

CERTIFICATE

The undersigned hereby certify that they are the Chairperson and Clerk of Sanitary and Improvement District Number 29 of Sarpy County, Nebraska, (the "District") and hereby further certify as follows:

1. Annexed to this certificate is a true and correct transcript of the proceedings of the Board of Trustees of the District relating to a meeting of said District held on the date and at the time reflected in the meeting minutes contained in said foregoing transcript (the "Meeting"). All of the proceedings of the District and of the Board of Trustees thereof which are set out in the annexed and foregoing transcript have been fully recorded in the journal of proceedings of the District and the undersigned District Clerk has carefully compared the annexed and foregoing transcript with said journal and with the records and files of the District which are in such Clerk's official custody and said transcript is a full, true and complete copy of said journal, records and files which are set out therein.

2. Advance notice for the Meeting was given by publication as set forth in the affidavit of publication contained in the foregoing transcript and was mailed to the Clerk of the municipality or county within whose zoning jurisdiction the District is located at least seven days prior to the date of the Meeting. Advance notice for the Meeting, including notice of agenda subjects, was given to all members of the Board of Trustees. All news media requesting notification of meetings of said body were provided with advance notice of the times and places of such meetings and the subjects to be discussed.

3. All of the subjects addressed at the Meeting were contained in the agenda for the Meeting, which agenda was kept continually current and readily available for public inspection at the address listed in the meeting notice for the Meeting and a copy of which is attached to this Certificate; such subjects were contained in said agenda for at least 24 hours prior to the Meeting and each agenda item was sufficiently descriptive to give the public reasonable notice of the matters to be considered at the Meeting.

4. A current copy of the Nebraska Open Meetings Act was available and accessible to members of the public, posted during the Meeting in the room in which such Meeting was held and all in attendance at the Meeting were informed that such copy of the Nebraska Open Meetings Act was available for review and were informed of the location of such copy in the room in which such Meeting was being held. At least one copy of all resolutions and other reproducible written materials, for which actions are shown in said proceedings, was made available for examination and copying by members of the public at the Meeting.

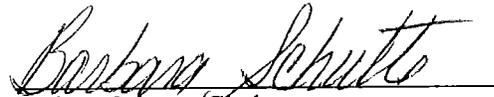
5. The minutes of the Meeting were in written form and available for public inspection within ten (10) working days after the Meeting or prior to the next convened meeting, whichever occurred earlier, at the office of the District; within thirty (30) days after the date of the Meeting, a copy of the minutes of the Meeting was sent to the Clerk of the municipality or county within whose zoning jurisdiction the District is located.

6. No litigation is now pending or threatened to restrain or enjoin the District from the issuance and delivery of any warrants or other obligations issued by the District or the levy and collection of tax or other revenues or relating to any of the improvements for which any such warrants or other obligations were or are issued nor in any manner questioning the proceedings and authority under which any such warrants or other obligations were or are issued or affecting the validity thereof; neither the corporate existence or boundaries of the District nor the title of its present officers to their respective offices is being contested; no authority or proceedings for the issuance of any warrants or other obligations by the District have been repealed, revoked or rescinded as of the date hereof. All actions taken by the Board of Trustees referred to in said transcript were taken at a public meeting while open to the attendance of the public.

IN WITNESS WHEREOF, we have hereunto affixed our official signatures this 9th day of November 2016.



Lee O'Neal, Chairperson



Barbara Schutte, Clerk

SANITARY IMPROVEMENT DISTRICT NO. 29
OF
SARPY COUNTY, NEBRASKA
BOARD OF TRUSTEES MEETING
November 9, 2016

MINUTES

PRESENT: Lee O'Neal, Barbara Schutte, Steven Kurt Neff, Phillip E. Dechow and Pam Buethe

ABSENT: None

LOCATION: City Hall, Gretna, Nebraska

TIME: 7:00 p.m.

Notice was given in advance thereof by posting in three conspicuous places within the District, a designated method for giving notice; a copy of the certificate of posting being attached to these minutes. Notice of this meeting was given to all members of the Board of Trustees, and a copy of their acknowledgment of Receipt of Notice is attached to these minutes. Availability of the agenda was communicated in the published notice and in the notice of the Trustees of this meeting. All proceedings of the Board were taken while the convened meeting was open to the attendance of the public.

The Clerk publicly stated to all in attendance that a current copy of the Nebraska Open Meetings Act was available for review and indicated the location of such copy in the room where the meeting was being held.

Barney Whatley from the Nebraska Rural Water Association then addressed the Board and presented the report he had completed for the District with regard to his assessment of the District's water system. Mr. Whatley took questions from the Board but no action was required or taken by the Board.

Discussion was then had with regard to seal coating the roads within the District and the ability to use funds in the bond fund for payment of the project. The attorney for the District had provided the District with a letter outlining the concerns with the same, a copy of which was presented to the Board for review. The Clerk will follow up with the attorney with regard to his recommendation.

The Clerk then advised it was necessary for the Board to approve payment to the neighboring property owner that had agreed to grant the District an easement across his property for the water improvement project. After some minimal discussion, Trustee Phillip Dechow introduced the following resolution and moved its adoption:

BE IT FURTHER RESOLVED by the Board of Trustees of Sanitary and Improvement District No. 29 of Sarpy County, Nebraska that the Board hereby approves payment to Gary Kauffman in the amount of \$500.00 for the granting of an easement across his property to enable the District to complete the water main improvement project of the District.

Motion seconded by Pam Buethe. On roll call, the following voted for the passage and adoption of the foregoing Resolution:

AYE: Lee O'Neal, Barbara Schutte, Steven Kurt Neff, Phillip E. Dechow and Pam Buethe

The following voted NAY: None

Thereupon the Chairperson declared said motion carried and said resolutions passed and adopted.

The Clerk then advised it was necessary for the Board to approve payment to Nebraska Department of Environmental Quality for the loan payment for the water improvement project. After some minimal discussion, Trustee Barbara Schutte introduced the following resolution and moved its adoption:

BE IT FURTHER RESOLVED by the Board of Trustees of Sanitary and Improvement District No. 29 of Sarpy County, Nebraska that the Board hereby approves payment to Nebraska Department of Environmental Quality in the amount of \$8,350.42 representing the December loan payment for the District.

Motion seconded by Phillip Dechow. On roll call, the following voted for the passage and adoption of the foregoing Resolution:

AYE: Lee O'Neal, Barbara Schutte, Steven Kurt Neff, Phillip E. Dechow and Pam Buethe

The following voted NAY: None

Thereupon the Chairperson declared said motion carried and said resolutions passed and adopted.

The Clerk then presented the following statements and recommended the issuance of a general fund warrants in payment thereof:

General Fund

Phil Dechow	\$ 429.09
Kuehl Capital Corporation	\$1,800.00

Trustee Barbara Schutte introduced the following resolution and moved its adoption:

BE IT FURTHER RESOLVED by the Board of Trustees of Sanitary and Improvement District No. 29 of Sarpy County, Nebraska that the Chairperson and Clerk be and they hereby are authorized and directed to execute and deliver Warrant Nos. **G-16-274 and G-16-275** of the

District, dated the date of this meeting, to be drawn on the **General Fund**, to draw interest at the rate of Seven percent (7%) per annum (interest to be payable on February of each year) and to be redeemed no later than November 9, 2019, subject to extension of said maturity date by order of the District Court of Sarpy County, Nebraska, after notice is given as required by law, to-wit:

Warrant No. G-16-274 for \$429.09 payable to Phil Dechow

Warrant No. G-16-275 for \$1,800.00 payable to Kuehl Capital Corporation

Motion seconded by Steven Kurt Neff. On roll call, the following voted for the passage and adoption of the foregoing Resolution:

AYE: Lee O'Neal, Barbara Schutte, Steven Kurt Neff, Phillip E. Dechow and Pam Buethe

The following voted NAY: None

Thereupon the Chairperson declared said motion carried and said resolution passed and adopted.

The Clerk then presented the following statements and recommended the issuance of a bond fund warrants in payment thereof:

Bond Fund

Gary Kauffman	\$ 500.00
Nebraska Department of Environmental Quality	\$8,350.42

Trustee Barbara Schutte introduced the following resolution and moved its adoption:

BE IT FURTHER RESOLVED by the Board of Trustees of Sanitary and Improvement District No. 29 of Sarpy County, Nebraska that the Chairperson and Clerk be and they hereby are authorized and directed to execute and deliver Warrant Nos. **C-16-276 and C-16-277** of the District, dated the date of this meeting, to be drawn on the **Bond Fund**, to draw interest at the rate of Seven percent (7%) per annum (interest to be payable on February of each year) and to be redeemed no later than November 9, 2021, subject to extension of said maturity date by order of the District Court of Sarpy County, Nebraska, after notice is given as required by law, to-wit:

Warrant No. G-16-276 for \$500.00 payable to Gary Kauffman

**Warrant No. G-16-277 for \$8,350.42 payable to Nebraska Department of
Environmental Quality**

Motion seconded by Steven Kurt Neff. On roll call, the following voted for the passage and adoption of the foregoing Resolution:

AYE: Lee O'Neal, Barbara Schutte, Steven Kurt Neff, Phillip E. Dechow and Pam Buethe

The following voted NAY: None

Thereupon the Chairperson declared said motion carried and said resolution passed and adopted.

Trustee Barbara Schutte introduced the following resolutions and moved their adoption:

BE IT FURTHER RESOLVED by the Board of Trustees of Sanitary and Improvement District No. 29 of Sarpy County, Nebraska, that both they and the district hereby find and determine and covenant, warrant and agree as follows: the improvements and/or facilities being financed or refinanced by the above Warrants are for essential governmental functions and are designed to serve members of the general public on an equal basis; all said improvements have from the time of their first acquisition and construction been owned, are owned and are to be owned by the District or another political subdivision; to the extent special assessments have been or are to be levied for any of said improvements, such special assessments have been or are to be levied under Nebraska law as a matter of general application to all property specially benefited by said improvements in the District; the development of the land in the District is for residential or commercial use and the development of the land in the District for sale and occupation by the general public has proceeded and is proceeding with reasonable speed; other than any incidental use of said improvements by a developer during the initial period of development of said improvements, there have been, are and will be no persons with rights to use such improvements other than as members of the general public; none of the proceeds of said Bonds or any refinanced indebtedness have been or will be loaned to any private person or entity; the District hereby authorizes and directs the Chairperson or Clerk to file or cause to be filed, when due, an information reporting form pursuant to Section 149(e) of the Internal Revenue Code of 1986, as amended, pertaining to the above Warrants; and the District does not reasonably expect to sell or otherwise dispose of said improvements and/or facilities, in whole or in part, prior to the last maturity of the above Warrants.

BE IT FURTHER RESOLVED by the Board of Trustees of Sanitary and Improvement District No. 29 of Sarpy County, Nebraska, that the District hereby covenants, warrants and agrees as follows: (a) to take all actions necessary under current federal law to maintain the tax-exempt status (as to taxpayers generally) of interest in the above warrants that are a charge against the construction account of the District; and (b) to the extent that it may lawfully do so, the District hereby designates the above warrants, that are a charge against the construction account of the District, as its "qualified tax-exempt obligations" under Section 265 (b) (3) (B) (i) (III) of the Internal Revenue Code of 1986 as amended and covenants and warrants that the District does not reasonably expect to issue warrants or bonds or other obligations aggregating in the principal amount of more than \$10,000,000 during the calendar year in which the above warrants are to be issued.

BE IT FURTHER RESOLVED by the Board of Trustees of Sanitary and Improvement District No. 29 of Sarpy County, Nebraska, that this and the preceding resolutions are hereby adopted as the Certificate With Respect to Arbitrage of the District pertaining to the above warrants and the District and the Chairman and Clerk of the District hereby further certify, as of the date of the registration of the above warrants with the County Treasurer of Sarpy County, Nebraska, as follows:

1. No separate reserve or replacement fund has been or will be established with respect to the above warrants. The District reasonably anticipates that monies in its Bond Fund reasonably attributable to the above warrants in excess of the lesser of: (a) 10% of the net principal proceeds of the above warrants, (b) the maximum annual debt service due on the above warrants or (c) 125% of annual debt service due on the above warrants will be expended for payment of principal or an interest on the above warrants within thirteen months after receipt of such monies. That amount which is currently held in the District's Bond Fund which exceeds the amount which is to be expended for payment of principal and interest on the above warrants within thirteen months after receipt of such monies, plus that amount arrived at pursuant to the immediately preceding sentence, will not be invested in any securities or any other investment obligations which bear a yield, as computed in accordance with the actuarial method, in excess of the yield on the above warrants.

2. To the best of their knowledge, information and belief, the above expectations are reasonable.

3. The District has not been notified of any listing of it by the Internal Revenue Service as an issuer that may not certify its bonds.

4. The Certificate is being passed, executed and delivered pursuant to Sections 1.148-2(b)(2) of the Income Tax Regulations under the Internal Revenue Code of 1986, as amended.

Motion seconded by Lee O'Neal. On roll call, the following voted for the passage and adoption of the foregoing Resolutions:

AYE: Lee O'Neal, Barbara Schutte, Steven Kurt Neff, Phillip E. Dechow and Pam Buethe

The following voted NAY: None

Thereupon the Chairperson declared said motion carried and said resolutions passed and adopted.



Barbara Schutte, Clerk



Lee O'Neal, Chairperson

CERTIFICATE OF POSTING

I, the undersigned Clerk of Sanitary and Improvement District No. 29, of Sarpy County, Nebraska, do hereby certify that the notice of the meeting of the Board of Trustees to be held on the 9th day of November 2016 at City Hall, Gretna, Nebraska, was posted by me on the 1st day of November 2016, in three conspicuous places in the District, and that said notice remained posted until the 9th day of November 2016.

In witness whereof I have set my hand officially this 9th day of November 2016.



Barbara Schutte, Clerk

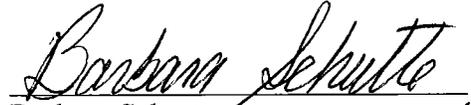
ACKNOWLEDGMENT OF RECEIPT OF NOTICE OF MEETING

The undersigned Trustees of Sanitary and Improvement District No. 29 of Sarpy County, Nebraska, hereby acknowledge receipt of advance notice of a meeting of the Board of Trustees of said district and the agenda for such meeting held at 7:00 p.m. on November 9, 2016 at Gretna City Hall, Gretna, Nebraska

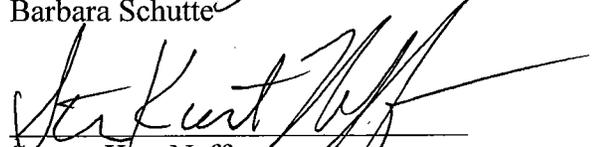
Dated this 9th day of November 2016



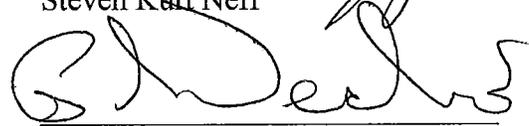
Lee D. O'Neal



Barbara Schutte



Steven Kurt Neff



Phillip E. Dechow



Pam Buethe



Barney Whatley
Capacity Development
Specialist

cell phone: 402-480-4297
email: barney@nerwa.org

Nebraska Rural Water Association
3390 Ponderosa
Wahoo, Nebraska 68066

phone: 402-443-5216
toll free: 800-842-8039
fax: 402-443-5274
website: www.nerwa.org

Initial Financial-Managerial Water System Assessment

System Name	Sarp County SID # 29		Date of Assessment	June 3, 2016
Operator Name	John Wolken		Clerk/Treasurer Name	Barbara Schutte
Board Chair Name	Lee O'Neil		Phone	(402) 332-4680
Mailing Address	20001 Westridge Rd., Gretna			
Population	81	Total Service Connections	33	

Interviewer & Organization Barney Whatley - Nebraska Rural Water Association

NOTE: This assessment is designed to identify issues surrounding the financial and managerial capacities of a water system. When negative responses are encountered, clarification must be obtained to understand the situation. This clarification should accurately be recorded on a separate sheet with the corresponding question number.

Overall Management

If you purchase water, do you fully understand the purchasing arrangement?	
	Yes No
1	N/A
2	N/A
3	N/A
4	N/A
5	N/A
Is your current source the best choice for the long-term?	
6	X
7	X
8	X
9	X
10	X
11	X
12	X
13	X
Does your operations staff have the right training and credentials?	
14	4
15	X
16	X
Does your staff fully understand and meet all current monitoring requirements?	
17	X
18	X

Are your operations conducted safely?	
50	<input checked="" type="checkbox"/> Do you have a safety program defining measures to be taken if someone gets hurt?
51	<input checked="" type="checkbox"/> Does everyone understand the risks and safety measures involved in handling water treatment chemicals?
52	<input checked="" type="checkbox"/> Do you have written operating procedures for both routine and emergency system operations?
53	<input checked="" type="checkbox"/> Are you fully aware of OSHA confined space regulations?
Do you have an organized approach to maintenance?	
54	<input checked="" type="checkbox"/> Do you have a system for scheduling routine preventive maintenance?
55	<input checked="" type="checkbox"/> Do you have a system for assuring adequate inventory of essential spare parts and back-up equipment? Contractor provides
56	<input checked="" type="checkbox"/> Do you have relationships with contractors and equipment vendors to assure prompt priority service?
57	<input checked="" type="checkbox"/> Do you have records and data management systems for system operating and maintenance data, for regulatory compliance data, and for system management and administration?
Is your management capability complete?	
58	<input checked="" type="checkbox"/> Are you getting the outside services and technical assistance you need? Do you have adequate legal counsel, insurance, engineering advice, technical/operations assistance, rate case preparation, and financial advice?
Assessing Your Finances	
Are current financial planning mechanisms adequate?	
59	<input checked="" type="checkbox"/> Do you have an annual budget?
60	<input checked="" type="checkbox"/> How frequently do you track budget performance? Quarterly
61	<input checked="" type="checkbox"/> Does your budget process provide for depreciation of the existing plant and equipment or fund reserves?
62	<input checked="" type="checkbox"/> Do you use the budgeting process to determine your annual revenue requirement?
63	<input checked="" type="checkbox"/> How often do you review your water rates? As needed
64	<input checked="" type="checkbox"/> Do you have a capital budget or capital improvement plan that projects future capital investment needs and at a minimum, reviews the capability of the source of supply, treatment, storage and distribution both short and long term i.e. (2 & 10 year)
65	<input checked="" type="checkbox"/> Do you have a process for scheduling and committing to capital projects? As needed
66	<input checked="" type="checkbox"/> Does your planning process account for all the potential capital needs suggested by all of the preceding questions in this assessment?
67	<input checked="" type="checkbox"/> Does your long-term planning incorporate analysis of different methods that might offer cost savings to customers, such as consolidation with other nearby systems or sharing operations and management expenses with other nearby systems?
Are current financial management mechanisms adequate?	
68	<input checked="" type="checkbox"/> Does your water system presently operate on a break-even basis?
69	<input checked="" type="checkbox"/> Does it generate surplus revenue? If so, what is done with the surplus revenue? Put in utility reserve fund
70	<input checked="" type="checkbox"/> Does it operate at a loss? If not, what is the source of funds to satisfy the deficit? From utility reserves or borrow
71	<input checked="" type="checkbox"/> Does your system bill monthly? Quarterly
72	<input checked="" type="checkbox"/> Do you run an aging of accounts receivable? If so, what are your day's receivable for your water sales? 120 days
73	<input checked="" type="checkbox"/> Do you have a written policy for collection of delinquent accounts?
74	<input checked="" type="checkbox"/> Does the water system keep all the water revenues (i.e., water revenue does not support other departments or unrelated activities)? Water and wastewater funds are combined.
75	<input checked="" type="checkbox"/> How do you track budget performance? Clerk reviews monthly bank statement
76	<input checked="" type="checkbox"/> Do you keep records to substantiate depreciation of fixed assets and/or accounting for reserve funds?
77	<input checked="" type="checkbox"/> Are financial management record keeping systems organized?
78	<input checked="" type="checkbox"/> Are controls exercised over expenditures? Non-emergency expenses require board approval
79	<input checked="" type="checkbox"/> How are controls exercised to keep from exceeding your budget? Curtail expenditures
80	<input checked="" type="checkbox"/> Are there purchasing procedures? Board approval
81	<input checked="" type="checkbox"/> Are there procedures for the prompt selection of outside contractors and suppliers? Past performance

Supplemental Information	
114	<input checked="" type="checkbox"/> Previous two fiscal years audit or compilation report (Pre & Post Improvements) Not required
115	<input checked="" type="checkbox"/> Previous two fiscal years budget (Pre & Post Improvements)
116	<input checked="" type="checkbox"/> Current fiscal year budget
117	<input checked="" type="checkbox"/> Rate ordinance/user agreement
118	<input checked="" type="checkbox"/> Service connection ordinance/rules (Pre & Post Improvements) Unknown if they exist
119	<input checked="" type="checkbox"/> By-Laws (non municipal) (Pre & Post Improvements) Unknown if they exist or where they might be
120	<input checked="" type="checkbox"/> Minutes of the annual meeting No regular annual meeting is held.
121	N/A
122	<input checked="" type="checkbox"/> Copies of operator's monthly reports (Pre & Post Improvements) Oral
123	<p>Operating Ratio = Operating Expenses / Net Sales. The operating ratio is also an indirect measure of efficiency. The lower the ratio, the more efficiently the company is creating profits. The smaller the ratio, the greater the organization's ability to generate profit if revenues decrease. When using this ratio, however, you should be aware that it doesn't take debt repayment or capital investment into account.</p>

DHHS Capacity Development Coordinator

DHHS Field Services Program Manager

I have reviewed the above information and the assessment showed that their Technical, Financial, and Managerial (TFM) capability of the Public Water System meets the requirements of the Safe Drinking Water Act.

I have reviewed the above noted findings and agree with the statement that their TFM capability meets the requirements of the Safe Drinking Water Act.

If the above are not signed, then Initial TFM Assessment was not satisfactory, and those signatures will not be made until the Final TFM Assessment is acceptable.

Initial Financial-Managerial Water System Assessment

System Name	Sarpy County SID # 29	Date of Assessment	June 3, 2016
Operator Name	John Wolken	Clerk/Treasurer Name	Barbara Schutte
Board Chair Name	Lee O'Neil	Phone	(402) 332-4680
Mailing Address	20001 Westridge Rd., Gretna	Zip	68028
Population	81	Total Service Connections	33

Interviewer & Organization Barney Whitley - Nebraska Rural Water Association

NOTE: This assessment is designed to identify issues surrounding the financial and managerial capacities of a water system. When negative responses are encountered, clarification must be obtained to understand the situation. This clarification should accurately be recorded on a separate sheet with the corresponding question number.

Overall Management

If you purchase water, do you fully understand the purchasing arrangement?		
	Yes	No
1	N/A	
2	N/A	
3	N/A	
4	N/A	
5	N/A	
Is your current source the best choice for the long-term?		
6	X	
7	X	
8	X	
9	X	
10	X	
11		X
12	X	
13	X	
Does your operations staff have the right training and credentials?		
14		4
15	X	
16	X	
Does your staff fully understand and meet all current monitoring requirements?		
17		X
18	X	

Are you confident you understand what it will take to meet future operational demands?		
19	X	Does your water system obtain any regular or occasional technical assistance from outside sources, such as the state, your engineer, other utilities, or organizations specifically dedicated to providing technical assistance? Engineer mainly
20	X	Are you aware of all the assistance programs that are available to you?
Management & Administration		
Is it clear who's in charge of what?		
21	X	Is there a clear plan of organization and control among the people responsible for management and operation of the system?
22	X	Are the limits of the operator's authority clearly known?
23	X	Are all the specific functional areas of operations and management assigned?
24	X	What other responsibilities does the operator have? None - water operator only for system
25	X	What is the operator's length of service? 30 years
26	X	What percent of time does the operator spend working on the system? 4 to 5 hours per week
27	X	In your opinion, is system staffing adequate?
Are there clear rules and standards?		
28	X	Does everyone involved in operations know who is responsible for each area?
29	X	Is someone responsible for scheduling work?
30	X	Do you have explicit rules and standards for system modifications? System is closed so it has never come up
31	X	Do you have rules governing new hook-ups? Only 3 possible building sites available within the system boundaries
32	N/A	Do you have a main extension policy?
33	N/A	Do you have standard construction specifications to be followed?
34	X	Do you have measures to assure cross-connection control and backflow prevention?
35	X	Do you have policies or rules describing customer rights and responsibilities?
Do you have a deliberately organized regulatory compliance program?		
36	X	Do you fully understand monitoring requirements and have a scheduling mechanism to assure compliance?
37	X	When was your last successful routine sanitary survey? 2014 How many violations? 2: 1 minor & 1 significant
38	X	Do you have a mechanism to obtain the most recent information on regulatory requirements?
39	X	Do you know how to obtain clarification or explanation of requirements?
40	X	Do you maintain adequate records to document compliance?
41	X	Are all system records maintained and easily accessible?
42	X	Do you know what to do in the event of a violation?
Are you prepared to handle emergencies?		
43	X	Do you have an approved emergency response plan (ERP)?
44	X	What is the distribution list of the ERP? Operator
45	X	Is there a contingency for making emergency interconnections to neighboring systems, and do you know they will work when needed as well as the required regulatory approval? .Connect to City of Gretna fire hydrant
46	X	Does everyone involved in operations know what they are to do in the event of contamination from a toxic or hazardous waste spill in/at your source water or a main break or a tank failure?
47	X	Do you have the ability to pump water in the event of a power outage? How?
48	N/A	Is the equipment quickly available in the event of a power outage?
49	X	Do you have written drought contingency plans? Are they enforceable? Voluntary - residents agree to comply

Are your operations conducted safely?		
50	X	Do you have a safety program defining measures to be taken if someone gets hurt?
51	X	Does everyone understand the risks and safety measures involved in handling water treatment chemicals?
52	X	Do you have written operating procedures for both routine and emergency system operations?
53	X	Are you fully aware of OSHA confined space regulations?
Do you have an organized approach to maintenance?		
54	X	Do you have a system for scheduling routine preventive maintenance?
55	X	Do you have a system for assuring adequate inventory of essential spare parts and back-up equipment? Contractor provides
56	X	Do you have relationships with contractors and equipment vendors to assure prompt priority service?
57	X	Do you have records and data management systems for system operating and maintenance data, for regulatory compliance data, and for system management and administration?
Is your management capability complete?		
58	X	Are you getting the outside services and technical assistance you need? Do you have adequate legal counsel, insurance, engineering advice, technical/operations assistance, rate case preparation, and financial advice?
Assessing Your Finances		
Are current financial planning mechanisms adequate?		
59	X	Do you have an annual budget?
60	X	How frequently do you track budget performance? Quarterly
61	X	Does your budget process provide for depreciation of the existing plant and equipment or fund reserves?
62	X	Do you use the budgeting process to determine your annual revenue requirement?
63	X	How often do you review your water rates? As needed
64	X	Do you have a capital budget or capital improvement plan that projects future capital investment needs and at a minimum, reviews the capability of the source of supply, treatment, storage and distribution both short and long term i.e. (2 & 10 year)
65	X	Do you have a process for scheduling and committing to capital projects? As needed
66	X	Does your planning process account for all the potential capital needs suggested by all of the preceding questions in this assessment?
67	X	Does your long-term planning incorporate analysis of different methods that might offer cost savings to customers, such as consolidation with other nearby systems or sharing operations and management expenses with other nearby systems?
Are current financial management mechanisms adequate?		
68	X	Does your water system presently operate on a break-even basis?
69	X	Does it generate surplus revenue? If so, what is done with the surplus revenue? Put in utility reserve fund
70	X	Does it operate at a loss? If not, what is the source of funds to satisfy the deficit? From utility reserves or borrow
71	X	Does your system bill monthly? Quarterly
72	X	Do you run an aging of accounts receivable? If so, what are your day's receivable for your water sales? 120 days
73	X	Do you have a written policy for collection of delinquent accounts?
74	X	Does the water system keep all the water revenues (i.e., water revenue does not support other departments or unrelated activities)? Water and wastewater funds are combined.
75	X	How do you track budget performance? Clerk reviews monthly bank statement
76	X	Do you keep records to substantiate depreciation of fixed assets and/or accounting for reserve funds?
77	X	Are financial management record keeping systems organized?
78	X	Are controls exercised over expenditures? Non-emergency expenses require board approval
79	X	How are controls exercised to keep from exceeding your budget? Curtail expenditures
80	X	Are there purchasing procedures? Board approval
81	X	Are there procedures for the prompt selection of outside contractors and suppliers? Past performance

Internal Control		
82	X	Are management policies and procedures in writing?
83	X	Are persons handling money or signing checks bonded?
84	X	What level of audit review are you required each fiscal year? None
85	N/A	Does your auditor include a review of internal controls during the Annual Audit? Do you act on the auditor's recommendations?
86	X	Are receipts recorded immediately in a daily cash journal showing the person's name, check number, amount and purpose of payment? As they are received from the bank
87	X	Are funds received deposited promptly? The same or next day? Customers pay directly to bank
88	X	Can each payment received be traced from (a) receipt to (b) journal entry to (c) the bank statement and, finally to (d) the general ledger posting?
89	X	Are checks received immediately stamped "For Deposit Only"? Probably "yes" by the bank
90	N/A	Are employees prohibited from cashing checks or paying for things out of receipts so that the money received can be deposited exactly as it comes in?
91	X	Do you have a system for reviewing and approving purchases and payments in writing before they are made?
92	X	Do you have any petty cash on hand? How much? How is it funded?
93	X	Do you require two signatures on all checks, or checks over a certain dollar amount? Are check signers designated by the Governing Board?
94	X	Are all invoices approved by the Governing Board prior to payment? Yes Are there invoices paid on consent approval? Routine bills paid to avoid late charges.
95	X	Can paid invoices be readily retrieved from the filing system by the bookkeeper?
96	X	Does the person preparing the checks attach documentation to each check before presenting them for signatures?
97	X	Are invoices marked "PAID" along with the date paid and check number written on the invoice before being filed?
98	X	Are all bank accounts authorized by the Board and are they FDIC insured?
99	X	Are all deposits over \$100,000 collateralized by the bank for the amounts in excess of \$100,000?
100	X	Does every bank statement reconcile with deposit slips and canceled checks as well as balance verified with the general ledger?
101	X	Who all reviews the monthly financial records? Clerk reviews and minutes of all meetings with payments are sent to all customers
102	N/A	Are all employees required to submit a time sheet prior to payment? All volunteer
103	N/A	Are all employees involved with the system paid from water revenues?
104	N/A	Are the amounts withheld from employees pay promptly sent to the appropriate authority?
Governing Board Capacity		
105	X	Has the governing body visited the system facilities?
106	X	Does the governing body meet at regularly scheduled meetings? They meet as needed.
107	X	Does the system operator provide the Board with monthly reports to include gallons pumped, gallons consumed, number of new connections, compliance violations, consumer complaints, test results, system status (including repairs made and needed) and future concerns? (Pre & Post Improvements) Oral report with documentation
108	X	Does the system operator clearly have one supervisor for day-to-day supervision? Board chair
109	X	Do you have a written personnel policy?
110	N/A	Does the System designate any employees as exempt under the FLSA?
111	X	Does the Board annually review staff performance?
112	X	Does the Board actively participate in the budget and rate setting process?
113	X	Does the Board have written policies to handle complaints?

Supplemental Information			
114	X		Previous two fiscal years audit or compilation report (Pre & Post Improvements) Not required
115	X		Previous two fiscal years budget (Pre & Post Improvements)
116	X		Current fiscal year budget
117	X		Rate ordinance/user agreement
118		X	Service connection ordinance/rules (Pre & Post Improvements) Unknown if they exist
119		X	By-Laws (non municipal) (Pre & Post Improvements) Unknown if they exist or where they might be
120		X	Minutes of the annual meeting No regular annual meeting is held.
121	N/A		Copy of purchase water contract.
122		X	Copies of operator's monthly reports (Pre & Post Improvements) Oral
123			<p>Operating Ratio = Operating Expenses / Net Sales. The operating ratio is also an indirect measure of efficiency. The lower the ratio, the more efficiently the company is creating profits. The smaller the ratio, the greater the organization's ability to generate profit if revenues decrease. When using this ratio, however, you should be aware that it doesn't take debt repayment or capital investment into account.</p>

DHHS Capacity Development Coordinator

I have reviewed the above information and the assessment showed that their Technical, Financial, and Managerial (TFM) capability of the Public Water System meets the requirements of the Safe Drinking Water Act.

DHHS Field Services Program Manager

I have reviewed the above noted findings and agree with the statement that their TFM capability meets the requirements of the Safe Drinking Water Act.

If the above are not signed, then Initial TFM Assessment was not satisfactory, and those signatures will not be made until the Final TFM Assessment is acceptable.



ADAMS & SULLIVAN^{PC, LLO}
ATTORNEYS AT LAW

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*ALSO LICENSED IN IOWA
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MOLLY J. MILLER***
DIXON G. ADAMS
(1924 - 2009)

***ALSO LICENSED IN ILLINOIS

November 9, 2016

Mr. Lee O'Neal, Chairperson
Sanitary & Improvement District No. 29
20010 Westridge Road
Gretna, NE 68028

RE: SID 29, Sarpy County, Nebraska

Dear Lee,

I have received the information from Barb Schutte, the Clerk for the District, with regard to the District wanting to make repairs to the streets within the District by filling cracks and seal coating the streets. It is my understanding that the Board had the intention of using the remaining funds in the bond fund after all outstanding bonds of the District had been paid off to pay for such maintenance. Unfortunately, there are regulations as to how the specific funds of the District can be utilized.

The bond fund is set up to pay for capital improvements for the District. The anticipated project would not fall into the classification of an "improvement" but rather it is a maintenance issue. I am continuing to research the matter in order to enable the District to utilize the funds it has to accomplish this project. I hope to have a recommendation to you in the next couple of weeks.

I apologize if it appears the District is unable to use its own money for projects for the District, but I need to ensure that the District complies with the statutes regulating the use of the funds. If you should have any questions or concerns, please let me know.

Very truly yours,

Patrick J. Sullivan
Attorney for the District

Phil Dechow 10-7-16

Phil Dechow 10-7-16



MENARDS - ELKHORN
750 N. 205th Street
Elkhorn, NE 68022

MENARDS - OMAHA
708 North 120th
Street
Omaha, NE 68154

KEEP YOUR RECEIPT
RETURN POLICY VARIES BY PRODUCT TYPE

KEEP YOUR RECEIPT
RETURN POLICY VARIES BY PRODUCT TYPE

Unless noted below allowable returns for items on this receipt will be in the form of an in store credit voucher if the return is done after 01/05/17

Unless noted below allowable returns for items on this receipt will be in the form of an in store credit voucher if the return is done after 01/05/17

If you have questions regarding the charges on your receipt, please email us at:
EHRNfrontend@menards.com

If you have questions regarding the charges on your receipt, please email us at:
OMAHfrontend@menards.com



Sale Transaction

Sale Transaction

SB ELASTOMER CRACK FILLE		
5581712	25 @8.24	206.00
TRIPLE EDGE KNIFE		
5617786	4 @1.24	4.96
TOTAL		210.96
TAX ELKHORN-NE 7%		14.77
TOTAL SALE		225.73
MasterCard 6290		225.73
Auth Code:00718B		
Chip Inserted		
a0000000041010		
TC - 9cc17a7e3946a51b		

SB ELASTOMER CRACK FILLE		
5581712	19 @8.24	156.56
TOTAL		156.56
TAX OMAHA-NE 7%		10.96
TOTAL SALE		167.52
MasterCard 6290		167.52
Auth Code:00756B		
Chip Inserted		
a0000000041010		
TC - 90b734062977ecce		

TOTAL NUMBER OF ITEMS = 29

THE FOLLOWING REBATE RECEIPTS WERE PRINTED FOR THIS TRANSACTION:
5776

GUEST COPY

The Cardholder acknowledges receipt of goods/services in the total amount shown hereon and agrees to pay the card issuer according to its current terms.

THIS IS YOUR CREDIT CARD SALES SLIP
PLEASE RETAIN FOR YOUR RECORDS.

Now Hiring

THANK YOU, YOUR CASHIER, Kristine

26164 05 3534 10/07/16 10:56AM 3230

R/T mileage
26 miles

26 mi
38 "

64 mi @ .56¢ per mi

35.84
225.73
167.52

\$429.09

NOW HIRING!!!

THANK YOU, YOUR CASHIER, Jared

40544 10 2293 10/07/16 06:03PM 3068

R/T for

Kuehl Capital Corporation

14747 California Street, Suite #1
 Omaha, NE 68154
 (402) 391-7977

Invoice

Date	Invoice #
7/28/2016	1612

Bill To:

SID #29 of Sarpy County Nebraska
 c/o Adams & Sullivan P.C., LLO
 Attn: Mr. Patrick Sullivan
 1246 Golden Gate Drive, Ste. 1
 Papillion, NE 68046

Description	Amount
Financial Advisor/Fiscal Agent Services for Fiscal Year 2016-2017 Annual Flat Fee per Contract	1,800.00
If the District is not on a Cash Basis in the Bond Fund, this must be Paid through the General Fund	
Total	\$1,800.00
Payments/Credits	\$0.00
Balance Due	\$1,800.00

**NEBRASKA DEPARTMENT
OF
ENVIRONMENTAL QUALITY**

INVOICE

INVOICE NUMBER: 7585

DATE: November 15, 2016

TO:

Lee O'Neal, Chairperson
Sarpy Cnty SID #29
20010 Westridge Road
Gretna, NE 68028

FOR: DRINKING WATER SRF SEMI-ANNUAL INTEREST AND PRINCIPAL PAYMENT

BREAKDOWN				AMOUNT
<u>LOAN</u>	<u>PRINCIPAL</u>	<u>INTEREST</u>	<u>ADMIN FEE</u>	
#D311606	0.00	5,490.37	2,860.05	8,350.42
<p>RETURN CHECK WITH COPY OF INVOICE TO: NDEQ – FISCAL SERVICES P.O. BOX 98922 LINCOLN, NE 68509-8922</p>				
TOTAL BILLING				\$8,350.42

**TERMS: PAYMENT DUE IN FULL TO THE DEPARTMENT OF ENVIRONMENTAL QUALITY BY
DECEMBER 15, 2016. PAYMENTS NOT RECEIVED BY DUE DATE ARE SUBJECT TO
ADMINISTRATIVE AND INTEREST PENALTIES.**