indirectly, in a manner that would cause any Construction Fund Warrant to be a "private activity bond".

The District makes the following representations in connection with the exception for small governmental units from the arbitrage rebate requirements under Section 148(f)(4)(D) of the Code:

- (i) the District is a governmental unit under Nebraska law with general taxing powers;

(ii) none of the Construction Fund Warrants is a private activity bond as defined in Section 141 of the Code;

(iii) ninety-five percent or more of the net proceeds of the Construction Fund Warrants are to be used for local governmental activities of the District;

(iv) the aggregate face amount of all tax-exempt obligations (other than "private activity bonds," but including any tax-exempt lease-purchase agreements) to be issued by the District during the current calendar year is not reasonably expected to exceed \$5,000,000; and

(v) the District (including all subordinate entities thereof) will not issue in excess of \$5,000,000 of tax-exempt indebtedness (other than "private activity bonds" and certain refunding bonds, but including any tax-exempt lease-purchase agreements) during the current calendar year without first obtaining an opinion of nationally recognized counsel in the area of municipal finance that the excludability of the interest on the Construction Fund Warrants from gross income for federal tax purposes will not be adversely affected thereby.

BE IT FURTHER RESOLVED by the Board of Trustees of Sanitary and Improvement District No. 311 of Sarpy County, Nebraska that the District hereby designates the Construction Fund Warrants as its "qualified tax exempt obligations" under Section 265(b)(3)(B)(i)(III) of the Code.

BE IT FURTHER RESOLVED by the Board of Trustees of Sanitary and Improvement District No. 311 of Sarpy County, Nebraska that this and the preceding Resolutions are hereby adopted as the Certificate with Respect to Arbitrage of the District pertaining to the Construction Fund Warrants and the District hereby further certifies, as of the date of the registration of the Construction Fund Warrants with Sarpy County, Nebraska as follows:

1. The District reasonably anticipates that a portion of the monies in its Bond Fund will be expended for payment of principal of and interest on its bonds and/or construction fund warrants of the District within 12 months after receipt of such monies. The District hereby establishes a reserve fund within its Bond Fund in connection with the issuance of the Construction Fund Warrants in the amount equal to the least of (i) 10% of the stated principal amount of the Construction Fund Warrants, (ii) the maximum annual debt service due on the Construction Fund Warrants during any fiscal year, or (iii) 125% of the average annual debt service for the Construction Fund Warrants over the term of such

warrants. That amount that is currently held in the District's Bond Fund which exceeds the amount to be expended for payment of principal and interest on the bonds and/or construction fund warrants of the District within 12 months after receipt of such monies, plus that amount arrived at pursuant to the immediately preceding sentence, will not be invested in any securities or any other investment obligations which bear a yield, as computed in accordance with the actuarial method, in excess of the yield on the Construction Fund Warrants.

2. To the best of their knowledge, information, and belief, the above expectations are reasonable.
3. The District has not been notified of any listing of it by the Internal Revenue Service as an issuer that may not certify its debt.
4. This Certificate is being passed, executed and delivered pursuant to Section 1.148-2 (b) (2) of the Income Tax Regulations under the Code (the "**Regulations**").

BE IT FURTHER RESOLVED by the Board of Trustees of Sanitary and Improvement District No. 311 of Sarpy County, Nebraska that the District hereby authorizes and directs the Chair or Clerk to file or cause to be filed, when due, an information reporting form pursuant to Section 149(e) of the Internal Revenue Code of 1986, as amended (the "**Code**"), pertaining to the General Fund Warrants and the Construction Fund Warrants.

No opinion of nationally recognized counsel in the area of municipal finance has been delivered with respect to the treatment of interest on the General Fund Warrants. Purchasers of the General Fund Warrants are advised to consult their tax advisors as to the tax consequences of purchasing or holding the General Fund Warrants.

*[Remainder of page intentionally left blank; signatures on following page]*

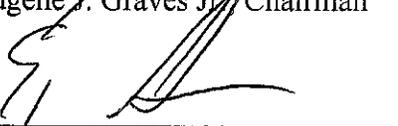
**MINUTES SIGNATURE PAGE FROM THE MEETING OF  
SANITARY AND IMPROVEMENT DISTRICT NO. 311 OF  
SARPY COUNTY, NEBRASKA, HELD ON SEPTEMBER 1,  
2016 – BUDGET MEETING**

There being no further business to come before the meeting, the meeting was adjourned.



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Eugene J. Graves Jr., Chairman



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Eugene D. Graves, Clerk

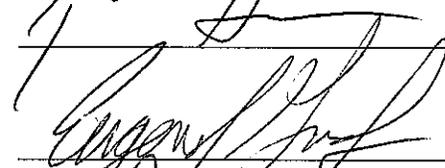
**ACKNOWLEDGMENT OF  
RECEIPT OF NOTICE OF MEETING**

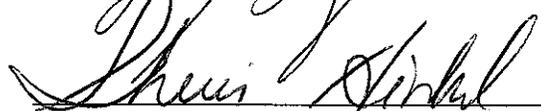
The undersigned Trustees of Sanitary and Improvement District No. 311 of Sarpy County, Nebraska do hereby acknowledge receipt of advance notice of a meeting of the Board of Trustees of said District and that the agenda for such meeting, held at 11:00 a.m. on September 1, 2016, at 11440 West Center Road, Omaha, Nebraska, 68144, is kept continuously current at the office of the District's counsel.

DATED this 1<sup>st</sup> day of September, 2016.

  
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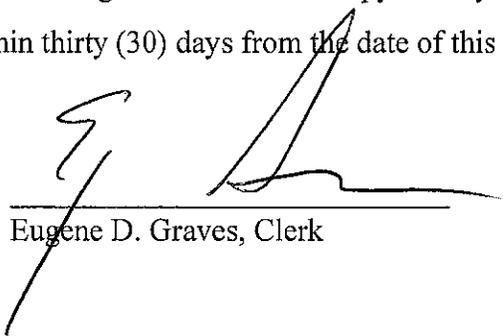
  
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**CERTIFICATE**

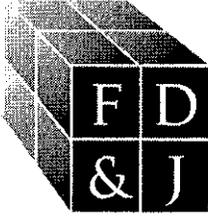
The undersigned being Clerk of Sanitary and Improvement District No. 311 of Sarpy County, Nebraska hereby certifies that Notice of meeting of the Board of Trustees of said District held on September 1, 2016, was delivered to the City Clerk of Springfield, Nebraska via facsimile and/or electronic mail transmittal at least seven (7) days prior to the date of said meeting.

The undersigned further certifies that the agenda for the meeting of the Board of Trustees of said District was prepared and available for public inspection at the address designated in the notice of meeting given in advance thereof by publication in The Bellevue Leader on August 24, 2016, and that no items were added to the agenda after the commencement of the meeting; and further, that the minutes for the meeting were available for public inspection within ten (10) days of the date of said meeting and that a copy of the minutes of this meeting were sent to the Sarpy County Clerk, and the Clerk of the City of Papillion, Nebraska within thirty (30) days from the date of this meeting.



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Eugene D. Graves, Clerk



FULLENKAMP,  
DOYLE &  
JOBEUN, LLP

JOHN H. FULLENKAMP  
LARRY A. JOBEUN  
BRIAN C. DOYLE  
MARK B. JOHNSON

September 1, 2015

**VIA ELECTRONIC MAIL**

Nebraska Auditor of Public Accounts  
Charlie Janssen  
[apa.audits@nebraska.gov](mailto:apa.audits@nebraska.gov)

RE: Sanitary and Improvement District No. 311 (the "District")  
Audit Waiver Request 2016/2017

The above District was formed on May 10, 2016 to develop a residential and commercial parcel in Springfield, Sarpy County, Nebraska. Due to recent economic changes, the District will not actively develop the area until sometime after June 30, 2016. The District spent initial amounts to allow for platting and some minor development for purposes of meeting agreements.

As annual expenditures for the district have been very low and there is limited activity for the year ending June 30, 2016, we are seeking the approval of the audit waiver. A total of \$0 was spent in the general fund and \$0 in the bond fund. At this point in time, the District doesn't have a timeline established as to when significant development will begin. The current economic environment does not warrant additional commercial development at this time. In addition, limited taxes will be levied based on the valuation of the development.

Until such time as the infrastructure development begins, the Board of Trustees is limiting the amount of taxpayers' dollars spent and an audit waiver would assist the District in controlling its costs.

We would appreciate the approval of the waiver and would be willing to answer any questions you may have. Thank you for your time and consideration.

Sincerely,  
  
Larry A. Jobeun  
Attorney for the District

bmj:LAJ

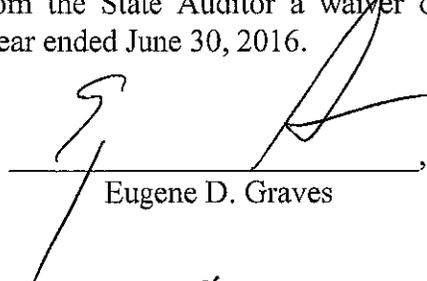
SANITARY AND IMPROVEMENT DISTRICT NO. 311  
OF SARPY COUNTY, NEBRASKA

**CERTIFICATION OF AUDIT WAIVER**

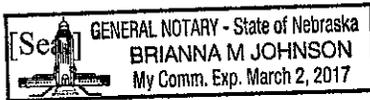
STATE OF NEBRASKA    )  
                                  ) ss.  
COUNTY OF SARPY    )

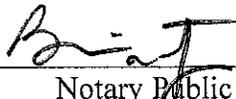
The undersigned Clerk does hereby certify that the following is a true and correct copy of a Resolution adopted by the Board of Trustees of said District on September 1, 2016.

**BE IT RESOLVED** by the Board of Trustees of Sanitary and Improvement District No. 311 of Sarpy County, Nebraska that the District's accountant be and hereby is authorized and directed to seek from the State Auditor a waiver of the audit requirement for the District for the year ended June 30, 2016.

  
\_\_\_\_\_, Clerk  
Eugene D. Graves

SUBSCRIBED AND SWORN TO before me this 1<sup>st</sup> day of September, 2016.



  
\_\_\_\_\_  
Notary Public

**EXTRACT OF MINUTES OF BUDGET HEARING AND  
SPECIAL PUBLIC HEARING  
TO ADOPT BUDGET AND SET FINAL TAX REQUEST**

**SANITARY AND IMPROVEMENT DISTRICT  
NO. 311 OF SARPY COUNTY, NEBRASKA**

The Chairman then presented the proposed budget of the District and advised that the Budget Summary had been published in The Bellevue Leader, a legal newspaper of Sarpy County, Nebraska, on August 24, 2016, a copy of the Proof of Publication being attached to these minutes.

The Chairman then advised that no property owners in the District appeared at this hearing on the proposed budget and Budget Summary to offer support, opposition, criticism, suggestions or observations concerning the proposed budget. The Chairman noted that the budget complies with the current provisions of the Nebraska Lid Law, and that the property taxes for the Bond Fund and General Fund are as follows:

Bond	\$ -0-	=	-
General	\$2,367.13	=	0.900000
Total	\$2,367.13	=	0.900000

The Chairman further stated that the Board is required to hold a separate Special Public Hearing in order to set the 2016/17 Property Tax Request at an amount which is different from the prior year's tax request, and that the District has until October 13 to file with the County Clerk a resolution setting a tax request different from the prior year. The District must also publish notice of the Special Public Hearing reflecting the date and time for said Special Public Hearing, and the Chairman noted that such notice had been published as reflected in the Proof of Publication attached hereto.

The Chairman then advised that the meeting was now open for a Special Public Hearing on the issue of setting the Property Tax Request at an amount different from the prior year's request as required by Neb. Rev. Stat. §77-1601.02. The Chairman advised that no one appeared in opposition. Following discussion, the Chairman then declared the public hearing on the budget and the Special Public Hearing to be closed, whereupon, a motion was duly made, seconded and the following resolutions passed:

BE IT RESOLVED by the Board of Trustees of Sanitary and Improvement District No. 311 of Sarpy County, Nebraska that the budget be and hereby is adopted as proposed; and

BE IT FURTHER RESOLVED that the 2016/17 Property Tax Request for the Bond Fund and General Fund be set as follows:

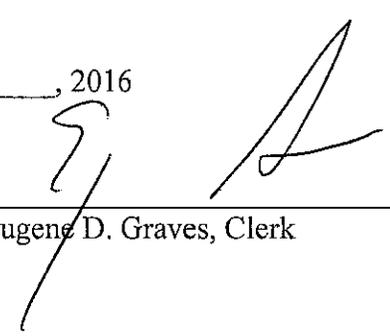
Bond Fund	\$ -0-	0.0
General Fund	\$2,367.13	0.900000
Total	\$2,367.13	0.900000

BE IT FURTHER RESOLVED that the Clerk be and hereby is authorized and directed on behalf of the District to file or cause to be filed a copy of the Budget and Property Tax Request as adopted by the Board of Trustees with the County Clerk and Auditor of Public Accounts on or before September 20, 2016.

Then, upon, a motion duly made, seconded and unanimously adopted, the Chairman declared the above resolutions duly carried and adopted.

The undersigned hereby certifies that Eugene D. Graves is the duly elected, qualified and acting Clerk of Sanitary and Improvement District No. 311 of Sarpy County, Nebraska, and that the foregoing extract is a full, true and complete copy of that portion of the minutes as it claims to be and that the foregoing resolutions have been spread upon the minutes of the District.

Dated this 15<sup>th</sup> day of September, 2016

  
\_\_\_\_\_  
Eugene D. Graves, Clerk

2016-2017  
**STATE OF NEBRASKA**  
**SID BUDGET FORM**

SID # 311

TO THE COUNTY BOARD AND COUNTY CLERK OF  
 Sarpy County

This budget is for the Period JULY 1, 2016 through JUNE 30, 2017

**Upon Filing, The Entity Certifies the Information Submitted on this Form to be Correct:**

The following **PERSONAL AND REAL PROPERTY TAX** is requested for the ensuing year:

\$	2,367.13
\$	-
\$	2,367.13

Property Taxes for Non-Bonds  
 Principal and Interest on Bonds

**Total Personal and Real Property Tax Required**

Outstanding Registered Warrants/Bonded Indebtedness as of JULY 1, 2016

\$	-
\$	-
\$	-

Principal  
 Interest

**Total Bonded Indebtedness**

\$ 263,014  
**Total Certified Valuation (All Counties)**  
*(Certification of Valuation(s) from County Assessor MUST be attached)*

County Clerk's Use Only

Budget Document To Be Used As Audit Waiver?

My Subdivision has elected to use this Budget Document as the Audit Waiver.  
 YES  NO  
 (If YES, Board Minutes **MUST** be Attached)

If YES, Page 2, Column 2 **MUST** contain ACTUAL Numbers.  
 If YES, DO NOT COMPLETE/SUBMIT SEPARATE AUDIT WAIVER REQUEST.

SID is Less Than 5 Years Old

YES, SID is Less than 5 Years Old; Therefore, Lid  
 and Levy Limit **DO NOT APPLY**  
 Date SID was formed: May 10, 2016

**Report of Joint Public Agency & Interlocal Agreements**

Was this Subdivision involved in any Interlocal Agreements or Joint Public  
 Agencies for the reporting period of July 1, 2015 through June 30, 2016?

YES  NO  
 If YES, Please submit *Interlocal Agreement Report* by December 31, 2016.

**Report of Trade Names, Corporate Names & Business Names**

Did the Subdivision operate under a separate Trade Name, Corporate Name, or  
 other Business Name during the period of July 1, 2015 through June 30, 2016?

YES  NO  
 If YES, Please submit *Trade Name Report* by December 31, 2016.

**Submission Information**

**Budget Due by 9-20-2016**

Submit budget to:

1. Auditor of Public Accounts - Electronically on Website or Mail
2. County Board (SEC. 13-508), C/O County Clerk

**APA Contact Information**

Auditor of Public Accounts  
 State Capitol, Suite 2303  
 Lincoln, NE 68509

Telephone: (402) 471-2111 FAX: (402) 471-3301

Website: [www.auditors.nebraska.gov](http://www.auditors.nebraska.gov)

Questions - E-Mail: [Deann.Haefner@nebraska.gov](mailto:Deann.Haefner@nebraska.gov)



Sanitary and Improvement District # 311  
 IN  
 Sarpy County, Nebraska

**NOTICE OF BUDGET HEARING AND BUDGET SUMMARY**

PUBLIC NOTICE is hereby given, in compliance with the provisions of State Statute Sections 13-501 to 13-513, that the governing body will meet on the \_\_\_\_\_ day of \_\_\_\_\_ 2016, at \_\_\_\_\_ o'clock \_\_\_\_\_ at \_\_\_\_\_ for the purpose of hearing support, opposition, criticism, suggestions or observations of taxpayers relating to the following proposed budget. The budget detail is available at the office of the Clerk during regular business hours.

	Clerk/Secretary
2014-2015 Actual Disbursements & Transfers	\$ -
2015-2016 Actual Disbursements & Transfers	\$ -
2016-2017 Proposed Budget of Disbursements & Transfers	\$ 2,970,000.00
2016-2017 Necessary Cash Reserve	\$ 2,296.00
2016-2017 Total Resources Available	\$ 2,972,296.00
Total 2016-2017 Personal & Real Property Tax Requirement	\$ 2,367.13
Unused Budget Authority Created For Next Year	N/A

**Breakdown of Property Tax:**

Personal and Real Property Tax Required for Non-Bond Purposes	\$ 2,367.13
Personal and Real Property Tax Required for Bonds	\$ -

**NOTICE OF SPECIAL HEARING TO SET FINAL TAX REQUEST**

PUBLIC NOTICE is hereby given, in compliance with the provisions of State Statute Section 77-1601.02, that the governing body will meet on the \_\_\_\_\_ day of \_\_\_\_\_ 2016, at \_\_\_\_\_ o'clock \_\_\_\_\_ at \_\_\_\_\_ for the purpose of hearing support, opposition, criticism, suggestions or observations of taxpayers relating to setting the final tax request at a different amount than the prior year tax request.

2015-2016 Property Tax Request	\$ -
2015 Tax Rate	-
Property Tax Rate (2015-2016 Request/2016 Valuation)	-
2016-2017 Proposed Property Tax Request	\$ 2,367.13
Proposed 2016 Tax Rate	0.900000

----- Cut Off Here Before Sending To Printer -----



**CERTIFICATION OF TAXABLE VALUE  
And VALUE ATTRIBUTABLE TO GROWTH**

(format for all political subdivisions other than  
a) sanitary improvement districts in existence five years or less.  
b) community colleges, and c) school districts)

**TAX YEAR 2016**

(certification required on or before August 20th, of each year)

TO : SID 311

**TAXABLE VALUE LOCATED IN THE COUNTY OF SARPY COUNTY**

Name of Political Subdivision	Subdivision Type (e.g. city, fire, NRD)	Value attributable to Growth	Total Taxable Value
SID 311	MISC-DISTRICT	0	263,014

*\*Value attributable to growth is determined pursuant to section 13-518 which includes real and personal property and annexation, if applicable.*

I Dan Pittman, Sarpy County Assessor hereby certify that the valuation listed herein is, to the best of my knowledge and belief, the true and accurate taxable valuation for the current year, pursuant to Neb. Rev. Stat. 13-509 and 13-518.

Dan Pittman

(signature of county assessor)

8-18-16

(date)

CC: County Clerk, Sarpy County

CC: County Clerk where district is headquartered, if different county, Sarpy County

*Note to political subdivision: A copy of the Certification of Value must be attached to your budget document.*

Guideline form provided by Nebraska Depr. of Revenue Property Assessment Division, Rev. 2016

SID # 311 in Sarpy County

Line No.	TOTAL ALL FUNDS	Actual 2014 - 2015 (Column 1)	Actual 2015 - 2016 (Column 2)	Adopted Budget 2016 - 2017 (Column 3)
1	<b>Beginning Balances, Receipts, &amp; Transfers:</b>			
2	Net Cash Balance	\$ -	\$ -	\$ -
3	Investments	\$ -	\$ -	\$ -
4	County Treasurer's Balance	\$ -	\$ -	\$ -
5	<b>Subtotal of Beginning Balances (Lines 2 thru 4)</b>	\$ -	\$ -	\$ -
6	Personal and Real Property Taxes (Columns 1 and 2-See Preparation Guidelines)	\$ -	\$ -	2,296.00
7	Federal Receipts	\$ -	\$ -	\$ -
8	State Receipts: Motor Vehicle Pro-Rate	\$ -	\$ -	\$ -
9	State Receipts: State Aid	\$ -	\$ -	\$ -
10	State Receipts: Other	\$ -	\$ -	\$ -
11	State Receipts: Property Tax Credit	\$ -	\$ -	\$ -
12	Local Receipts: Nameplate Capacity Tax	\$ -	\$ -	\$ -
13	Local Receipts: In Lieu of Tax	\$ -	\$ -	\$ -
14	Local Receipts: Other	\$ -	\$ -	2,970,000.00
15	Transfers In Of Surplus Fees	\$ -	\$ -	\$ -
16	Transfer In Other Than Surplus Fees (Should agree to Line 28)	\$ -	\$ -	\$ -
17	<b>Total Resources Available (Lines 5 thru 16)</b>	\$ -	\$ -	\$ 2,972,296.00
18	<b>Disbursements &amp; Transfers:</b>			
19	Operating Expenses	\$ -	\$ -	\$ 170,000.00
20	Capital Improvements (Real Property/Improvements)	\$ -	\$ -	\$ 2,800,000.00
21	Other Capital Outlay (Equipment, Vehicles, Etc.)	\$ -	\$ -	\$ -
22	Debt Service: Bond Principal & Interest Payments	\$ -	\$ -	\$ -
23	Debt Service: Payments to Retire Interest-Free Loans (Public Airports)	\$ -	\$ -	\$ -
24	Debt Service: Payments to Bank Loans & Other Instruments (Fire Districts)	\$ -	\$ -	\$ -
25	Debt Service: Other	\$ -	\$ -	\$ -
26	Judgments	\$ -	\$ -	\$ -
27	Transfers Out of Surplus Fees	\$ -	\$ -	\$ -
28	Transfers Out Other Than Surplus Fees (Should agree to Line 16)	\$ -	\$ -	\$ -
29	<b>Total Disbursements &amp; Transfers (Lines 19 thru 28)</b>	\$ -	\$ -	\$ 2,970,000.00
30	<b>Balance Forward/Cash Reserve (Line 17 - Line 29)</b>	\$ -	\$ -	\$ 2,296.00
31	Cash Reserve Percentage			1%
<b>PROPERTY TAX RECAP</b>		Tax from Line 6 \$ 2,296.00 County Treasurer's Commission at 2% of Line 6 \$ 45.92 Delinquent Tax Allowance \$ 25.21 <b>Total Property Tax Requirement \$ 2,367.13</b>		

SID # 311 in Sarpy County

To Assist the County For Levy Setting Purposes

The Cover Page identifies the Property Tax Request between Principal & Interest on Bonds and All Other Purposes. If your SID needs more of a breakdown for levy setting purposes, complete the section below.

Property Tax Request by Fund:	Property Tax Request
General Fund	\$ 2,367.13
Bond Fund	\$ -
<b>Total Tax Request</b>	<b>** \$ 2,367.13</b>

\*\* This Amount should agree to the Total Personal and Real Property Tax Required on the Cover Page (Page 1).

Cash Reserve Funds

Statute 13-503 says cash reserve means funds required for the period before revenue would become available for expenditure but shall not include funds held in any special reserve fund. If the cash reserve on Page 2 exceeds 50%, you can list below funds being held in a special reserve fund.

Special Reserve Fund Name	Amount
Bond Fund	\$ -
Total Special Reserve Funds	\$ -
Total Cash Reserve	\$ 2,296.00
Remaining Cash Reserve	\$ 2,296.00
Remaining Cash Reserve %	0.013505882

Documentation of Transfers:

(Only complete if there are transfers noted on Page 2, Column 2)

Please explain what fund the monies were transferred from, what fund they were transferred to, and the reason for the transfer.

Transfer From: \_\_\_\_\_ Transfer To: \_\_\_\_\_

Amount: \$ \_\_\_\_\_

Reason: \_\_\_\_\_

Transfer From: \_\_\_\_\_ Transfer To: \_\_\_\_\_

Amount: \$ \_\_\_\_\_

Reason: \_\_\_\_\_

Transfer From: \_\_\_\_\_ Transfer To: \_\_\_\_\_

Amount: \$ \_\_\_\_\_

Reason: \_\_\_\_\_

# CORRESPONDENCE INFORMATION

## ENTITY OFFICIAL ADDRESS

*If no official address, please provide address where correspondence should be sent*

NAME **Larry Jobeun**  
 ADDRESS **11440 West Center Road**  
 CITY & ZIP CODE **Omaha, 68144**  
 TELEPHONE **402-334-0700**  
 WEBSITE \_\_\_\_\_

	BOARD CHAIRPERSON	CLERK/TREASURER/SUPERINTENDENT/OTHER	PREPARER
NAME	Eugene J. Graves, Jr.	Eugene D. Graves	Mark F. Duren
TITLE / FIRM NAME	Chairperson	Clerk	Lutz & Company, P.C.
TELEPHONE	402-334-0700	402-334-0700	402-496-8800
EMAIL ADDRESS			<a href="mailto:mduren@lutz.us">mduren@lutz.us</a>

For Questions on this form, who should we contact (please v one): Contact will be via email if supplied.

- Board Chairperson
- Clerk / Treasurer / Superintendent / Other
- Preparer

**NOTE:** If Budget Document is used as an Audit Waiver, approval of the Audit Waiver will be sent to the Board Chairperson via email. If no email address is supplied for the Board Chairperson, notification will be mailed via post office to address listed above.

SID # 311 in Sarpy County  
2016-2017 LID SUPPORTING SCHEDULE

**Calculation of Restricted Funds**

Total Personal and Real Property Tax Requirements	(1) \$	2,367.13
Motor Vehicle Pro-Rate	(2) \$	-
In-Lieu of Tax Payments	(3) \$	-
Transfers of Surplus Fees	(4) \$	-
Prior Year Budgeted Capital Improvements that were excluded from Restricted Funds.		
Prior Year Capital Improvements Excluded from Restricted Funds (From 2014-2016 Lid Exceptions, Line (10))	\$	- (5)
<b>LESS:</b> Amount Spent During 2015-2016	\$	- (6)
<b>LESS:</b> Amount Expected to be Spent in Future Budget Years	\$	- (7)
Amount to be included as Restricted Funds ( <u>Cannot</u> be a Negative Number)	(8) \$	-
Nameplate Capacity Tax	(8a) \$	-

<b>TOTAL RESTRICTED FUNDS (A)</b>	(9)	<b>\$ 2,367.13</b>
-----------------------------------	-----	--------------------

**Lid Exceptions**

Capital Improvements (Real Property and Improvements on Real Property)	_____ (10)
<b>LESS:</b> Amount of prior year capital improvements that were excluded from previous lid calculations but were not spent and now budgeted this fiscal year ( <i>cannot exclude same capital improvements from more than one lid calculation.</i> ) Agrees to Line (7).	\$ - (11)
Allowable Capital Improvements	(12) \$ -
Bonded Indebtedness	(13) \$ -
Public Facilities Construction Projects (Statutes 72-2301 to 72-2308)	(14) _____
Interlocal Agreements/Joint Public Agency Agreements	(15) _____
Judgments	(16) _____
Refund of Property Taxes to Taxpayers	(17) _____
Repairs to Infrastructure Damaged by a Natural Disaster	(18) _____

<b>TOTAL LID EXCEPTIONS (B)</b>	(19)	<b>\$ -</b>
---------------------------------	------	-------------

<p style="text-align: center;"><b>TOTAL RESTRICTED FUNDS</b> <b>For Lid Computation (To Line 9 of the Lid Computation Form)</b> <i>To Calculate: Total Restricted Funds (A)-Line 9 MINUS Total Lid Exceptions (B)-Line 19</i></p>	<b>\$ 2,367.13</b>
---	--------------------

Total Restricted Funds for Lid Computation cannot be less than zero. See Instruction Manual on completing the Lid Supporting Schedule.

**SID # 311 in Sarpy County**

**COMPUTATION OF LIMIT FOR FISCAL YEAR 2016-2017**

**PRIOR YEAR RESTRICTED FUNDS AUTHORITY OPTION 1 OR OPTION 2**

**OPTION 1**

2015-2016 Restricted Funds Authority = Line (8) from last year's Lid Computation Form

\_\_\_\_\_   
 Option 1 - (1)

**OPTION 2 - *Only use if a vote was taken last year at a townhall meeting to exceed Lid for one year***

Line (1) of 2015-2016 Lid Computation Form

\_\_\_\_\_   
 Option 2 - (A)

Allowable Percent Increase **Less** Vote Taken  
(From 2015-2016 Lid Computation Form Line (6) - Line (5))

\_\_\_\_\_ %   
 Option 2 - (B)

Dollar Amount of Allowable Increase Excluding the vote taken  
Line (A) X Line (B)

\_\_\_\_\_ -   
 Option 2 - (C)

**Calculated 2015-2016 Restricted Funds Authority (Base Amount) =**  
Line (A) **Plus** Line (C)

\_\_\_\_\_ -   
 Option 2 - (1)

**ALLOWABLE INCREASES**

**1 BASE LIMITATION PERCENT INCREASE (2.5%)**

\_\_\_\_\_ 2.50 %

(2)

**2 ALLOWABLE GROWTH PER THE ASSESSOR MINUS 2.5%**

\_\_\_\_\_ - %

(3)

$$\frac{\text{2016 Growth per Assessor}}{\text{2015 Valuation}} = \frac{\text{Multiply times}}{\text{100 To get \%}} \%$$

**3 ADDITIONAL ONE PERCENT BOARD APPROVED INCREASE**

\_\_\_\_\_ - %

(4)

$$\frac{\text{\# of Board Members voting "Yes" for Increase}}{\text{Total \# of Members in Governing Body (Attending & Absent)}} = \frac{\text{Must be at least .75 (75\%) of the Governing Body}}{\text{\%}}$$

**ATTACH A COPY OF THE BOARD MINUTES APPROVING THE INCREASE.**

**4 SPECIAL ELECTION/TOWNHALL MEETING - VOTER APPROVED % INCREASE**

\_\_\_\_\_ %

(5)

**Please Attach Ballot Sample and Election Results OR Record of Action From Townhall Meeting**

**SID # 311 in Sarpy County**

---

TOTAL ALLOWABLE PERCENT INCREASE = Line (2) + Line (3) + Line (4) + Line (5)	<u>2.50 %</u> (6)
Allowable Dollar Amount of Increase to Restricted Funds = Line (1) x Line (6)	<u>-</u> (7)
Total Restricted Funds Authority = Line (1) + Line (7)	<u>-</u> (8)
<b>Less:</b> Restricted Funds from Lid Supporting Schedule	<u>2,367.13</u> (9)
Total Unused Restricted Funds Authority = Line (8) - Line (9)	<u>N/A</u> (10)

**LINE (10) MUST BE GREATER THAN OR EQUAL TO ZERO OR  
YOU ARE IN VIOLATION OF THE LID LAW.**

**THE AMOUNT OF UNUSED RESTRICTED FUNDS AUTHORITY ON LINE (10)  
MUST BE PUBLISHED IN THE NOTICE OF BUDGET HEARING.**

2016-2017 Levy Limit Form  
Sanitary and Improvement Districts

**SID # 311 in Sarpy County**

Total Personal and Real Property Tax Request		<u>\$ 2,367.13</u> (1)
Less Personal and Real Property Tax Request for:		
Judgments (not paid by liability insurance coverage)	( _____ ) (A)	
Preexisting lease-purchase contracts approved prior to <b>July 1, 1998</b>	( _____ ) (B)	
Bonded Indebtedness	( \$ _____ - ) (C)	
Public Facilities Construction Projects (Statutes 72-2301 to 72-2308)	( _____ ) (D)	
Total Exclusions		( <u>\$ _____ -</u> ) (2)
Personal and Real Property Tax Request subject to Levy Limit		<u>\$ 2,367.13</u> (3)
Valuation (Per the County Assessor)		<u>\$ 263,014.00</u> (4)
Calculated Levy for Levy Limit Compliance [Line (3) Divided By Line (4) Times 100]		<u>0.900002</u> (5)

**Note :** Levy Limit established by State Statute Section 77-3442:  
Sanitary and Improvement District (SID) Levy - 40 cents

**Attach supporting documentation if a vote was held to exceed levy limits.**

[Empty box]

**2015/2016 Valuations - Final** 0

**2015/2016 Levy**

General Fund	0.000000
Bond Fund	<u>0.000000</u>
Total Levy	<u><u>0.0000</u></u>

**2015/2016 Estimated Taxes**

General Fund	0.00
Bond Fund	<u>0.00</u>
	<u><u>0.00</u></u>

**2016/2017 Valuations - Final** 263,014

**2016/2017 Levy**

General Fund	0.009000
Bond Fund	<u>0.000000</u>
Total Levy	<u><u>0.0090</u></u>

**2016/2017 Estimated Taxes**

General Fund	2,367.13
Bond Fund	<u>0.00</u>
	<u><u>2,367.13</u></u>

**2016/2017 GROWTH** 0

<b><u>2016/2017 GROWTH ALLOCATION</u></b>	#DIV/0!
LESS: BASE LIMITATION	<u>2.50%</u>
ALLOWABLE GROWTH LESS BASE	<u>#DIV/0!</u>

# Memo

**To:** Larry Jobeun and Eugene Graves, Jr.

**From:** Mark Duren

**Date:** 8/19/16

**Re:** SID 311 Budget – Springfield Pines

---

Attached you will find the proposed budget for SID 311 for the year ending June 30, 2017. Points to consider are listed below:

- 1) The total levy budgeted for 2016/17 is \$.90 (\$.90 for the general fund and \$.00 in the bond fund).
- 2) We have budgeted capital improvements in the amount of \$2,930,000 for 2016/17. Please advise if this is correct.
- 3) A detailed breakdown of budgeted 2016/17 is included for your review.

**Budget Detail  
Fiscal Year 2016/2017**

	<u>Budget</u> 2016/2017	<u>All Funds</u> <u>Actual</u> 2015/2016	<u>Actual</u> 2014/2015	<u>Budget</u> 2016/2017	<u>General Fund</u> <u>Actual</u> 2015/2016	<u>Actual</u> 2014/2015	<u>Budget</u> 2016/2017	<u>Bond Fund</u> <u>Actual</u> 2015/2016	<u>Actual</u> 2014/2015
<b>Receipts:</b>									
Property Taxes	2,296	-	-	2,296	-	-	-	-	-
Motor Vehicle Pro-Rate	-	-	-	-	-	-	-	-	-
Property Tax Credit	-	-	-	-	-	-	-	-	-
Homestead Exemption	-	-	-	-	-	-	-	-	-
Special Assessments	-	-	-	-	-	-	-	-	-
Interest on Taxes	-	-	-	-	-	-	-	-	-
Interest on Investments	-	-	-	-	-	-	-	-	-
Interest on Specials	-	-	-	-	-	-	-	-	-
Sewer Connection Fees	-	-	-	-	-	-	-	-	-
Collection in District	-	-	-	-	-	-	-	-	-
Bonds Issued	-	-	-	-	-	-	-	-	-
Warrants Issued	2,970,000	-	-	40,000	-	-	2,930,000	-	-
Transfer In of Surplus	-	-	-	-	-	-	-	-	-
<b>Total Receipts</b>	<b>2,972,296</b>			<b>42,296</b>			<b>2,930,000</b>		
<b>Disbursements:</b>									
Insurance	1,000	-	-	1,000	-	-	-	-	-
Legal	25,000	-	-	5,000	-	-	20,000	-	-
Accounting	4,000	-	-	4,000	-	-	-	-	-
Publication	-	-	-	-	-	-	-	-	-
Utilities	20,000	-	-	-	-	-	20,000	-	-
Maintenance	10,000	-	-	10,000	-	-	-	-	-
Engineering	70,000	-	-	10,000	-	-	60,000	-	-
Title Search Fees	-	-	-	-	-	-	-	-	-
Traffic Safety	10,000	-	-	-	-	-	10,000	-	-
Clerk Fees	-	-	-	-	-	-	-	-	-
County Treasurer Fees	-	-	-	-	-	-	-	-	-
Fiscal Agent Fees	30,000	-	-	10,000	-	-	20,000	-	-
Bond Maintenance Costs	-	-	-	-	-	-	-	-	-
Bond Issue Costs	-	-	-	-	-	-	-	-	-
Capital Improvements	2,800,000	-	-	-	-	-	2,800,000	-	-
Bond Principal	-	-	-	-	-	-	-	-	-
Bond Interest	-	-	-	-	-	-	-	-	-
Warrant Principal	-	-	-	-	-	-	-	-	-
Warrant Interest	-	-	-	-	-	-	-	-	-
Transfer Out of Surplus	-	-	-	-	-	-	-	-	-
<b>Total Disbursements</b>	<b>2,970,000</b>			<b>40,000</b>			<b>2,930,000</b>		
<b>Excess of Receipts over Disbursements</b>	<b>2,296</b>			<b>2,296</b>					
Beg Cash & Investments									
End Cash & Investments				<b>2,296</b>					

TAX LEVY ANALYSIS

**Tax Levy per Lutz Calculation**

VALUATION		\$263,014	
			<u>97%</u>
General	0.009000	\$2,367.13	\$2,296.12
Bond	<u>-</u>	<u>\$0.00</u>	<u>\$0.00</u>
Total	0.009000	\$2,367.13	\$2,296.12

SID WORKSHEET

Line No.	2016-2017 ADOPTED BUDGET	General Fund	Bond Fund	Fund	Fund	TOTAL FOR ALL FUNDS
1	Beginning Balances, Receipts, & Transfers:					
2	Net Cash Balance					\$ -
3	Investments	\$ -	\$ -			\$ -
4	County Treasurer's Balance	\$ -	\$ -			\$ -
5	Subtotal of Beginning Balances (Lines 2 thru 4)	\$ -	\$ -	\$ -		\$ -
6	Personal and Real Property Taxes	\$ 2,296.00				\$ 2,296.00
7	Federal Receipts	\$ -	\$ -			\$ -
8	State Receipts: Motor Vehicle Pro-Rate (To LC-3 Supporting Schedule)	\$ -	\$ -			\$ -
9	State Receipts: State Aid (To LC-3 Supporting Schedule)					
10	State Receipts: Other	\$ -	\$ -			\$ -
11	State Receipts: Property Tax Credit					
12	Local Receipts: Nameplate Capacity Tax	\$ -	\$ -			\$ -
13	Local Receipts: In Lieu of Tax (To LC-3 Supporting Schedule)	\$ -	\$ -			\$ -
14	Local Receipts: Other	\$ 40,000.00	\$ 2,930,000.00			\$ 2,970,000.00
15	Transfers In Of Surplus Fees (To LC-3 Supporting Schedule)	\$ -	\$ -			\$ -
16	Transfers In Other Than Surplus Fees	\$ -	\$ -			\$ -
17	Total Resources Available (Lines 5 to 14)	\$ 42,296.00	\$ 2,930,000.00	\$ -		\$ 2,972,296.00
18	Disbursements & Transfers:					
19	Operating Expenses	\$ 40,000.00	\$ 130,000.00			\$ 170,000.00
20	Capital Improvements (Real Property/Improvements)	\$ -	\$ 2,800,000.00			\$ 2,800,000.00
21	Other Capital Outlay (Equipment, Vehicles, Etc.)	\$ -	\$ -			\$ -
22	Debt Service: Bond Principal & Interest Payments	\$ -	\$ -			\$ -
23	Debt Service: Pymts to Retire Interest-Free Loans (Public Airports)					
24	Debt Service: Pymts to Retire Bank Loans & Other Instruments (Fire Dist.)					
25	Debt Service: Other	\$ -	\$ -			\$ -
26	Judgments	\$ -	\$ -			\$ -
27	Transfers Out of Surplus Fees	\$ -	\$ -			\$ -
28	Transfers Out Other Than Surplus Fees	\$ -	\$ -			\$ -
29	Total Disbursements & Transfers (Lines 19 thru 28)	\$ 40,000.00	\$ 2,930,000.00	\$ -		\$ 2,970,000.00
30	Cash Reserve (Line 17 - Line 29)	\$ 2,296.00	\$ -	\$ -		\$ 2,296.00
<b>PROPERTY TAX RECAP</b>						
	Tax from Line 6	\$ 2,296.00	\$ -	\$ -		\$ 2,296.00
	County Treasurer's Commission at 2 % of Line 6	\$ 45.92	\$ -	\$ -		\$ 45.92
	Delinquent Tax Allowance	\$ 25.21	\$ -	\$ -		\$ 25.21
	Total Property Tax Requirement (To LC-3 Supporting Schedule)	\$ 2,367.13	\$ -	\$ -		\$ 2,367.13

SID FORM WORKSHEET

Line No.	2015-2016 ACTUAL	General Fund	Bond Fund	_____ Fund	_____ Fund	TOTAL FOR ALL FUNDS
1	<b>Beginning Balances, Receipts, &amp; Transfers:</b>					
2	Net Cash Balance					\$ -
3	Investments	\$ -	\$ -			\$ -
4	County Treasurer's Balance	\$ -	\$ -			\$ -
5	<b>Subtotal of Beginning Balances (Lines 2 thru 4)</b>	\$ -	\$ -	\$ -		\$ -
6	Personal and Real Property Taxes (See Preparation Guidelines)	\$ -	\$ -			\$ -
7	Federal Receipts	\$ -	\$ -			\$ -
8	State Receipts: Motor Vehicle Pro-Rate	\$ -	\$ -			\$ -
9	State Receipts: State Aid					
10	State Receipts: Other	\$ -	\$ -			\$ -
11	State Receipts: Property Tax Credit	\$ -	\$ -			\$ -
12	Local Receipts: Nameplate Capacity Tax	\$ -	\$ -			\$ -
13	Local Receipts: In Lieu of Tax	\$ -	\$ -			\$ -
14	Local Receipts: Other	\$ -	\$ -			\$ -
15	Transfers In Of Surplus Fees	\$ -	\$ -			\$ -
16	Transfers In Other Than Surplus Fees	\$ -	\$ -			\$ -
17	<b>Total Resources Available (Lines 5 thru 16)</b>	\$ -	\$ -	\$ -		\$ -
18	<b>Disbursements &amp; Transfers:</b>					
19	Operating Expenses	\$ -	\$ -			\$ -
20	Capital Improvements (Real Property/Improvements)	\$ -	\$ -			\$ -
21	Other Capital Outlay (Equipment, Vehicles, Etc.)	\$ -	\$ -			\$ -
22	Debt Service: Bond Principal & Interest Payments	\$ -	\$ -			\$ -
23	Debt Service: Pymts to Retire Interest-Free Loans (Public Airports)					
24	Debt Service: Pymts to Retire Bank Loans & Other Instruments (Fire Dist.)					
25	Debt Service: Other	\$ -	\$ -			\$ -
26	Judgments	\$ -	\$ -			\$ -
27	Transfers Out of Surplus Fees	\$ -	\$ -			\$ -
28	Transfers Out Other Than Surplus Fees	\$ -	\$ -			\$ -
29	<b>Total Disbursements &amp; Transfers (Lines 19 thru 28)</b>	\$ -	\$ -	\$ -		\$ -
30	<b>Balance Forward (Line 17 - Line 29)</b>	\$ -	\$ -	\$ -		\$ -

SID WORKSHEET

Line No.	2014-2015 ACTUAL	General Fund	Bond Fund	Fund	Fund	TOTAL FOR ALL FUNDS
1	<b>Beginning Balances, Receipts, &amp; Transfers:</b>					
2	Net Cash Balance					\$ -
3	Investments	\$ -	\$ -			\$ -
4	County Treasurer's Balance	\$ -	\$ -			\$ -
5	<b>Subtotal of Beginning Balances (Lines 2 thru 4)</b>	\$ -	\$ -	\$ -		\$ -
6	Personal and Real Property Taxes (See Preparation Guidelines)	\$ -	\$ -			\$ -
7	Federal Receipts	\$ -	\$ -			\$ -
8	State Receipts: Motor Vehicle Pro-Rate	\$ -	\$ -			\$ -
9	State Receipts: State Aid					
10	State Receipts: Other	\$ -	\$ -			\$ -
11	State Receipts: Property Tax Credit	\$ -	\$ -			\$ -
12	Local Receipts: Nameplate Capacity Tax	\$ -	\$ -			\$ -
13	Local Receipts: In Lieu of Tax	\$ -	\$ -			\$ -
14	Local Receipts: Other	\$ -	\$ -			\$ -
15	Transfers In Of Surplus Fees	\$ -	\$ -			\$ -
16	Transfers In Other Than Surplus Fees	\$ -	\$ -			\$ -
17	<b>Total Resources Available (Lines 5 thru 16)</b>	\$ -	\$ -	\$ -		\$ -
18	<b>Disbursements &amp; Transfers:</b>					
19	Operating Expenses	\$ -	\$ -			\$ -
20	Capital Improvements (Real Property/Improvements)	\$ -	\$ -			\$ -
21	Other Capital Outlay (Equipment, Vehicles, Etc.)	\$ -	\$ -			\$ -
22	Debt Service: Bond Principal & Interest Payments	\$ -	\$ -			\$ -
23	Debt Service: Pymts to Retire Interest-Free Loans (Public Airports)					
24	Debt Service: Pymts to Retire Bank Loans & Other Instruments (Fire Dist.)					
25	Debt Service: Other	\$ -	\$ -			\$ -
26	Judgments	\$ -	\$ -			\$ -
27	Transfers Out of Surplus Fees	\$ -	\$ -			\$ -
28	Transfers Out Other Than Surplus Fees	\$ -	\$ -			\$ -
29	<b>Total Disbursements &amp; Transfers (Lines 19 thru 28)</b>	\$ -	\$ -	\$ -		\$ -
30	<b>Balance Forward (Line 17 - Line 29)</b>	\$ -	\$ -	\$ -		\$ -

## DISCLOSURE LETTER FOR EXISTING MUNICIPAL ADVISOR AGREEMENTS

This Amendment is provided under new MSRB Rule G-42 in connection with our current engagement as municipal advisor under the Contract for Financial Advisor/Fiscal Agent Services, dated 5/26/2016 (the “**Agreement**”) between Kuehl Capital Corporation (“**Municipal Advisor**”) and **Sanitary and Improvement District No. 311 of Sarpy County, Nebraska** (the “**Client**”). This letter will serve as written documentation required under MSRB Rule G-42 of certain specific terms, disclosures and other items of information relating to our municipal advisory relationship as of the date this letter is signed by Municipal Advisor.

### 1. **Scope of Services**

- a. *Limitations on Scope of Services.* The Scope of Services set forth in Exhibit A to the Agreement (the “**Scope of Services**”) is subject to such limitations as may be provided in the Agreement.
- b. *IRMA Status.* If Client has designated Municipal Advisor as its independent registered municipal advisor (“**IRMA**”) for purposes of SEC Rule 15Ba1-1(d)(3)(vi) (the “**IRMA exemption**”), the Scope of Services is not deemed to be expanded to include all actual or potential issuances of municipal securities or municipal financial products merely because Municipal Advisor, as IRMA, reviews a third-party recommendation relating to a particular actual or potential issuance of municipal securities or municipal financial product not otherwise considered within the Scope of Services. Municipal Advisor is not responsible for verifying that it is independent (within the meaning of the IRMA exemption as interpreted by the SEC) from another party wishing to rely on the exemption from the definition of municipal advisor afforded under the IRMA exemption. Municipal Advisor requests that Client provide to it, for review, any written representation of Client contemplated under SEC Rule 15Ba1-1(d)(3)(vi)(B) that references Municipal Advisor, its personnel and its role as IRMA. In addition, Municipal Advisor requests that Client not represent, publicly or to any specific person, that Municipal Advisor is Client’s IRMA with respect to any aspect of municipal financial products or the issuance of municipal securities, or with respect to any specific municipal financial product or any specific issuance of municipal securities, outside the Scope of Services without first discussing such representation with Municipal Advisor.

2. **Municipal Advisor’s Regulatory Duties When Servicing Client.** MSRB Rule G-42 requires that Municipal Advisor make a reasonable inquiry as to the facts that are relevant to Client’s determination whether to proceed with a course of action or that form the basis for any advice provided by Municipal Advisor to Client. The rule also requires that Municipal Advisor undertake a reasonable investigation to determine that it is not basing any recommendation on materially inaccurate or incomplete information. Municipal Advisor is also required under the rule to use reasonable diligence to know the essential facts about Client and the authority of each person acting on Client’s behalf.

Accordingly, Municipal Advisor will seek Client’s assistance and cooperation, and the assistance and cooperation of Client’s agents, with the carrying out by Municipal Advisor of these regulatory duties, including providing to Municipal Advisor accurate and complete information and reasonable access to relevant documents, other information and personnel needed to fulfill such duties. In addition, to the extent Client seeks to have Municipal Advisor provide advice with regard to any recommendation made by a third party, Municipal Advisor requests that Client provide to Municipal Advisor written direction to do so as well as any information it has received from such third party relating to its recommendation.

3. **Term.** The term of Municipal Advisor's engagement as municipal advisor and the terms on which the engagement may be terminated are as provided in the Agreement.

4. **Compensation.** The form and basis of compensation for Municipal Advisor's services as municipal advisor are as provided in the Agreement.

5. **Required Disclosures.** MSRB Rule G-42 requires that Municipal Advisor provide you with the following disclosures of material conflicts of interest and of information regarding certain legal events and disciplinary history.

a. *Disclosures of Conflicts of Interest.* MSRB Rule G-42 requires that municipal advisors provide to their clients disclosures relating to any actual or potential material conflicts of interest, including certain categories of potential conflicts of interest identified in Rule G-42, if applicable. If no such material conflicts of interest are known to exist based on the exercise of reasonable diligence by the municipal advisor, municipal advisors are required to provide a written statement to that effect. Accordingly, Municipal Advisor makes the following disclosures with respect to material conflicts of interest in connection with the Scope of Services under the Agreement, together with explanations of how Municipal Advisor addresses or intends to manage or mitigate each conflict.

b. *Mitigating Facts.* To that end, with respect to all of the conflicts disclosed below, Municipal Advisor mitigates such conflicts through its adherence to its fiduciary duty to Client, which includes a duty of loyalty to Client in performing all municipal advisory activities for Client. This duty of loyalty obligates Municipal Advisor to deal honestly and with the utmost good faith with Client and to act in Client's best interests without regard to Municipal Advisor's financial or other interests. In addition, the success and profitability of Municipal Advisor is not dependent on maximizing short-term revenue generated from individualized recommendations to its clients but instead is dependent on long-term profitability built on a foundation of integrity, quality of service and strict adherence to its fiduciary duty. Furthermore, Municipal Advisor's municipal advisory supervisory structure, leveraging our long-standing and comprehensive broker-dealer supervisory processes and practices, provides strong safeguards against individual representatives of Municipal Advisor potentially departing from their regulatory duties due to personal interests.

c. *Disclosure of Conflicts Specific to Client.*

i. **Compensation-Based Conflicts.** A portion of the fees due under the Agreement will be based on the size of the Issue and the payment of such fees shall be contingent upon the delivery of the Issue. While this form of compensation is customary in the municipal securities market, this may present a conflict because it could create an incentive for Municipal Advisor to recommend unnecessary financings or financings that are disadvantageous to Client, or to advise Client to increase the size of the Issue. This conflict of interest is mitigated by the general mitigations described above in subsection (b). Additionally, a portion of the fees due under the Agreement are in a fixed amount established at the outset of the Agreement. The amount is usually based upon an analysis by Client and Municipal Advisor of, among other things, the expected duration and complexity of the transaction and the Scope of Services to be performed by Municipal Advisor. This form of compensation presents a potential conflict of interest because, if the transaction requires more work than originally contemplated, Municipal Advisor

may suffer a loss. Thus, Municipal Advisor may recommend less time-consuming alternatives, or fail to do a thorough analysis of alternatives. This conflict of interest is mitigated by the general mitigations described above in subsection (b).

ii. Other Municipal Advisor or Underwriting Relationships. Municipal Advisor serves a wide variety of other clients that may from time to time have interests that could have a direct or indirect impact on the interests of Client. For example, Municipal Advisor serves as municipal advisor to other municipal advisory clients and, in such cases, owes a regulatory duty to such other clients just as it does to Client under the Agreement. These other clients may, from time to time and depending on the specific circumstances, have competing interests, such as accessing the new issue market with the most advantageous timing and with limited competition at the time of the offering. In acting in the interests of its various clients, Municipal Advisor could potentially face a conflict of interest arising from these competing client interests. None of these other engagements or relationships would impair Municipal Advisor's ability to fulfill its regulatory duties to Client. This conflict of interest is mitigated by the general mitigations described above in subsection (b).

iii. Related Disclosure Relevant to Client. While we do not believe that the following create(s) a conflict of interest on the part of Municipal Advisor, we note that Municipal Advisor has possibly made a contribution to a charitable organization at the request of personnel of Client or an associated person of the Municipal Advisor (or a family member of the Municipal Advisor) might serve as an officer, employee or official of Client. Client may wish to consider any impact such circumstances may have on how it conducts its activities with Municipal Advisor under the Agreement.

d. *Disclosures of Information Regarding Legal Events and Disciplinary History.* MSRB Rule G-42 requires that municipal advisors provide to their clients certain disclosures of legal or disciplinary events material to its client's evaluation of the municipal advisor or the integrity of the municipal advisor's management or advisory personnel. Accordingly, Municipal Advisor sets out below required disclosures and related information in connection with such disclosures.

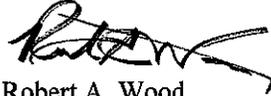
i. Material Legal or Disciplinary Event. There are no legal or disciplinary events that are material to Client's evaluation of Municipal Advisor or the integrity of Municipal Advisor's management or advisory personnel disclosed, or that should be disclosed, on any Form MA or Form MA-I filed with the SEC.

ii. How to Access Form MA and Form MA-I Filings. Municipal Advisor's most recent Form MA and each most recent Form MA-I filed with the SEC are available on the SEC's EDGAR system at <http://www.sec.gov/cgi-bin/browse-edgar?action=getcompany&CIK=0000935377>. The SEC permits certain items of information required on Form MA or MA-I to be provided by reference to such required information already filed by Municipal Advisor in its capacity as a broker-dealer on Form BD or Form U4 or as an investment adviser on Form ADV, as applicable. Information provided by Municipal Advisor on Form BD or Form U4 is publicly accessible through reports generated by BrokerCheck at <http://brokercheck.finra.org>, and Municipal Advisor's most recent Form ADV is publicly accessible at the Investment Adviser Public Disclosure website at

<http://www.adviserinfo.sec.gov>. For purposes of accessing such BrokerCheck reports or Form ADV, Municipal Advisor's CRD number is 37789.

- iii. Most Recent Change in Legal or Disciplinary Event Disclosure. Municipal Advisor has not made any material legal or disciplinary event disclosures on Form MA or any Form MA-I filed with the SEC.

KUEHL CAPITAL CORPORATION



Robert A. Wood  
Managing Director

**Chastain-Otis**

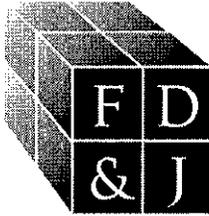
10822 Old Mill Road, Suite 2  
Omaha, NE 68154  
Phone: 402-397-2500 Fax: 402-397-2467

<b>INVOICE NO. 27126</b>		<b>Page 1</b>
<b>ACCOUNT NO. CSR</b>	<b>DATE</b>	
<b>SID31-1</b>	<b>07/19/2016</b>	
<b>PRODUCER</b>		
<b>David R. Chastain, CLU,CPCU</b>		

**SID #311**

**Fullenkamp, Doyle & Jobeun**  
11440 W Center Road  
Omaha, NE 68144

<b>Itm #</b>	<b>Due Date</b>	<b>Trn</b>	<b>Type</b>	<b>Policy #</b>	<b>Description</b>	<b>Amount</b>
556966	07/19/16	NEW	BOND	129240	New business chairman bond	\$50.00
556967	07/19/16	NEW	BOND	129241	New business clerk bond	\$70.00
<b>Invoice Balance:</b>						<b>\$120.00</b>



FULLENKAMP,  
DOYLE &  
JOBEUN, LLP

JOHN H. FULLENKAMP  
LARRY A. JOBEUN  
BRIAN C. DOYLE  
MARK B. JOHNSON

September 1, 2016

Chairman and Board of Trustees  
Sanitary and Improvement District  
No. 311 of Sarpy County, Nebraska

**Statement of Services Rendered**

Preparation of Certificate of Indebtedness to be filed with the Register of Deeds.

Preparation of letter to accountants re: threatened and pending litigation.

Various correspondence with the accountant and fiscal agent on behalf of the District.

2016 Budget Hearing: Draft and prepare notice of meeting, send to paper for publication, County Clerk and Trustees; attend meeting, draft minutes and prepare warrants; send for signatures; send copies of minutes to fiscal agent and County Clerk; telephone conference with accountant re: preparation of Budget Summary, prepare Notices and publication of same; file budget with County Clerk and State Auditor.

LEGAL FEES:

\$2,500.00

EXPENSES: Filing Fees, Publications, Copying,  
& Postage

\$1,441.99

**TOTAL AMOUNT DUE:**

**\$3,941.99**

**Invoice**



601 P St Suite 200  
 PO Box 84608  
 Lincoln, NE 68501-4608  
 Tel 402.474.6311, Fax 402.474.5063

August 25, 2016  
 Invoice No: 259802

**Invoice Total \$6,703.35**

SID 311 Springfield Pines  
 c/o Fullenkamp, Doyle, & Jobeun  
 Attn: Brianna Johnson  
 11440 W Center Road  
 Omaha, NE 68144

OA Project No. A15-1428 SID 311 Springfield Pines  
 Professional services rendered July 10, 2016 through August 6, 2016.

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Phase 100 Sanitary Sewer Outfall Design - Phase # 1

**Fee**

Billing Phase	Fee	Percent Complete	Billed To Date	Previous Fee Billing	Current Fee Billing
Sanitary Sewer Outfall Design - Phase # 1	17,933.64	100.00	17,933.64	13,450.23	4,483.41
<b>Total Fee</b>	<b>17,933.64</b>		<b>17,933.64</b>	<b>13,450.23</b>	<b>4,483.41</b>
<b>Subtotal</b>					<b>4,483.41</b>
<b>Total this Phase</b>					<b>\$4,483.41</b>

---

Phase 101 Sanitary Sewer Design - Phase # 1

**Fee**

Billing Phase	Fee	Percent Complete	Billed To Date	Previous Fee Billing	Current Fee Billing
Sanitary Sewer Design - Phase # 1	59,858.11	100.00	59,858.11	59,858.11	0.00
<b>Total Fee</b>	<b>59,858.11</b>		<b>59,858.11</b>	<b>59,858.11</b>	<b>0.00</b>
<b>Subtotal</b>					<b>0.00</b>
<b>Total this Phase</b>					<b>0.00</b>

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Phase 110 Storm Sewer Design - Phase # 1

**Fee**

Billing Phase	Fee	Percent Complete	Billed To Date	Previous Fee Billing	Current Fee Billing
Storm Sewer Design - Phase # 1	59,373.27	100.00	59,373.27	59,373.27	0.00
<b>Total Fee</b>	<b>59,373.27</b>		<b>59,373.27</b>	<b>59,373.27</b>	<b>0.00</b>

**INVOICE PAYMENT IS REQUESTED WITHIN 30 DAYS**

**Subtotal** **0.00**

**Total this Phase** **0.00**

Phase 120 Paving Design - Phase # 1  
**Fee**

Billing Phase	Fee	Percent Complete	Billed To Date	Previous Fee Billing	Current Fee Billing
Paving Design - Phase # 1	75,307.05	100.00	75,307.05	75,307.05	0.00
<b>Total Fee</b>	<b>75,307.05</b>		<b>75,307.05</b>	<b>75,307.05</b>	<b>0.00</b>
<b>Subtotal</b>					<b>0.00</b>

**Total this Phase** **0.00**

Phase 130 Water Design & Coordination - Phase # 1  
**Fee**

Billing Phase	Fee	Percent Complete	Billed To Date	Previous Fee Billing	Current Fee Billing
Water Design & Coordination - Phase # 1	36,348.14	100.00	36,348.14	36,348.14	0.00
<b>Total Fee</b>	<b>36,348.14</b>		<b>36,348.14</b>	<b>36,348.14</b>	<b>0.00</b>
<b>Subtotal</b>					<b>0.00</b>

**Total this Phase** **0.00**

Phase 140 Power/Gas Design & Coordination - Phase #1  
**Fee**

Billing Phase	Fee	Percent Complete	Billed To Date	Previous Fee Billing	Current Fee Billing
Power/Gas Design & Coord - Phase #1	10,610.96	0.00	0.00	0.00	0.00
<b>Total Fee</b>	<b>10,610.96</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Subtotal</b>					<b>0.00</b>

**Total this Phase** **0.00**

Phase 200 Sanitary Sewer Outfall Construction - Phase #1  
**Fee**

Billing Phase	Fee	Percent Complete	Billed To Date	Previous Fee Billing	Current Fee Billing
Sanitary Sewer Outfall Constr - Phase #1	25,904.15	0.00	0.00	0.00	0.00
<b>Total Fee</b>	<b>25,904.15</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Subtotal</b>					<b>0.00</b>

**INVOICE PAYMENT IS REQUESTED WITHIN 30 DAYS**

**Total this Phase 0.00**

Phase 201 Sanitary Sewer Construction - Phase # 1  
**Fee**

Billing Phase	Fee	Percent Complete	Billed To Date	Previous Fee Billing	Current Fee Billing
Sanitary Sewer Construction - Phase # 1	86,461.71	0.00	0.00	0.00	0.00
<b>Total Fee</b>	<b>86,461.71</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Subtotal</b>					<b>0.00</b>
<b>Total this Phase</b>					<b>0.00</b>

Phase 210 Storm Sewer Construction - Phase # 1  
**Fee**

Billing Phase	Fee	Percent Complete	Billed To Date	Previous Fee Billing	Current Fee Billing
Storm Sewer Construction - Phase # 1	77,185.25	0.00	0.00	0.00	0.00
<b>Total Fee</b>	<b>77,185.25</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Subtotal</b>					<b>0.00</b>
<b>Total this Phase</b>					<b>0.00</b>

Phase 220 Paving Construction - Phase # 1  
**Fee**

Billing Phase	Fee	Percent Complete	Billed To Date	Previous Fee Billing	Current Fee Billing
Paving Construction - Phase # 1	90,368.46	0.00	0.00	0.00	0.00
<b>Total Fee</b>	<b>90,368.46</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Subtotal</b>					<b>0.00</b>
<b>Total this Phase</b>					<b>0.00</b>

Phase 230 Water Construction - Phase #1  
**Fee**

Billing Phase	Fee	Percent Complete	Billed To Date	Previous Fee Billing	Current Fee Billing
Water Construction - Phase #1	50,232.90	0.00	0.00	0.00	0.00
<b>Total Fee</b>	<b>50,232.90</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Subtotal</b>					<b>0.00</b>

**INVOICE PAYMENT IS REQUESTED WITHIN 30 DAYS**

**Total this Phase 0.00**

Phase 240 Power/Gas Construction - Phase # 1

**Fee**

Billing Phase	Fee	Percent Complete	Billed To Date	Previous Fee Billing	Current Fee Billing
Power/Gas Construction - Phase # 1	14,855.34	0.00	0.00	0.00	0.00
<b>Total Fee</b>	<b>14,855.34</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Subtotal</b>					<b>0.00</b>
<b>Total this Phase</b>					<b>0.00</b>

Phase 999 Reimbursable Expenses

**Reimbursable Expenses**

Supplies				165.80	
Filing Fees				1,830.40	
Personal Vehicle Mileage				92.34	
<b>Total Reimbursables</b>				<b>2,088.54</b>	<b>2,088.54</b>

**Unit Billing**

Duplication-KIP		564.007 Feet @ 0.20		112.80	
Duplication-KIP		93.0 Feet @ 0.20		18.60	
<b>Total Units</b>				<b>131.40</b>	<b>131.40</b>

**Total this Phase \$2,219.94**

**AMOUNT DUE THIS INVOICE \$6,703.35**

**Outstanding Invoices**

Number	Date	Balance
255650	6/29/2016	186,872.94
256835	7/21/2016	58,240.99
<b>Total</b>		<b>245,113.93</b>

Authorized By: Eric Galley



August 10, 2016

S.I.D. No. 311 (Springfield Pines)  
c/o Fullenkamp, Doyle, & Jobeun  
Attn: Brianna Johnson  
11440 W. Center Road  
Omaha, NE 68144

RE: S.I.D. 311 (Springfield Pines) Lots 1-128 and Outlots A-I  
Gas Main Abandonment Group # GP2107  
Job Nos.: 100066002032  
Olsson Project No. A15-1428

Dear Mr. Jobeun and Members of the Board,

A Metropolitan Utilities District of Omaha (M.U.D) Gas Main abandonment is planned as a part of this project. Olsson Associates has reviewed this plan; the total estimated cost to abandon approximately 1,620 LF of 3" 45 psig wrapped steel gas main located across the property between south 132<sup>nd</sup> Street and North 8<sup>th</sup> Street is \$6,000.

Therefore Olsson Associates recommends that the agreement should be signed by the Chairman and Clerk of the SID and enter into this agreement with M.U.D.

Enclosed is the copy the agreement for execution. Please return to M.U.D.

Sincerely,



Eric Galley, PE

Encls:  
CC: File

F:\Projects\015-1428\20-Management\Project Administration\Letter of Recommendations\16-08-08\_MUD Gas Main Abandonment.doc



**METROPOLITAN**  
UTILITIES DISTRICT

SID #311 of Sarpy County  
C/O: Larry Jobeun, Atty  
11440 West Center Road, SUITE "C"  
Omaha, NE 68144

RE: Gas main abandonment work in Springfield, Nebraska.  
Group #: GP2107  
Job #(s): 100066002032

July 28, 2016

Dear Mr. Jobeun,

In consideration of the estimated sum of \$6,000 paid by SID #311 to the Metropolitan Utilities District ("District"), the District will perform the following work:

1. Abandon approximately 1,620' of 3" 45 psig wrapped steel gas main located in a farm field between South 132<sup>nd</sup> Street and North 8<sup>th</sup> Street and between Platteview Road and Main Street in Springfield, Nebraska.

This work will be invoiced on a time and material basis. The SID will be responsible for the actual final cost of Item 1.

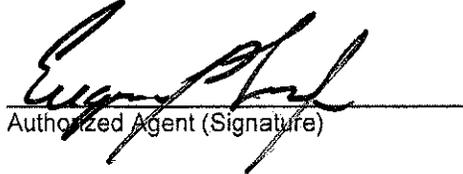
Receipt of this letter signed by an agent of the SID authorized to approve full payment to the District, indicates the SID's agreement to the terms of this letter. Prior to the start of construction, the District must also receive an executed copy of the gas main extension agreement for this same area in order to ensure continued gas service for customers who will be affected by this gas main abandonment.

If you have any questions, please call me at (402) 504-7762.

Sincerely,

  
Tim Owens  
Engineer I, Engineering Design

  
Authorized Agent (Print)

  
Authorized Agent (Signature)

  
Date

  
Title of Authorized Agent

Enclosure: Duplicate Letter  
CC:

**KUTAK ROCK LLP**

**OMAHA, NEBRASKA**  
Telephone: (402) 346-6000  
Facsimile: (402) 346-1148

Federal ID 47-0597598

August 15, 2016

**Check Remit To:**  
Kutak Rock LLP  
PO Box 30057  
Omaha, NE 68103-1157

**Wire Transfer Remit To:**  
ABA # 104000016  
First National Bank of Omaha  
Kutak Rock LLP  
A/C # 24-690470  
Reference: 1355501-439  
Invoice: 2205958

Sanitary and Improvement District No. 311  
of Sarpy County, Nebraska  
c/o Fullenkamp, Doyle & Jobeun  
11440 West Center Road  
Omaha, NE 68144  
Attention: Larry Jobeun

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Sanitary and Improvement District No. 311  
of Sarpy County, Nebraska  
(Springfield Pines)  
Construction Fund Warrants

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Sanitary and Improvement District No. 311  
of Sarpy County, Nebraska  
(Springfield Pines)  
General Fund Warrants

For professional services rendered and expenses incurred as disclosure counsel to the above-mentioned District in connection with the issuance of the above-captioned issues, including the following: (i) review of overall structure of the transaction; (ii) preparation of adopting resolution; (iii) preparation of Warrant Offering Circular; and (v) participation on telephone conferences.

**TOTAL DUE:**

**\$3,000.00**

## AGENDA

Sanitary and Improvement District No. 311 of Sarpy County, Nebraska; Meeting to be held September 1, 2016, at 11:00 a.m. at 11440 West Center Road, Omaha, Nebraska.

1. Present Nebraska Public Meetings Act.

Roll call: Trustees

- ✓ Eugene J. Graves, Jr.
- ✓ Eugene D. Graves
- ✓ Susan Sawyer Malnack
- ✓ Mary E. Graves
- ✓ Sherri Hinkel

2. Present initial Budget for 2016/2017; vote on and approve same.

3. Present statements, vote on and approve payment from the General Fund Account of the District for the following:

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|----|--|------------|
| a. | Chastain-Otis for issuance of new Chairman and Clerk bonds of the District (No. 27126).                            | \$ 120.00  |
| b. | Fullenkamp, Doyle & Jobeun for legal services rendered in connection with the budget and expenses of the District. | \$3,941.99 |
| c. | Kuehl Capital Corporation for municipal advisory services on General Fund Warrants issued at this meeting (2.5%).  | \$ 101.55  |
| d. | First National Capital Markets for underwriting services on General Fund Warrants issued at this meeting (2.0%).   | \$ 83.27   |

4. Present statements, vote on and approve payment from the Construction Fund Account of the District for the following:

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|----|--|------------|
| e. | Olsson Associates for engineering and design services on Various construction projects of the District (No. 259802). | \$6,703.35 |
| f. | Metropolitan Utilities District for the gas main abandonment fee and pursuant to the Gas Main Extension Agreement.   | \$6,000.00 |
| g. | Fullenkamp, Doyle & Jobeun for legal services rendered in connection with Item <del>4</del> hereinabove (5%).        | \$ 300.00  |
| h. | Kutak Rock LLP for disclosure counsel services and review of structure of warrant issuance (No. 2205958).            | \$3,000.00 |

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|----|--|-----------|
| i. | Kuehl Capital Corporation for municipal advisory services on Construction Fund Warrants issued at this meeting (2.5%). | \$ 400.08 |
| j. | First National Capital Markets for underwriting services on Construction Fund Warrants issued at this meeting (2.0%).  | \$ 328.07 |

5. Any and all business before the Board as deemed necessary.